



**BMS**

**INSTITUTE OF TECHNOLOGY AND MANAGEMENT**

Avalahalli, Doddaballapur Main Road, Bengaluru - 560064

**DEPARTMENT OF ELECTRONICS & COMMUNICATION ENGINEERING**

**COURSE: INFORMATION THEORY AND CODING**

**COURSE CODE: 18EC54**

**SEMESTER: V**



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<b>B. E. (EC / TC)</b>			
<b>Choice Based Credit System (CBCS) and Outcome Based Education (OBE)</b>			
<b>SEMESTER – V</b>			
<b>INFORMATION THEORY and CODING</b>			
<b>Course Code</b>	<b>18EC54</b>	<b>CIE Marks</b>	<b>40</b>
<b>Number of Lecture Hours/Week</b>	<b>3</b>	<b>SEE Marks</b>	<b>60</b>
<b>Total Number of Lecture Hours</b>	<b>40 (8 Hours / Module)</b>	<b>Exam Hours</b>	<b>03</b>
<b>CREDITS – 03</b>			
<b>Course Learning Objectives:</b> This course will enable students to <ul style="list-style-type: none"> <li>• Understand the concept of Entropy, Rate of information and order of the source with reference to dependent and independent source.</li> <li>• Study various source encoding algorithms.</li> <li>• Model discrete &amp; continuous communication channels.</li> <li>• Study various error control coding algorithms.</li> </ul>			
<b>Module-1</b>			<b>RBT Level</b>
<b>Information Theory:</b> Introduction, Measure of information, Information content of message, Average Information content of symbols in Long Independent sequences, Average Information content of symbols in Long dependent sequences, Markov Statistical Model for Information Sources, Entropy and Information rate of Markoff Sources <b>(Section 4.1, 4.2 of Text 1)</b>			<b>L1, L2,L3</b>
<b>Module-2</b>			
<b>Source Coding:</b> Encoding of the Source Output, Shannon’s Encoding Algorithm( <b>Sections 4.3, 4.3.1 of Text 1</b> ), Shannon Fano Encoding Algorithm ( <b>Section 2.15 of Reference Book 4</b> ) Source coding theorem, Prefix Codes, Kraft McMillan Inequality property – KMI, Huffman codes <b>(Section 2.2 of Text 2)</b>			<b>L1, L2,L3</b>
<b>Module-3</b>			
<b>Information Channels:</b> Communication Channels, Discrete Communication channels Channel Matrix, Joint probability Matrix, Binary Symmetric Channel, System Entropies. ( <b>Section 4.4, 4.5, 4.51,4.5.2 of Text 1</b> ) Mutual Information, Channel Capacity, Channel Capacity of Binary Symmetric Channel, ( <b>Section 2.5, 2.6 of Text 2</b> ) Binary Erasure Channel, Muroga,s Theorem ( <b>Section 2.27, 2.28 of Reference Book 4</b> )			<b>L1, L2, L3</b>
<b>Module-4</b>			
<b>Error Control Coding:</b> Introduction, Examples of Error control coding, methods of Controlling Errors, Types of Errors, types of Codes, Linear Block Codes: matrix description of Linear Block Codes, Error detection & Correction capabilities of Linear Block Codes, Single error correction Hamming code, Table lookup Decoding using Standard Array. <b>Binary Cyclic Codes:</b> Algebraic Structure of Cyclic Codes, Encoding using an (n-k) Bit Shift register, Syndrome Calculation, Error Detection and Correction ( <b>Sections 9.1, 9.2,9.3,9.3.1,9.3.2,9.3.3 of Text 1</b> )			<b>L1, L2, L3</b>
<b>Module-5</b>			
<b>Convolution Codes:</b> Convolution Encoder, Time domain approach, Transform domain approach, Code Tree, Trellis and State Diagram, The Viterbi Algorithm) ( <b>Section 8.5 – Articles 1,2 and 3, 8.6- Article 1 of Text 2</b> )			<b>L1, L2, L3</b>
<b>Course Outcomes:</b> After studying this course, students will be able to: <ul style="list-style-type: none"> <li>• Explain concept of Dependent &amp; Independent Source, measure of information, Entropy, Rate of Information and Order of a source</li> <li>• Represent the information using Shannon Encoding, Shannon Fano, Prefix and Huffman Encoding Algorithms</li> <li>• Model the continuous and discrete communication channels using input, output and joint probabilities</li> <li>• Determine a codeword comprising of the check bits computed using Linear Block codes, cyclic codes &amp; convolutional codes</li> </ul>			

- Design the encoding and decoding circuits for Linear Block codes, cyclic codes, convolutional codes, BCH and Golay codes.

**Question paper pattern:**

- Examination will be conducted for 100 marks with question paper containing 10 full questions, each of 20 marks.
- Each full question can have a maximum of 4 sub questions.
- There will be 2 full questions from each module covering all the topics of the module.
- Students will have to answer 5 full questions, selecting one full question from each module.
- The total marks will be proportionally reduced to 60 marks as SEE marks is 60.

**Text Book:**

1. Digital and analog communication systems, K. Sam Shanmugam, John Wiley India Pvt. Ltd, 1996.
2. Digital communication, Simon Haykin, John Wiley India Pvt. Ltd, 2008.

**Reference Books:**

1. ITC and Cryptography, Ranjan Bose, TMH, II edition, 2007
2. Principles of digital communication, J. Das, S. K. Mullick, P. K. Chatterjee, Wiley, 1986 - Technology & Engineering
3. Digital Communications – Fundamentals and Applications, Bernard Sklar, Second Edition, Pearson Education, 2016, ISBN: 9780134724058.
4. Information Theory and Coding, HariBhat, Ganesh Rao, Cengage, 2017.
5. Error Correction Coding by Todd K Moon, Wiley Std. Edition, 2006

Information : Probability of occurrence  
 ↳ amount of message / surprise

$$I \propto \frac{1}{P}$$

$$I = \log \frac{1}{P}$$

↓  
 proportionality constant

$$I = \log_2 \frac{1}{P} \text{ bits} \Rightarrow \text{frequently used}$$

$$I = \log_{10} \frac{1}{P} \text{ Hartleys}$$

$$I = \log_e \frac{1}{P} \text{ Nats}$$

### Module - I : Information Theory

$$I \propto \frac{1}{P} \quad ; \quad I = \log \frac{1}{P}$$

Information that we get or conveyed is always positive. If we don't convey any information then information is 0.

\* Why log is used as proportionality constant?

1. Information cannot be negative.
2. The lowest possible self information is 0.
3. More information is carried by less likely message.
4. If the no. of informations are more, the total information is the sum of all individual informations.

$S = S_k$  and  $S_l \Rightarrow$  Information Symbols

30/7/19

$\Rightarrow P_k$   $P_l \rightarrow$  Probabilities

$I =$  (Information of  $S_k$ ) and (Information of  $S_l$ )

The only operation that converts 'and' into addition is 'log'. So log is the proportionality constant.

Proof:-

Two independent symbols  $S_k$  and  $S_l$  are transmitted with probabilities  $P_k$  and  $P_l$  respectively. Then, the total self information is given by

$$I_{kl} = \log \frac{1}{(P_k \text{ and } P_l)} = \log \frac{1}{(P_k \cap P_l)}$$

$$= \log \frac{1}{P(S_k) P(S_l)} = \log \frac{1}{P_k \cdot P_l}$$

$$= \log \frac{1}{P_k} + \log \frac{1}{P_l}$$

$$\boxed{I_{kl} = I_k + I_l}$$

Zero memory source or Independent source

Probability of occurrence of any event is independent of probability of occurrence of previous event is called as zero memory source.

# Average Information Source content [Entropy] of symbols in long independent sequences

Symbol is a combination of bits.

$$S = \{ S_k, S_l, S_m, S_n, S_q \}$$

$\downarrow \quad \downarrow \quad \downarrow \quad \downarrow \quad \downarrow$   
 4 bits 8 bits 2 10 20  $\rightarrow$  length of symbols

## Derivation

Let us consider zero memory source producing independent sequences of symbols. The receiver of these sequences may interpret the entire message as a single unit.

Let us consider the source alphabet

$$S = \{ S_1, S_2, \dots, S_q \} \text{ with probabilities}$$

$$P = \{ P_1, P_2, \dots, P_q \} \text{ respectively.}$$

Let us consider long independent sequence of length "L" symbols. This long sequence then contains

$P_1 L$  no. of messages of type  $S_1$

$P_2 L$  no. of messages of type  $S_2$

$\vdots$

$P_q L$  no. of messages of type  $S_q$ .

Self information of  $S_1 = \log \frac{1}{P_1}$  bits

$\therefore P_1 L$  no. of messages of type  $S_1$  contain  $P_1 L \log \frac{1}{P_1}$  bits of information.

III<sup>ly</sup>  $P_2 L$  no. of messages of type  $S_2$  contain  $P_2 L \log \frac{1}{P_2}$  bits of information.

$P_q L$  no. of messages of type  $S_q$  contain  $P_q L \log \frac{1}{P_q}$  bits of information.

$$I_{total} = P_1 L \log \frac{1}{P_1} + P_2 L \log \frac{1}{P_2} + \dots + P_q L \log \frac{1}{P_q}$$

$$I_{total} = L \sum_{i=1}^q P_i \log \frac{1}{P_i}$$

∴ The average self information =  $\frac{I_{total}}{L}$

$$H(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i}$$

[S → source

If we represent source as A then Avg. inf<sup>m</sup> will be H(A)]

Problems To understand meaning of entropy

① Let us consider a binary source with source alphabet  $S = \{S_1, S_2\}$  with probabilities  $P = \left\{ \frac{1}{256}, \frac{255}{256} \right\}$ . Find entropy.

Sol<sup>n</sup>:-

$$H(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i} = \sum_{i=1}^2 P_i \log \frac{1}{P_i}$$

$$= P_1 \log \frac{1}{P_1} + P_2 \log \frac{1}{P_2}$$

$$= \frac{1}{256} \log_2 \frac{1}{256} + \frac{255}{256} \log \frac{1}{255}$$

$$= \frac{1}{256} \frac{\log_{10} 256}{\log_{10} 2} + \frac{255}{256} \frac{\log_{10} \frac{256}{255}}{\log_{10} 2}$$

$$= 0.031 + 0.0056$$

$$H(S) = 0.0369 \text{ bits / Message symbol}$$

②  $S' = \{S_3, S_4\}$   $P' = \left\{ \frac{7}{16}, \frac{9}{16} \right\}$

$$H(S) = P_3 \log \frac{1}{P_3} + P_4 \log \frac{1}{P_4}$$

$$= \frac{7}{16} \frac{\log_{10} \frac{16}{7}}{\log_{10} 2} + \frac{9}{16} \frac{\log_{10} \frac{16}{9}}{\log_{10} 2}$$



$$= 0.5217 + 0.4669$$

$$= 0.9887 \text{ bits/Message symbol}$$

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$$(3) S'' = \{S_5, S_6\} \quad P'' = \left\{ \frac{1}{2}, \frac{1}{2} \right\}$$

$$H(S) = \sum_{i=5}^6 P_i \log \frac{1}{P_i}$$

$$= P_5 \log \frac{1}{P_5} + P_6 \log \frac{1}{P_6}$$

$$= \frac{1}{2} \frac{\log_{10} 2}{\log_{10} 2} + \frac{1}{2} \frac{\log_{10} 2}{\log_{10} 2}$$

$$H(S) = 1 \text{ bit/Message symbol}$$

If the entropy is very less then we can guess which event can occur next.

1 is the maximum entropy.

Entropy indicates whether we can guess the event that occurs next.

- (4) Consider a source  $S = \{S_1, S_2, S_3\}$  and  $P = \left\{ \frac{1}{2}, \frac{1}{4}, \frac{1}{4} \right\}$
- Find
- Self information of each message
  - Interpret the source  $S$
- Entropy of

Sol<sup>n</sup> :- i) Self information

$$I_1 = \log \frac{1}{P_1} = \frac{\log_{10} 2}{\log_{10} 2} = 1 \text{ bit}$$

$$I_2 = \log \frac{1}{P_2} = \log \frac{1}{1/4} = \frac{\log_{10} 4}{\log_{10} 2} = 2 \text{ bit}$$

$$I_3 = \log \frac{1}{P_3} = \log \frac{1}{1/4} = \frac{\log_{10} 4}{\log_{10} 2} = 2 \text{ bit}$$

ii) Entropy

$$H(S) = \sum_{i=1}^3 P_i \log \frac{1}{P_i}$$

$$= \frac{1}{2} \log \frac{1}{1/2} + \frac{1}{4} \log \frac{1}{1/4} + \frac{1}{4} \log \frac{1}{1/4}$$

$$= \frac{1}{2}(1) + \frac{1}{4}(2) + \frac{1}{4}(2)$$

$$= 1.5 \text{ bits/message symbol}$$

### Other problems

① The binary symbols 0 and 1 are transmitted with probabilities  $\frac{1}{4}$  and  $\frac{3}{4}$  respectively. Find the corresponding self informations.

Sol:- Check for the sum of all probabilities  
It should be = 1

Self information of emitting 0  $I = \log_2 \frac{1}{p} = \log_2 \frac{1}{1/4}$

$$= \frac{\log_{10} 4}{\log_{10} 2} = 2 \text{ bits}$$

Self information of emitting 1  $I = \log_2 \frac{1}{p} = \log_2 \frac{1}{3/4}$

$$= \frac{\log_{10} 4/3}{\log_{10} 2} = 0.415 \text{ bits}$$

② Let us consider a binary source which emits the source alphabets  $S = \{S_1, S_2\}$  with probability  $\frac{1}{256}$  and  $\frac{255}{256}$  respectively. Find the self information.

Sol:- Total  $P = 1$

$$I = \log_2 \frac{1}{p} = \log_2 \frac{1}{1/256} = \frac{\log_{10} 256}{\log_{10} 2} = 8 \text{ bits}$$

$$I = \log_2 \frac{1}{p} = \log_2 \frac{1}{\frac{255}{256}} = \frac{\log_{10} \left( \frac{256}{255} \right)}{\log_{10} 2} = 0.0056 \text{ bits}$$

Formula  $\log_b a = \frac{\log_{10} a}{\log_{10} b}$

## Information rate $R_s$

Rate at which the source is giving us the information is called information rate.

Let " $r_s$ " symbols/sec be the information rate at which the source is giving us the information

" $r_s$ " symbols/sec  $\rightarrow$  message symbol rate

Average source information rate,  $R_s = H(s) r_s$  bits/sec

### Problems

① A discrete source emits 1 of 6 symbols once every millisecond. Symbol probabilities are  $\frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{16}, \frac{1}{32}$  and  $\frac{1}{32}$  respectively. Find the source entropy and the information rate.

Sol :- Entropy  $H(s) = \sum_{i=1}^6 P_i \log_2 \frac{1}{P_i}$

$$= \frac{1}{2} \log_2 \frac{1}{1/2} + \frac{1}{4} \log_2 \frac{1}{1/4} + \frac{1}{8} \log_2 \frac{1}{1/8} + \frac{1}{16} \log_2 \frac{1}{1/16} + \frac{1}{32} \log_2 \frac{1}{1/32} + \frac{1}{32} \log_2 \frac{1}{1/32}$$

$$= \frac{1}{2} + \frac{1}{2} + 0.375 + 0.25 + 0.15625 + 0.15625$$

$$H(s) = 1.9375 \text{ bits/message signal symbol}$$

$$r_s = 10^3 \text{ s} = 1000 \quad [1 \text{ of } 6 \text{ symbols/ms} \therefore 10^{-3}]$$

$$R_s = H(s) r_s = 1.9375 \times 1000 = 1937.5 \text{ bits/sec}$$

$$R_s = 1937.5 \text{ bits/sec}$$

② The output of an information source consists of 150 symbols, 32 of which occurred with probability  $\frac{1}{64}$  and the remaining 118 occurred with probability  $\frac{1}{236}$ . The source emits 2000 symbols per second.

Assuming that symbols are chosen independently, <sup>1/8/19</sup>  
find the average information rate of this source.

$$\text{Sol}^n \therefore H(s) = \sum_{i=1}^{150} P_i \log \frac{1}{P_i}$$
$$= \left( \frac{1}{64} \log_2 \frac{1}{1/64} \right) 32 + 108 \left( \frac{1}{236} \log_2 \frac{1}{1/236} \right)$$

$$= 3 + 3.6073 \quad 3.9413$$

$$H(s) = 6.6073 \quad 6.9413 \text{ bits/message symbol}$$

$$R(s) \geq R_s = H(s) r_s$$

$$r_s = 2000$$

$$R_s = 2000 \times 6.9413$$

$$R_s = 13882.6 \text{ bits/sec}$$

Find the relationship between Hartleys, Nats and bits

$$I = \log_{10} \frac{1}{P} \text{ Hartleys} \quad \text{--- (1)}$$

$$I = \log_e \frac{1}{P} \text{ Nats} \quad \text{--- (2)}$$

$$I = \log_2 \frac{1}{P} \text{ bits} \quad \text{--- (3)}$$

From eq (1)

$$1 \text{ Hartleys} = \frac{I}{\log_{10} \frac{1}{P}}$$

From eq (2)

$$1 \text{ Hartleys} = \frac{\log_e \frac{1}{P}}{\log_{10} \frac{1}{P}}$$

$$= \frac{-\log_e P}{-\log_{10} P} \text{ Nats}$$

$$= \frac{-\log_e P}{-\log_{10} P}$$

$$1 \text{ Hartleys} = \log_p e$$

$$1 \text{ Hartleys} = \frac{\log_p 10}{\log_p e} \text{ Nats}$$

$$= \log_e 10 \text{ Nats}$$

$$1 \text{ Hartleys} = 2.303 \text{ Nats}$$

$$\log_a b = \frac{1}{\log_b a}$$

From eq ①  $1 \text{ Hartleys} = \frac{I}{\log_{10} \frac{1}{P}}$

From eq ③  $1 \text{ Hartleys} = \frac{\log_2 \frac{1}{P}}{\log_{10} \frac{1}{P}}$

$$= \frac{-\log_2 P}{-\log_{10} P}$$

$$= \frac{\log_p 10}{\log_p 2}$$

$$= \log_2 10$$

$$1 \text{ Hartleys} = 3.322 \text{ bits}$$

From eq ②  $1 \text{ Nats} = \frac{I}{\log_e \frac{1}{P}}$

From eq ③  $1 \text{ Nats} = \frac{\log_2 \frac{1}{P}}{\log_e \frac{1}{P}} = \frac{-\log_2 P}{-\log_e P}$

$$= \frac{\log_p e}{\log_p 2}$$

$$= \log_2 e$$

$$1 \text{ Nats} = 1.443 \text{ bits}$$

## Problems

11/8/19

① The collector voltage of certain ckt is to lie between -5 and -12V. The voltage can take only those values -5, -6, -7, -9, -11, -12 with respective probabilities  $\frac{1}{6}, \frac{1}{3}, \frac{1}{12}, \frac{1}{12}, \frac{1}{6}, \frac{1}{6}$ . This voltage is recorded in a pen recorder. Determine the average self information associated with the record in terms of bits per level.

Sol<sup>n</sup>:- 
$$H(S) = \sum_{i=1}^6 P_i \log \frac{1}{P_i}$$
$$= \frac{1}{6} \log_{10} 6 + \frac{1}{3} \log_{10} 3 + \frac{1}{12} \log_{10} 12 + \frac{1}{12} \log_{10} 12$$
$$+ \frac{1}{6} \log_{10} 6 + \frac{1}{6} \log_{10} 6$$
$$= 0.7279 \times 3.32 \quad [1 \text{ Hartley} = 3.32 \text{ bits}]$$

$$H(S) = 2.4168 \text{ bits / message symbol}$$

② A code is composed of dots and dashes. Assuming that dash is 3 times as long as a dot and has  $\frac{1}{3}$ <sup>rd</sup> the probability of occurrence, calculate i) the information in a dot and a dash. ii) The entropy of dot dash code. iii) The average rate of information if a dot lasts for 10 msec and this time is allowed between symbols.

Sol<sup>n</sup>:- Probability of dot =  $\frac{1}{3}$   $P_{\text{dot}} + P_{\text{dash}} = 1$   
 $P_{\text{dot}} = \frac{3}{4}$   $P_{\text{dash}} = \frac{1}{3} P_{\text{dot}}$

Probability of dash =  $\frac{1}{4}$

I of dot =  $\log \frac{1}{P} = \log_{10} \frac{4}{3} = 0.414$  bits / symbol

I of dash =  $\log \frac{1}{P} = \log_{10} 4 = 1.998$  bits

$$P_{dot} + P_{dash} = 1$$

$$P_{dash} = \frac{1}{3} P_{dot}$$

$$P_{dot} + \frac{1}{3} P_{dot} = 1$$

$$3P_{dot} + P_{dot} = 3$$

$$4P_{dot} = 3$$

$$P_{dot} = \frac{3}{4}$$

$$P_{dash} = 1 - P_{dot}$$

$$= 1 - \frac{3}{4}$$

$$P_{dash} = \frac{1}{4}$$

i) Self information of dot =  $\log_2 \frac{3}{1/4} = \log_{10} \frac{4}{3} \times 3.32 = 0.4147 \text{ bits}$

Self information of dash =  $\log_2 \frac{1}{1/4} = \log_{10} 4 \times 3.32 = 1.998 \text{ bits} \approx 2 \text{ bits}$

ii) Entropy  $H(S) = \sum_{i=1}^2 P_i \log \frac{1}{P_i}$

$$= \left( \frac{3}{4} \log_{10} \frac{4}{3} + \frac{1}{4} \log_{10} 4 \right) 3.32$$

$$= 0.8108 \text{ bits/message symbol} \approx 0.811$$

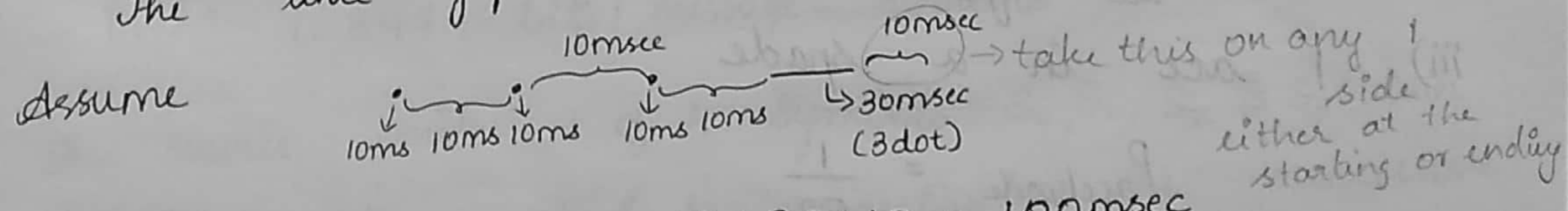
iii) Average rate of information  $R_s = H(S) \gamma_s$

$$t_{dot} = 10 \text{ msec}$$

$$t_{dash} = 3 \times t_{dot} = 30 \text{ msec}$$

$$t_{gap} = 10 \text{ msec}$$

1 code consists of (3+1)A symbols 3 dots and 1 dash  
The time gap between symbols is 10 msec



$$T_{total} = 10 + 10 + 10 + 10 + 10 + 10 + 30 + 10 = 100 \text{ msec}$$

$$R_s = \frac{100 \text{ msec}}{4} \text{ symbols / 100 msec}$$

It is taking 100 msec to emit a code and that code consists of 4 symbols.

$$R_s = H(s) \cdot r_s$$

$$= (0.8113) (4 \text{ symbols} / 100 \text{ ms})$$

$$= 0.0324 \times 10^3$$

$$R_s = 32.45 \text{ bits/second}$$

③ A card is drawn from a deck.

- \* i) you are told it is a spade. How much information did you receive?
- ii) How much information did you receive if you are told that the card drawn is an ace?
- iii) If you are told that the card drawn is an ace of spades, how much information did you receive?
- iv) Is the information obtained in (iii) the sum of informations obtained in (i) and (ii)?

Sol<sup>n</sup>:- There are 52 cards in deck

i) 13 → Spades

$$\text{Probability} = \frac{13}{52} = \frac{1}{4}$$

$$I_{sp} = \log_2 4 = 2 \text{ bits}$$

ii) 4 ace cards.

$$P_{ace} = \frac{4}{52} = \frac{1}{13}$$

$$I_{ace} = \log_2 13 = 3.7 \text{ bits}$$

iii) 1 ace in a spade

$$P_{ace\ spade} = \frac{1}{52}$$

$$I_{als} = \log_2 52 = 5.7 \text{ bits}$$

iv)  $I_{als} = I_{ace} + I_{sp}$

$$5.7 = 2 + 3.7$$

$$5.7 = 5.7$$

Yes the information obtained in (iii) is the sum of informations obtained in (i) and (ii).



Drawing an ace and drawing a spade are 2 mutually independent events and the total self information must be equal to individual self informations. 2/8/19

④ A source emits 1 of 4 possible symbols  $x_0$  to  $x_3$  during each signaling interval. The symbols occur with probabilities as given in table.

Symbols	Probabilities
$x_0$	0.4
$x_1$	0.3
$x_2$	0.2
$x_3$	0.1

Find the amount of information gain by observing the source emitting by each of these symbols and also the entropy of the source.

Sol<sup>n</sup>:- Self information of each symbol

$$I_{x_0} = \log_2 \frac{1}{0.4} = 1.322 \text{ bits}$$

$$I_{x_1} = \log_2 \frac{1}{0.3} = 1.74 \text{ bits}$$

$$I_{x_2} = \log_2 \frac{1}{0.2} = 2.322 \text{ bits}$$

$$I_{x_3} = \log_2 \frac{1}{0.1} = 3.322 \text{ bits}$$

$$\text{Entropy of source} = \sum_{i=0}^3 P_i \log_2 \frac{1}{P_i}$$

$$= 0.4 \log_2 \frac{1}{0.4} + 0.3 \log_2 \frac{1}{0.3} + 0.2 \log_2 \frac{1}{0.2} + 0.1 \log_2 \frac{1}{0.1}$$

$$H(S) = 1.8474 \text{ bits/message symbols}$$

⑤ A source emits 1 of 4 symbols  $S_0, S_1, S_2$  &  $S_3$  with probabilities  $\frac{1}{3}, \frac{1}{6}, \frac{1}{4}$  &  $\frac{1}{4}$  respectively. The successive symbols emitted by source are statistically independent. Calculate the entropy of the source.

i) Nats/symbol

ii) bits/symbol

iii) Hartleys/symbol

Sol<sup>n</sup>:-  $H(s) = \sum_{i=1}^4 P_i \log P_i$

$$= \frac{1}{3} \log 3 + \frac{1}{6} \log 6 + \frac{1}{4} \log 4 + \frac{1}{4} \log 4$$

$$H(s) = 0.5898 \text{ Hartleys / symbol}$$

$$= 0.589 \times 2.303 \text{ Nats / symbol}$$

$$H(s) = 1.358 \text{ Nats / symbol}$$

$$= 0.5898 \times 3.2219$$

$$H(s) = 1.9592 \text{ bits / symbol}$$

⑥ A binary source is emitting an independent sequence of 0's and 1's with probabilities  $P$  and  $(1-P)$  respectively. Plot the entropy of the source vs probability.

Sol<sup>n</sup>:-  $H(s) = \sum_{i=1}^2 P_i \log \frac{1}{P_i}$

$$P = 0.1$$

$$1-P = 0.9$$

$$\text{or } P = 0.9$$

$$1-P = 0.1$$

$$H(s) = 0.1 \log_2 \frac{1}{0.1} + 0.9 \log_2 \frac{1}{0.9}$$

$$= 0.4689 \text{ bits / message symbol}$$

$$P = 0.2$$

$$1-P = 0.8$$

$$\text{or } P = 0.8$$

$$1-P = 0.2$$

$$H(s) = 0.2 \log_2 \frac{1}{0.2} + 0.8 \log_2 \frac{1}{0.8} = 0.7219 \text{ bits / message symbol}$$

$$P = 0.3$$

$$1-P = 0.7$$

$$\text{or } P = 0.7$$

$$1-P = 0.3$$

$$H(s) = 0.3 \log_2 \frac{1}{0.3} + 0.7 \log_2 \frac{1}{0.7} = 0.8812 \text{ bits / message symbol}$$

$$P = 0.4$$

$$1-P = 0.6$$

$$\text{or } P = 0.6$$

$$1-P = 0.4$$

$$H(s) = 0.4 \log_2 \frac{1}{0.4} + 0.6 \log_2 \frac{1}{0.6} = 0.9709 \text{ bits / message symbol}$$

$$P = 0.5$$

$$1 - P = 0.5$$

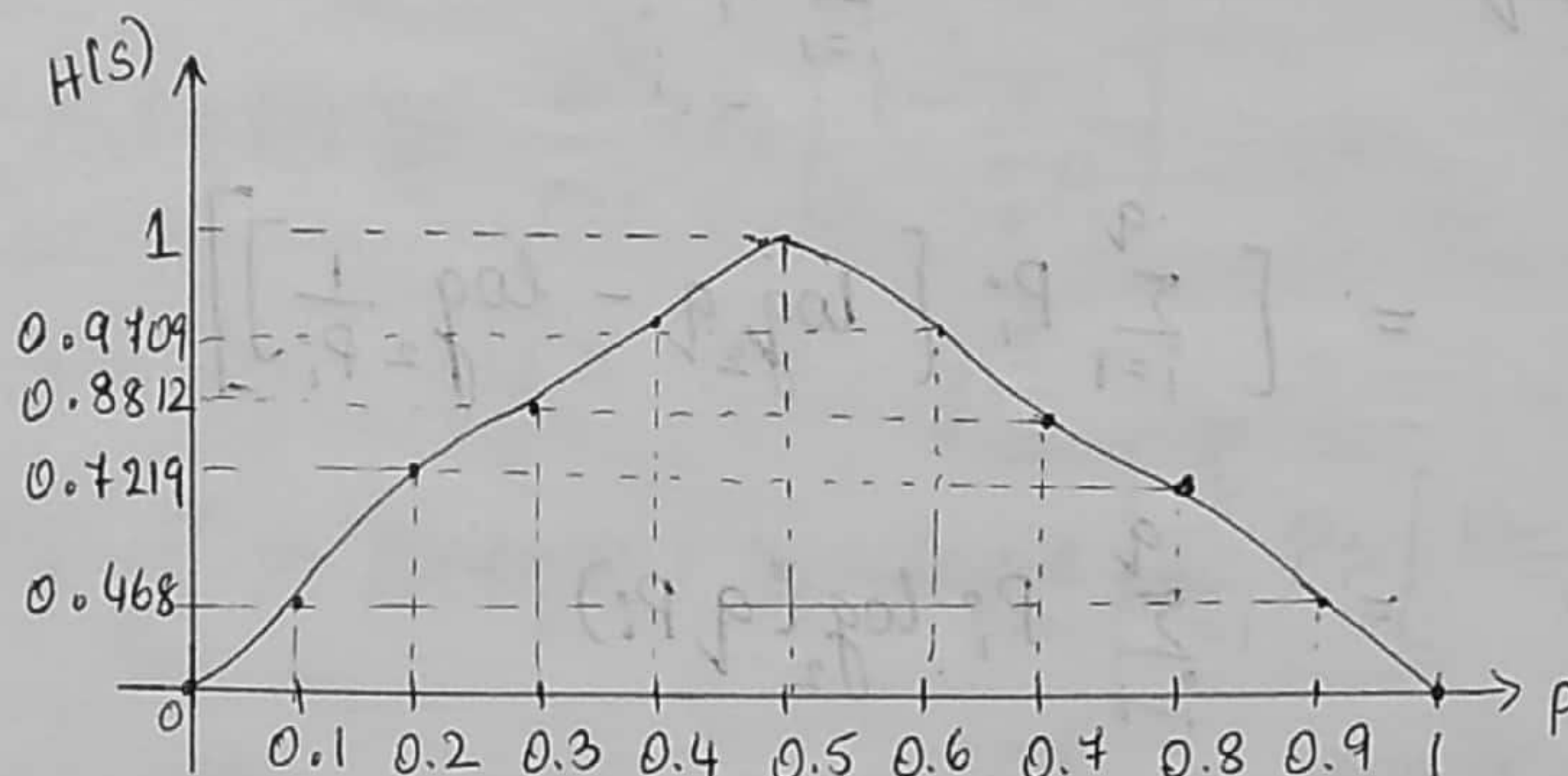
2/8/19

$$H(S) = 0.5 \log_2 \frac{1}{0.5} + 0.5 \log_2 \frac{1}{0.5} = 1$$

$$P = 0$$

$$1 - P = 1 \quad \text{or} \quad P = 1 \quad 1 - P = 0$$

$$H(S) = 1 \log_2 1 = 0$$



Entropy v/s probability

### Properties of entropy

$$H(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i} = \sum_{i=1}^q P_i I_i$$

1.  $P \rightarrow (0, 1)$  Avg information content is always positive
2.  $H[P, (1-P)] = H[(1-P), P]$
3. Extremal property: To know the maximum value of entropy. Minimum value = 0

Continued.

### \* Derivation

Let us consider the source  $S$  with  $q$  symbols  
 $S = (S_1, S_2, \dots, S_q)$  with probabilities  $P = \{P_1, P_2, \dots, P_q\}$   
 respectively.

Entropy  $H(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i}$

$$\sum_{i=1}^q P_i = 1 \quad \text{--- (1)}$$

Let us now prove that entropy  $H(s)$  has upper bound by considering the probability quantity  $\log q - H(s)$

$$\log q - H(s) = \left[ \sum_{i=1}^q P_i \right] \log_2 q - \sum_{i=1}^q P_i \log_2 \frac{1}{P_i}$$

$$\log q = 1 \log q \quad \text{where } 1 = \sum_{i=1}^q P_i$$

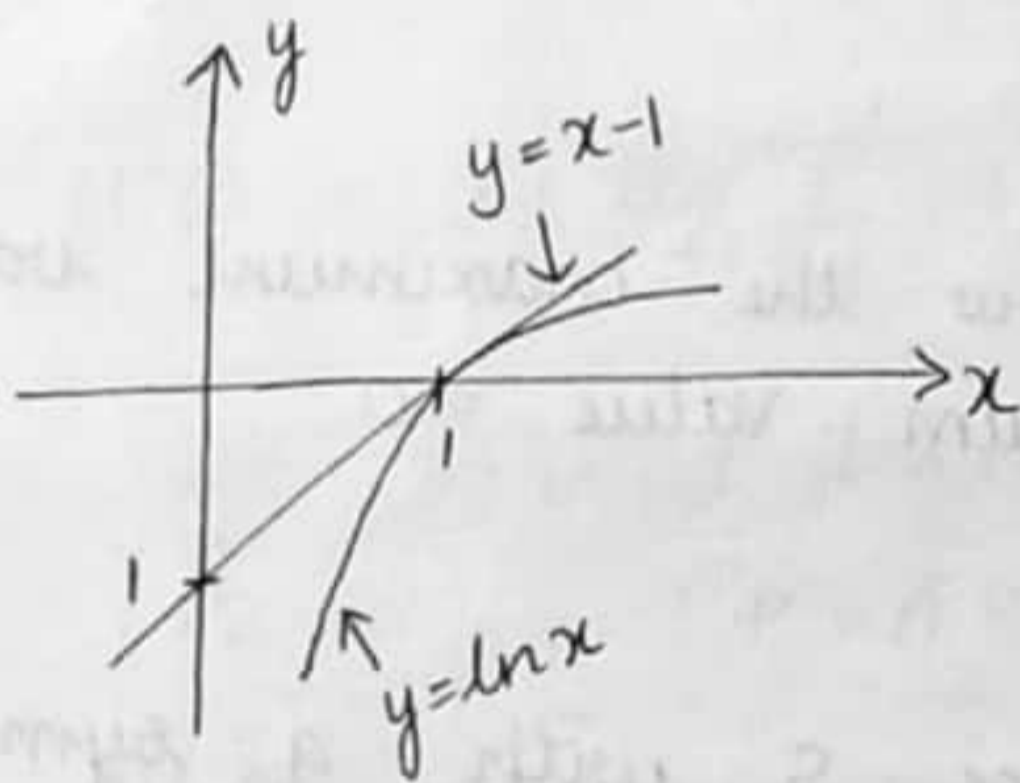
$$= \left[ \sum_{i=1}^q P_i \left[ \log_2 q - \log_2 \frac{1}{P_i} \right] \right]$$

$$= \sum_{i=1}^q P_i \log_2 (q P_i)$$

$$= \sum_{i=1}^q P_i \frac{\log_e q P_i}{\log_e 2}$$

$$\log q - H(s) = \frac{1}{\log_e 2} \sum_{i=1}^q P_i \log_e q P_i$$

$$\log q - H(s) = \log_2 e \sum_{i=1}^q P_i \log_e q P_i \quad \text{--- (2)} = \log_2 e \sum_{i=1}^q P_i \ln q P_i$$



logarithmic curve is always lesser than straight line except at  $x=1$ .  $\therefore$  Straight line is acting as tangent.

From the graph it is evident that the straight line  $y = x - 1$  always lies above the logarithmic curve  $y = \ln x$  except at  $x=1$ . Thus the straight line forms tangent to the curve at  $x=1$ . Therefore

$$\ln x \leq x - 1 \quad x > 0$$

$$-\ln x \geq 1 - x \quad \text{--- (3)}$$

$$\ln\left(\frac{1}{x}\right) \geq 1-x$$

$$\text{Let } x = \frac{1}{qP_i}, \quad \ln(qP_i) \geq 1 - \frac{1}{qP_i} \quad - (4)$$

Multiplying both sides of eq (4) by  $P_i$  and then taking summation for all  $i = 1, 2, \dots, q$  we get

$$\sum_{i=1}^q P_i \ln(qP_i) \geq \sum_{i=1}^q P_i \left[1 - \frac{1}{qP_i}\right]$$

Multiplying both sides by  $\log_2 e$  we get

$$\log_2 e \sum_{i=1}^q P_i \ln(qP_i) \geq \log_2 e \left[ \sum_{i=1}^q P_i \left[1 - \frac{1}{qP_i}\right] \right] \quad - (5)$$

From eq (2), LHS of eq (5) is  $\log q - H(s)$

$$\log q - H(s) \geq \log_2 e \left[ \sum_{i=1}^q P_i \left[1 - \frac{1}{qP_i}\right] \right]$$

By considering  $P_i = \frac{1}{q}$  RHS of eq (5) becomes 0

$$\therefore \log q - H(s) \geq 0$$

$$\text{or } \boxed{H(s) \leq \log q} \quad - (6) \quad P_i = \frac{1}{q}$$

$H(s)$  is maximum when all the symbols have equal probabilities.

Eg: If  $q = 2$ ,  $P_i = \frac{1}{q}$  means  $P_1 = P_2 = \frac{1}{2}$

The equality sign holds good when  $P_i = \frac{1}{q}$  for all  $i = 1, 2, \dots, q$  - (7)

When the condition of equation (7) is satisfied the entropy becomes maximum and is given by

$$\boxed{H(s)_{\max} = \log_2 q} \quad \text{bits/message symbol} \quad - (8)$$

i.e., the entropy attains the maximum value when all the source symbols become equiprobable.

## Illustrations

① Let us consider source emits 3 symbols  $S = \{s_1, s_2, s_3\}$  with probabilities  $\{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$ . Find the entropy and maximum entropy.

Sol<sup>n</sup> :- 
$$H(S) = \sum_{i=1}^3 P_i \log \frac{1}{P_i}$$
$$= \frac{1}{2} \log 2 + \frac{1}{4} \log 4 + \frac{1}{4} \log 4$$
$$= \frac{1}{2} + \frac{2}{4} + \frac{2}{4}$$

$$H(S) = 1.5 \text{ bits/message symbol}$$

$$H(S)_{\max} = \log_2 q \quad q = 3 \quad H(S) = \log_2 3$$

$$H(S)_{\max} = 1.585 \text{ bits/message symbol}$$

② 4 symbols  $S = \{s_1, s_2, s_3, s_4\}$   $P = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8}\}$ . Find  $H(S)$  and  $H(S)_{\max}$

Sol<sup>n</sup> :- 
$$H(S) = \sum_{i=1}^4 P_i \log \frac{1}{P_i}$$
$$= \frac{1}{2} \log 2 + \frac{1}{4} \log 4 + \frac{1}{8} \log 8 + \frac{1}{8} \log 8$$

$$= \frac{1}{2} + \frac{2}{4} + \frac{3}{8} + \frac{3}{8}$$

$$H(S) = 1.75 \text{ bits/message symbol}$$

$$H(S)_{\max} = \log_2 q \quad (q=4) = \log_2 4$$

$$H(S)_{\max} = 2 \text{ bits/message symbol}$$

③  $q = 1, 2, 3, 4, 5, 6, 7, 8, 9, 10$  find  $H(S)_{\max}$  for each  $q$

Sol<sup>n</sup>  $q=1$   $H(S)_{\max} = \log_2 1 = 0 \text{ bits/ms}$

$q=2$   $H(S)_{\max} = \log_2 2 = 1 \text{ bits/ms}$

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$q=3$   $H(S)_{\max} = \log_2 3 = 1.585$  bits/message symbol

$q=4$   $H(S)_{\max} = \log_2 4 = 2$  bits/message symbol

$q=5$   $H(S)_{\max} = \log_2 5 = 2.322$  bits/message symbol

$q=6$   $H(S)_{\max} = \log_2 6 = 2.585$  bits/message symbol

$q=7$   $H(S)_{\max} = \log_2 7 = 2.807$  bits/message symbol

$q=8$   $H(S)_{\max} = \log_2 8 = 3$  bits/message symbol

$q=9$   $H(S)_{\max} = \log_2 9 = 3.17$  bits/message symbol

$q=10$   $H(S)_{\max} = \log_2 10 = 3.322$  bits/message symbol

## Properties of Entropy

Continued

### 4. Property of Additivity

Suppose that we split  $S_q$  into  $n$  sub symbols such that  $S_q = S_{q_1}, S_{q_2}, \dots, S_{q_n}$  occurring with probabilities

$$P_{q_1}, P_{q_2}, \dots, P_{q_n} \text{ such that } P_q = P_{q_1} + P_{q_2} + \dots + P_{q_n}$$

$$= \sum_{i=1}^n P_{q_i}$$

then the probability will not be reduced.

### Derivation - Assignment

### 5. Source efficiency and redundancy

$$\eta_s = \frac{H(S)}{H(S)_{\max}}$$

Complement part of source efficiency is source

redundancy  $R_{\eta_s} = 1 - \eta_s$

## Problem

① A certain data source has 8 symbols that are produced in blocks of 4 at a rate of 500 blocks/s. The 1<sup>st</sup> symbol in each block is always the same. The remaining 3 are filled by any of the 8 symbols with equal probability. What is the entropy rate of this source?



Sol:- Probability of 1<sup>st</sup> symbol [is same in each block] = 1  $\therefore$  Information = 0

1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>
-----------------	-----------------	-----------------	-----------------

$$H_1 = 0$$

$$P_1 = 1 \quad H_1 \quad H_2 \quad H_3 \quad H_4$$

$$P_2 = \frac{1}{8}$$

$$P_3 = \frac{1}{8}$$

$$P_4 = \frac{1}{8}$$

$$\times \left[ \text{Entropy} = \sum_{i=1}^4 P_i \log \frac{1}{P_i} \right]$$

$$= 1 \log 1 + \frac{1}{8} \log 8 + \frac{1}{8} \log 8 + \frac{1}{8} \log 8$$

$= \frac{3}{8}$  ]  $\times$  Since  $P_2, P_3, P_4$  have equal probability, they are emitting the max. information

$q = 8 = \text{no. of symbols}$

$$H(2)_{\max} = \log_2 q = \log_2 8 = 3 \text{ bits/symbols block}$$

$$H(3)_{\max} = \log_2 q = \log_2 8 = 3 \text{ bits/MS block}$$

$$H(4)_{\max} = \log_2 q = \log_2 8 = 3 \text{ bits/MS block}$$

$$H = H(2)_{\max} + H(3)_{\max} + H(4)_{\max} + H(1)$$

$$H = 3 + 3 + 3 + 0 = 9 \text{ bits/MS block}$$

$$R_s = r_s \times H(s)$$

$$= 500 \text{ bits/blocks/s} \times 9 \text{ bits/block}$$

$$R_s = 4500 \text{ bits/sec}$$



② A black & white TV picture consists of 525 lines of picture information. Assume that each line consists of 525 picture elements (Pixel) and that each element can have 256 brightness levels. Pictures are repeated at the rate of 30 frames/second. Calculate the average rate of information conveyed by a TV set.

Sol:- Aspect ratio  $\frac{W}{L} = 4:3$  If width = 40 inch then length = 30 inches

$$\text{Total no. of pixels in 1 frame} = 525 \times 525 = 275625 \text{ pixels}$$

$$\text{Total no. of different frames possible} = (256)^{275625} \text{ frames}$$

All the frames are occurring with equal probability

$$H(S)_{\max} = \log_2 2 = \log_2 (256)^{275625} = 275625 \log_2 256$$

$$H(S)_{\max} = 2205000 \text{ bits/frame}$$

$$R_s = n_s H(S)$$

$$= 30 \text{ frames/s} \times 2205000 \text{ bits/frame}$$

$$R_s = 66.15 \times 10^6 \text{ bits/sec}$$

③ Find the information content of a message that consists of a digital word of 9 digits long in which each digit may take 1 of 5 possible levels. The probability of sending any of the 5 levels is assumed to be equal and the level in any digit does not depend on the values taken by previous digits.

Sol<sup>n</sup>

length of digital word = 9 digits

Number of levels = 5 equiprobable.

$$H_T = H_1 + H_2 + \dots + H_9$$

$$H = H(S)_{\max} = \log_2 9 = \log_2 5 = 2.322 \text{ bits/level}$$

$$H_1 = H_2 = \dots = H_9 = 2.322 \text{ bits/level}$$

∴ Total information content

$$H_T = 9 (2.322)$$

$$H_T = 20.898 \text{ bits/level}$$

Property of additivity

Statement continued

Then, the splitted symbol entropy is

$$H'(S) = H(P_1, P_2, \dots, P_{q-1}, P_{q_1}, P_{q_2}, \dots, P_{q_n})$$

$$= \sum_{i=1}^{q-1} P_i \log \frac{1}{P_i} + \sum_{j=1}^n P_{q_j} \log \frac{1}{P_{q_j}}$$

$$= \sum_{i=1}^q P_i \log \frac{1}{P_i} - P_q \log \frac{1}{P_q} + \sum_{j=1}^n P_{q_j} \log \frac{1}{P_{q_j}}$$

LKT

$$\sum_{j=1}^n P_{q_j} = 1 \quad \& \quad P_q \cdot P_{q_j} = P_{q_j}$$

$$H'(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i} - \sum_{j=1}^n P_{q_j} \log \frac{1}{P_{q_j}} + \sum_{j=1}^n P_{q_j} \log \frac{1}{P_{q_j}}$$

$$= \sum_{i=1}^q P_i \log \frac{1}{P_i} + P_q \sum_{j=1}^n \frac{P_{qj}}{P_q} \left[ \log \frac{P_q}{P_{qj}} \right] \quad 6/8$$

$\therefore H'(S) = H(S) +$  a positive quantity since  $P_{qj} \leq P_q$   
for all  $j$

$$\therefore H'(S) \geq H(S)$$

Partitioning of symbols into sub-symbols cannot decrease the entropy.

(4) Suppose the symbols  $S_1$  and  $S_2$  are 2 zero memory sources with probabilities  $P_1, P_2, \dots, P_n$  for  $S_1$  and  $q_1, q_2, \dots, q_n$  for  $S_2$ . Show that the entropy of source  $S_1$ ,  $H(S_1) \leq \sum_{k=1}^n P_k \log \left( \frac{1}{q_k} \right)$

Sol<sup>n</sup> :-  $H(S_1) = \sum_{k=1}^n P_k \log \frac{1}{P_k} \quad \text{--- (1)}$

NKT  $\sum_{k=1}^n P_k = 1 \quad \text{--- (2)}$

$$H(S_2) = \sum_{k=1}^n q_k \log \frac{1}{q_k} \quad \text{--- (3)}$$

$$\sum_{k=1}^n q_k = 1 \quad \text{--- (4)}$$

Let us consider

$$H(S_1) = \sum_{k=1}^n P_k \log \frac{1}{q_k}$$

$$= \sum_{k=1}^n P_k \log \frac{1}{P_k} - \sum_{k=1}^n P_k \log \frac{1}{q_k}$$

$$= \sum_{k=1}^n P_k \left( \log \frac{1}{P_k} - \log \frac{1}{q_k} \right)$$

$$= \sum_{k=1}^n P_k \log \frac{q_k}{P_k}$$

$$= \sum_{k=1}^n P_k \frac{\ln q_k / P_k}{\ln 2}$$

$$= \log_2 e \sum_{k=1}^n P_k \ln \frac{q_k}{P_k} - \textcircled{5}$$

From logarithmic & straight line equation

$$\ln \left( \frac{1}{x} \right) \geq 1-x$$

$$\ln x \leq x-1$$

$$\text{let } x = \frac{q_k}{P_k}$$

$$\ln \frac{q_k}{P_k} \leq \left[ \frac{q_k}{P_k} - 1 \right]$$

Multiplying both sides by  $P_k$  taking  $\sum_{k=1}^n$  for all 1 to n then multiplying by  $\log_2 e$

$$\log_2 e \sum_{k=1}^n P_k \ln \frac{q_k}{P_k} \leq \log_2 e \sum_{k=1}^n P_k \left( \frac{q_k}{P_k} - 1 \right) - \textcircled{6}$$

$$\left( \frac{q_k}{P_k} - 1 \right) - \textcircled{6}$$

Comparing eq ⑥ and eq ⑤

$$\text{RHS of eq ⑤} = \text{LHS of eq ⑥}$$

$$H(S) - \sum_{k=1}^n P_k \log \frac{1}{q_k} \leq \log_2 e \left( \sum_{k=1}^n q_k - \sum_{k=1}^n P_k \right)$$

$$H(S) - \sum_{k=1}^n P_k \log \frac{1}{q_k} \leq 0$$

$$H(S) \leq \sum_{k=1}^n P_k \log \frac{1}{q_k} \quad \text{Hence proved.}$$

⑤ A pair of dice are tossed simultaneously. The outcome of I dice is recorded as  $x_1$ , and that of the II dice as  $x_2$ . 2 events are defined as follows

$$A = \{ (x_1, x_2) \text{ such that } (x_1 + x_2) \leq 7 \}$$

$$B = \{ (x_1, x_2) \text{ such that } x_1 > x_2 \}$$

Which event conveys more information? Support your answer by numerical computation. 9/8

Sol<sup>n</sup>:-  $S = \{ (1,1) (1,2) (1,3) (1,4) (1,5) (1,6) \\ (2,1) \quad \quad \quad \quad \quad \quad (2,6) \\ (3,1) \quad \quad \quad \quad \quad \quad (3,6) \\ (4,1) \quad \quad \quad \quad \quad \quad (4,6) \\ (5,1) \quad \quad \quad \quad \quad \quad (5,6) \\ (6,1) \quad \quad \quad \quad \quad \quad (6,6) \}$

$X_1 = \frac{21}{36}$  outcome of I dice       $X_2 =$  outcome of II dice

$A = \{ (1,1) (1,2) (1,3) (1,4) (1,5) (1,6) \\ (2,1) (2,2) (2,3) (2,4) (2,5) \\ (3,1) (3,2) (3,3) (3,4) \\ (4,1) (4,2) (4,3) \\ (5,1) (5,2) (6,1) \}$

$A = \frac{21}{36}$

$B = \{ (2,1) (3,1) (3,2) (4,1) (4,2) (4,3) (5,1) (5,2) (5,3) (5,4) \\ (6,1) (6,2) (6,3) (6,4) (6,5) \}$

$B = \frac{15}{36}$

$I_1 = \log_2 \frac{1}{\frac{21}{36}} = 0.77 \text{ bits/MS}$

$I_2 = \log_2 \frac{1}{\frac{15}{36}} = 1.266 \text{ bits/MS}$

⑥ A discrete source S emits 2 independent images  $I_1, I_2$  with probabilities 0.55, 0.45 respectively. Calculate the efficiency of the source and its redundancy.

Sol:-  $H(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i}$        $H(S)_{\max} = \log_2 q$   
 $= P_1 \log \frac{1}{P_1} + P_2 \log \frac{1}{P_2}$        $= \log_2 2$   
 $H(S)_{\max} = 1 \text{ bit/MS}$

$$= 0.55 \log \frac{1}{0.55} + 0.45 \log \frac{1}{0.45}$$

$$= 0.4743 + 0.5184$$

$$H(S) = 0.9927 \text{ bits/images}$$

$$\eta_s = \frac{H(S)}{H(S)_{\max}} = \frac{0.9927 \times 100}{1} = 99.27\%$$

Redundancy  $\rightarrow R_{\eta_s} = 1 - \eta_s$   
 $= 1 - 99.27\%$   
 $= 0.73\%$

Extension of zero memory source

$$H(S) = \{S_1, S_2\}$$

$$P(S) = \{P_1, P_2\}$$

Second extension

$$(S_1, S_2) \quad (P_1, P_2)$$

$S_1, S_1$  occurring with probability  $P_1, P_1$

$$S_1, S_2 \rightarrow P_1, P_2$$

$$S_2, S_1 \rightarrow P_2, P_1$$

$$S_2, S_2 \rightarrow P_2, P_2$$

$$H(S) = \sum_{k=1}^2 P_k \log \frac{1}{P_k} = P_1 \log \frac{1}{P_1} + P_2 \log \frac{1}{P_2}$$

Second extension is represented by  $H(S^2)$

$$H(S^2) = P_1^2 \log \left( \frac{1}{P_1^2} \right) + P_1, P_2 \log \frac{1}{P_1, P_2} + P_2, P_1 \log \frac{1}{P_2, P_1} + P_2^2 \log \left( \frac{1}{P_2^2} \right)$$

$$= 2P_1^2 \log \left( \frac{1}{P_1} \right) + 2P_1, P_2 \log \frac{1}{P_1, P_2} + 2P_2^2 \log \frac{1}{P_2}$$

$$= 2 \left( P_1^2 \log \frac{1}{P_1} + P_1, P_2 \log \frac{1}{P_1, P_2} + P_2^2 \log \frac{1}{P_2} \right)$$

$$H(S^2) = 2 \left[ P_1 (P_1 + P_2) \log \frac{1}{P_1} + P_2 (P_1 + P_2) \log \frac{1}{P_2} \right] \quad 9/8$$

let  $P_1 + P_2 = 1$

$$H(S^2) = 2 \left( P_1 \log \frac{1}{P_1} + P_2 \log \frac{1}{P_2} \right)$$

$$H(S^2) = 2H(S)$$

111<sup>ly</sup> for 3<sup>rd</sup> extension source

$$H(S^3) = 3H(S)$$

$$H(S^4) = 4H(S)$$

⋮

$$\boxed{H(S^n) = nH(S)}$$

Q. Compute the 2<sup>nd</sup> extension source and prove that it is twice of  $H(S)$  for the previous problem.

Sol<sup>n</sup> :-  $H(S^2) = P_1^2 \log \frac{1}{P_1^2} + 2P_1P_2 \log \frac{1}{P_1P_2} + P_2^2 \log \frac{1}{P_2^2}$

$$= 2 \times 0.3025 \log \frac{1}{0.3025} + 2 \times 0.2475 \log \frac{1}{0.2475}$$

$$+ 0.2025 \log \frac{1}{0.2025}$$

$$= 0.5218 + 0.99717 + 0.4667$$

$$H(S^2) = 1.98553$$

$$2H(S) = 2 \times 0.9927$$

$$2H(S) = 1.98553$$

Hence proved  $H(S^2) = 2H(S)$

### Problems

- ① Consider a zero memory source emitting 3 symbols  $x, y$  and  $z$  with respective probabilities 0.6, 0.3, 0.1
- i) Calculate entropy of source
  - ii) Find all the symbols and corresponding probabilities of 2<sup>nd</sup> order extension source.

iii) 2<sup>nd</sup> Entropy of 2<sup>nd</sup> order extension source

iv) Show that  $H(S^2) = 2H(S)$

Sol<sup>n</sup>:- i) Entropy =  $\sum_{i=1}^3 P_i \log \frac{1}{P_i}$

$$= P_1 \log \frac{1}{P_1} + P_2 \log \frac{1}{P_2} + P_3 \log \frac{1}{P_3}$$

$$= 0.6 \log \frac{1}{0.6} + 0.3 \log \frac{1}{0.3} + 0.1 \log \frac{1}{0.1}$$

$$= 0.7442 + 0.521 + 0.332$$

$$= 1.296 \text{ bits/symbol}$$

ii)  $q^n$   $3^2 = 9$  symbols

$$H(S^2) = \sum P_i \log$$

(x y z)

↑  
given symbols

0.6 0.3 0.1 0.6 0.3 0.1

(x y z) (x y z)

∴ it is 2<sup>nd</sup> extension multiply by

(x y z)

$$= \{x^2, xy, xz, yx, y^2, yz, zx, zy, z^2\}$$

$$P[xx] = 0.6 \times 0.6 = 0.36$$

$$P[xy] = 0.6 \times 0.3 = 0.18$$

$$P[xz] = 0.6 \times 0.1 = 0.06$$

$$P[yx] = 0.3 \times 0.6 = 0.18$$

$$P[yy] = 0.3 \times 0.3 = 0.09$$

$$P[yz] = 0.3 \times 0.1 = 0.03$$

$$P[zx] = 0.1 \times 0.6 = 0.06$$

$$P[zy] = 0.1 \times 0.3 = 0.03$$

$$P[zz] = 0.1 \times 0.1 = 0.01$$

iii)  $H(S^2) = \sum_{i=1}^9 P_i \log \frac{1}{P_i}$

$$= 0.36 \log \frac{1}{0.36} + 0.18 \log \frac{1}{0.18} + 0.06 \log \frac{1}{0.06} + 0.18 \log \frac{1}{0.18} +$$

$$0.09 \log \frac{1}{0.09} + 0.03 \log \frac{1}{0.03} + 0.06 \log \frac{1}{0.06} + 0.03 \log \frac{1}{0.03}$$



$$+ 0.01 \log \frac{1}{0.01}$$

$$= 2.591 \text{ bits/MS}$$

$$\text{iv) } H(S^2) = 2H(S)$$

$$= 2(1.296)$$

$$= 2.592$$

② A source emits 4 symbols  $M_1, M_2, M_3, M_4$  with probabilities  $(\frac{7}{16}, \frac{5}{16}, \frac{1}{8}, \frac{1}{8})$  respectively. Find

i) entropy of the source

ii) list all the 2<sup>nd</sup> order symbol and corresponding probabilities

iii) Find entropy of 2<sup>nd</sup> order extension source

iv) Prove that  $H(S^2) = 2H(S)$

Sol<sup>n</sup> :- i)  $H(S) = \sum_{i=1}^4 P_i \log \frac{1}{P_i}$

$$= \frac{7}{16} \log \frac{16}{7} + \frac{5}{16} \log \frac{16}{5} + \frac{1}{8} \log 8 + \frac{1}{8} \log 8$$

$$H(S) = 1.7962 \text{ bits/MS}$$

ii)  $q^n = 4^2 = 16$  symbols

$$(M_1 M_2 M_3 M_4) (M_1 M_2 M_3 M_4)$$

$$= (M_1 M_1, M_1 M_2, M_1 M_3, M_1 M_4, M_2 M_1, \dots, M_4 M_3, M_4 M_4)$$

$$P[M_1 M_1] = \frac{7^2}{16} = \frac{49}{256}$$

$$P[M_2 M_1] = \frac{35}{256}$$

$$P[M_1 M_2] = \frac{7 \times 5}{16 \times 16} = \frac{35}{256}$$

$$P[M_2 M_2] = \frac{25}{256}$$

$$P[M_1 M_3] = \frac{7}{128}$$

$$P[M_2 M_3] = \frac{5}{128}$$

$$P[M_1 M_4] = \frac{7}{128}$$

$$P[M_2 M_4] = \frac{5}{128}$$

$$P[M_3 M_1] = \frac{7}{128}$$

$$P[M_3 M_2] = \frac{5}{128}$$

$$P[M_3 M_3] = \frac{1}{64}$$

$$P[M_3 M_4] = \frac{1}{64}$$

$$P[M_4 M_1] = \frac{7}{128}$$

$$P[M_4 M_2] = \frac{5}{128}$$

$$P[M_4 M_3] = \frac{1}{64}$$

$$P[M_4 M_4] = \frac{1}{64}$$

$$\begin{aligned} \text{iii) } H(S^2) &= \sum_{i=1}^{16} P_i \log_{10} \frac{1}{P_i} \\ &= (1.0814) \times 3.32219 \\ H(S^2) &= 3.5923 \text{ bits/MS} \end{aligned}$$

$$\begin{aligned} \text{iv) } H(S^2) &= 2H(S) \\ &= 2 \times 1.7962 \\ &= 3.5924 \end{aligned}$$

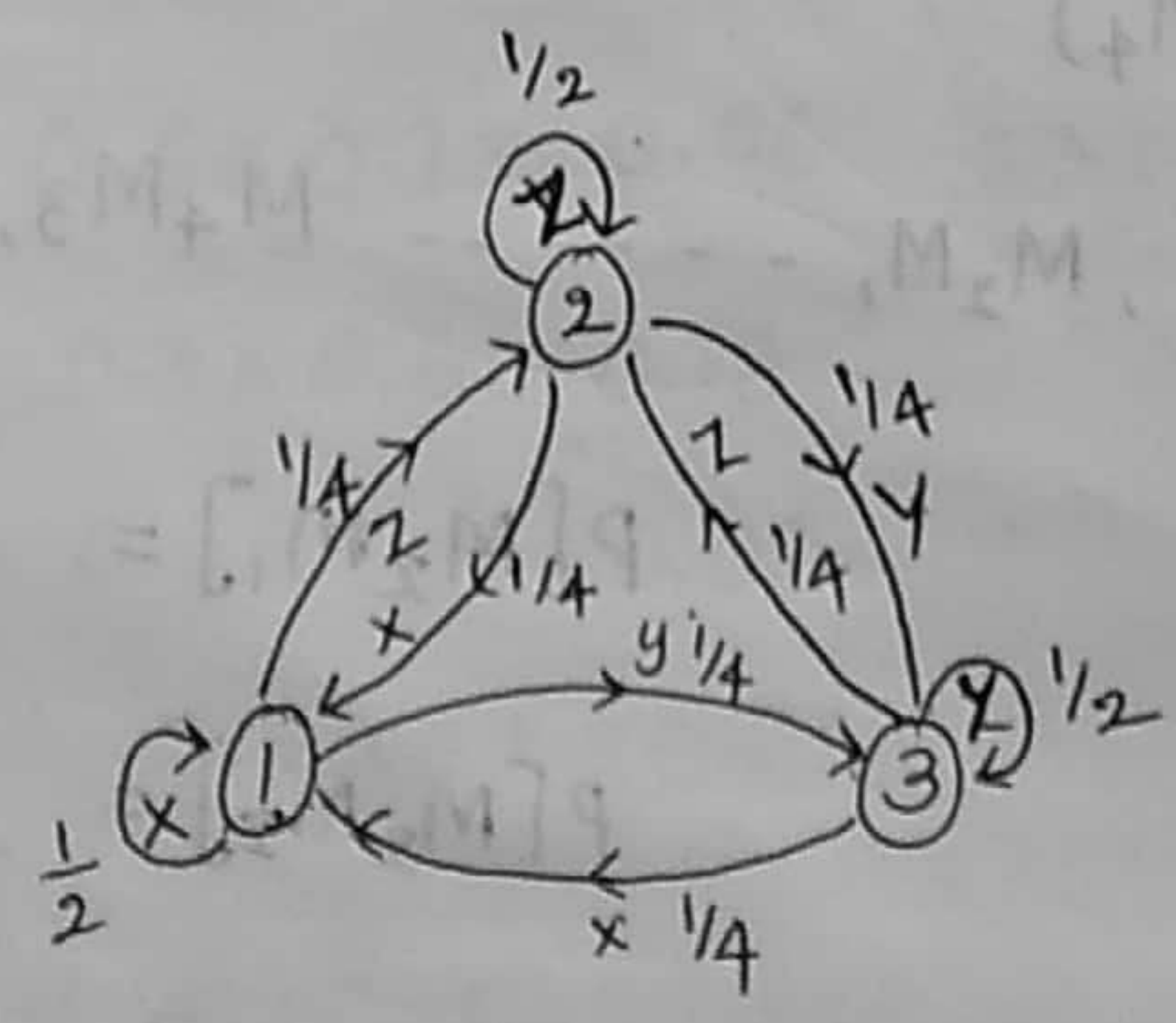
③ For the same problem find 3<sup>rd</sup> order extension entropy

Sol<sup>n</sup>  $H(S^2) = 3H(S) = 3 \times 1.7962 = 5.3886 \text{ bits/MS}$

Dependent Sources or Memory source

Markoff model

It is represented using either state diagram or tree diagram.



$$\begin{aligned} P(1) &= \frac{1}{3} \\ P(2) &= \frac{1}{3} \\ P(3) &= \frac{1}{3} \end{aligned}$$

$$\begin{aligned} P(x) &= P(1)P(1 \rightarrow 1) + P(2)P(2 \rightarrow 1) + P(3)P(3 \rightarrow 1) \\ &= \frac{1}{3} \times \frac{1}{2} + \frac{1}{3} \times \frac{1}{4} + \frac{1}{3} \times \frac{1}{4} = \frac{1}{6} + \frac{1}{12} + \frac{1}{12} = \frac{1}{3} \end{aligned}$$

$$\begin{aligned}
 P(XX) &= P(1 \rightarrow 1 \rightarrow 1) \text{ or } P(2 \rightarrow 1 \rightarrow 1) \text{ or } P(3 \rightarrow 1 \rightarrow 1) \\
 &= P(1 \rightarrow 1 \rightarrow 1) + P(2 \rightarrow 1 \rightarrow 1) + P(3 \rightarrow 1 \rightarrow 1) \\
 &= \frac{1}{2} \times \frac{1}{2} \times \frac{1}{3} + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{2}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{2}\right) \\
 &= \frac{1}{12} + \frac{1}{24} + \frac{1}{24}
 \end{aligned}$$

$$P(XX) = \frac{1}{6}$$

$$\begin{aligned}
 P(XY) &= P(1 \rightarrow 1 \rightarrow 3) + P(3 \rightarrow 3 \rightarrow 3) + P(2 \rightarrow 1 \rightarrow 3) \\
 &= \left(\frac{1}{3}\right) \left(\frac{1}{2}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) = \frac{1}{24} + \frac{1}{48} + \frac{1}{48} = \frac{1}{16} + \frac{1}{48} = \frac{1}{12}
 \end{aligned}$$

$$\begin{aligned}
 P(YX) &= P(1 \rightarrow 3 \rightarrow 1) + P(3 \rightarrow 3 \rightarrow 1) + P(2 \rightarrow 3 \rightarrow 1) \\
 &= \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{2}\right) \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{4}\right) \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) = \frac{1}{12}
 \end{aligned}$$

$$\begin{aligned}
 P(XZ) &= P(3 \rightarrow 1 \rightarrow 2) + P(2 \rightarrow 1 \rightarrow 2) + P(1 \rightarrow 1 \rightarrow 2) \\
 &= \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{2}\right) \left(\frac{1}{4}\right) = \frac{1}{12}
 \end{aligned}$$

$$\begin{aligned}
 P(YY) &= P(3 \rightarrow 3 \rightarrow 3) + P(1 \rightarrow 3 \rightarrow 3) + P(2 \rightarrow 3 \rightarrow 3) \\
 &= \left(\frac{1}{2}\right) \left(\frac{1}{3}\right) \left(\frac{1}{2}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{2}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{2}\right) = \frac{1}{6}
 \end{aligned}$$

$$\begin{aligned}
 P(YZ) &= P(2 \rightarrow 3 \rightarrow 2) + P(3 \rightarrow 3 \rightarrow 2) + P(1 \rightarrow 3 \rightarrow 2) \\
 &= \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{2}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) = \frac{1}{12}
 \end{aligned}$$

$$\begin{aligned}
 P(ZX) &= P(3 \rightarrow 2 \rightarrow 1) + P(2 \rightarrow 2 \rightarrow 1) + P(1 \rightarrow 2 \rightarrow 1) \\
 &= \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{2}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) \left(\frac{1}{3}\right) = \frac{1}{12}
 \end{aligned}$$

$$\begin{aligned}
 P(ZY) &= P(2 \rightarrow 2 \rightarrow 3) + P(3 \rightarrow 2 \rightarrow 3) + P(1 \rightarrow 2 \rightarrow 3) \\
 &= \left(\frac{1}{3}\right) \left(\frac{1}{2}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) + \left(\frac{1}{3}\right) \left(\frac{1}{4}\right) \left(\frac{1}{4}\right) = \frac{1}{12}
 \end{aligned}$$

$$P(ZZ) = P(2 \rightarrow 2 \rightarrow 2) + P(1 \rightarrow 2 \rightarrow 2) + P(3 \rightarrow 2 \rightarrow 2)$$

$$= \left(\frac{1}{3}\right)\left(\frac{1}{2}\right)\left(\frac{1}{2}\right) + \left(\frac{1}{3}\right)\left(\frac{1}{2}\right)\left(\frac{1}{4}\right) + \left(\frac{1}{3}\right)\left(\frac{1}{2}\right)\left(\frac{1}{4}\right) = \frac{1}{6}$$

## Entropy and Information rate of Markoff sources

$H_i$  indicates entropy of  $i^{\text{th}}$  state

$$H_i = \sum_{j=1}^n P_{ij} \log \frac{1}{P_{ij}} \text{ bits IMS}$$

$$H = \sum_{i=1}^n P_i H_i = \sum_{i=1}^n P_i \left[ \sum_{j=1}^n P_{ij} \log \frac{1}{P_{ij}} \right] \text{ bits IMS}$$

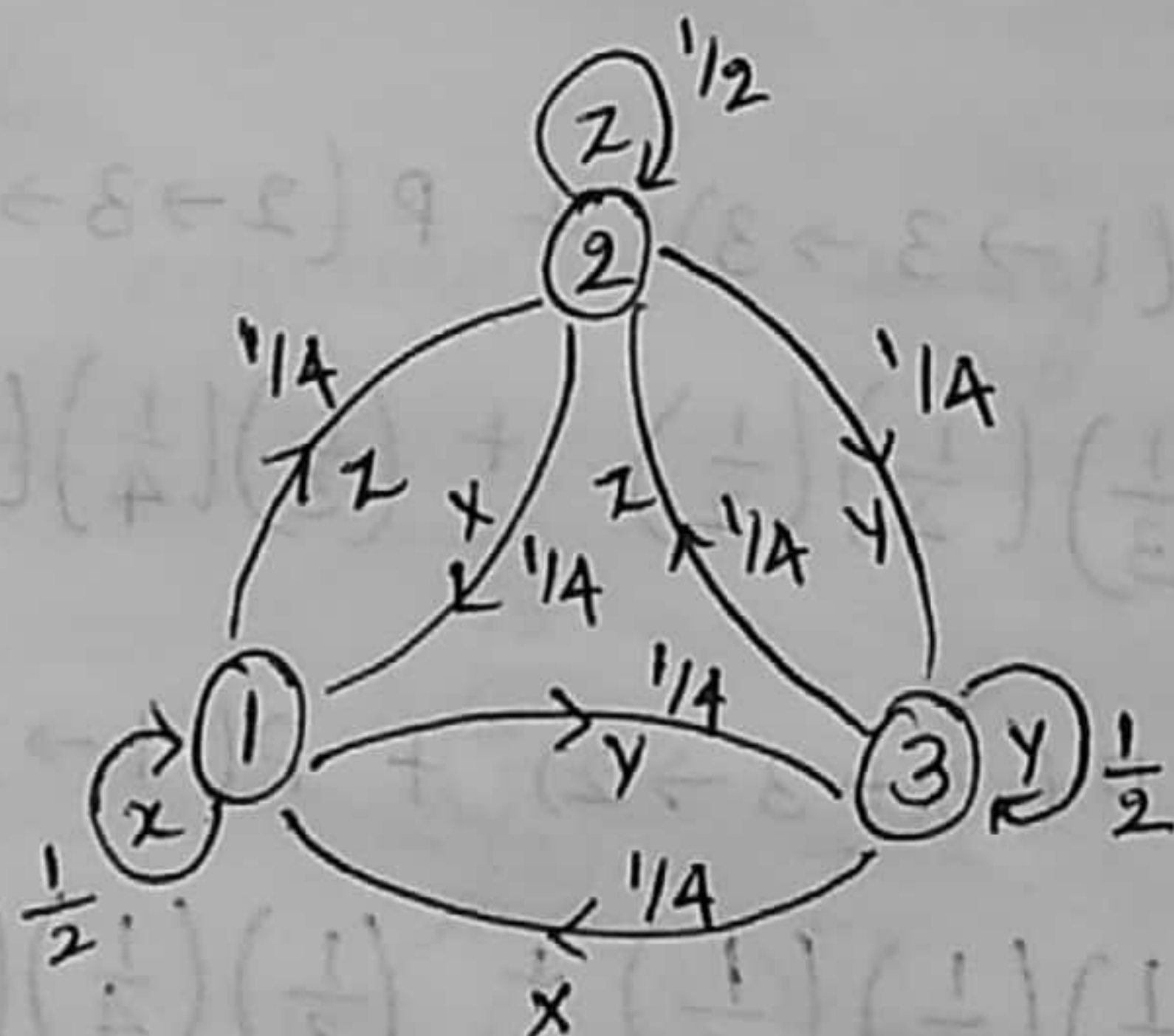
$H \rightarrow$  entropy of the source.

Information rate  $R_s = r_s H$  bits/sec

$r_s \rightarrow$  number of state transitions per second  
or symbol rate of the source

### Problem

- ① For the Markoff source of figure below find
- entropy of each state
  - entropy of the source



Given:  $P(1) = P(2) = P(3) = \frac{1}{3}$

Sol:- 3 states; find the state entropy first

$$H_i = \sum_{j=1}^n P_{ij} \log \frac{1}{P_{ij}} \quad i=1, 2, 3$$

$$H_1 = P_{11} \log \frac{1}{P_{11}} + P_{12} \log \frac{1}{P_{12}} + P_{13} \log \frac{1}{P_{13}}$$

$$H_1 = \frac{1}{2} \log 2 + \frac{1}{4} \log 4 + \frac{1}{4} \log 4 = \frac{1}{2} + \frac{2}{4} + \frac{2}{4}$$

$$= \frac{3}{2} \text{ bits/ms}$$

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$$H_2 = P_{21} \log \frac{1}{P_{21}} + P_{22} \log \frac{1}{P_{22}} + P_{23} \log \frac{1}{P_{23}}$$

$$= \frac{1}{4} \log 4 + \frac{1}{2} \log 2 + \frac{1}{4} \log 4 = \frac{3}{2} \text{ bits/ms}$$

$$H_3 = P_{31} \log \frac{1}{P_{31}} + P_{32} \log \frac{1}{P_{32}} + P_{33} \log \frac{1}{P_{33}}$$

$$= \frac{1}{4} \log 4 + \frac{1}{4} \log 4 + \frac{1}{2} \log 2 = \frac{3}{2} \text{ bits/ms}$$

$$H = \sum_{i=1}^n P_i H_i = \sum_{i=1}^n P_i \left[ \sum_{j=1}^n P_{ij} \log \frac{1}{P_{ij}} \right]$$

$$= P_1 H_1 + P_2 H_2 + P_3 H_3$$

$$= \left(\frac{1}{3}\right) \left(\frac{3}{2}\right) + \left(\frac{1}{3}\right) \left(\frac{3}{2}\right) + \left(\frac{1}{3}\right) \frac{3}{2}$$

$$= 1.5 \text{ bits/ms} \quad \checkmark \checkmark$$

$$\times [H = H_1 + H_2 + H_3 = 4.5 \text{ bits/ms}] \times$$

19/8

Theorem :- If  $P(m_i)$  is the probability of a sequence  $m_i$  of  $N$  symbols from the source and if  $G_N = \frac{1}{N} \sum P(m_i)$

$$\log \frac{1}{P_{m_i}} = \frac{1}{N} H(\bar{S}^N) \quad G_N = \frac{1}{N} \sum_{i=1}^N P(m_i) \log \frac{1}{P_{m_i}} = \frac{1}{N} H(\bar{S}^N)$$

where,  $H(\bar{S}) \rightarrow$  is the entropy of adjacent source.

$G_N \rightarrow$  monotonically decreasing function of  $N$

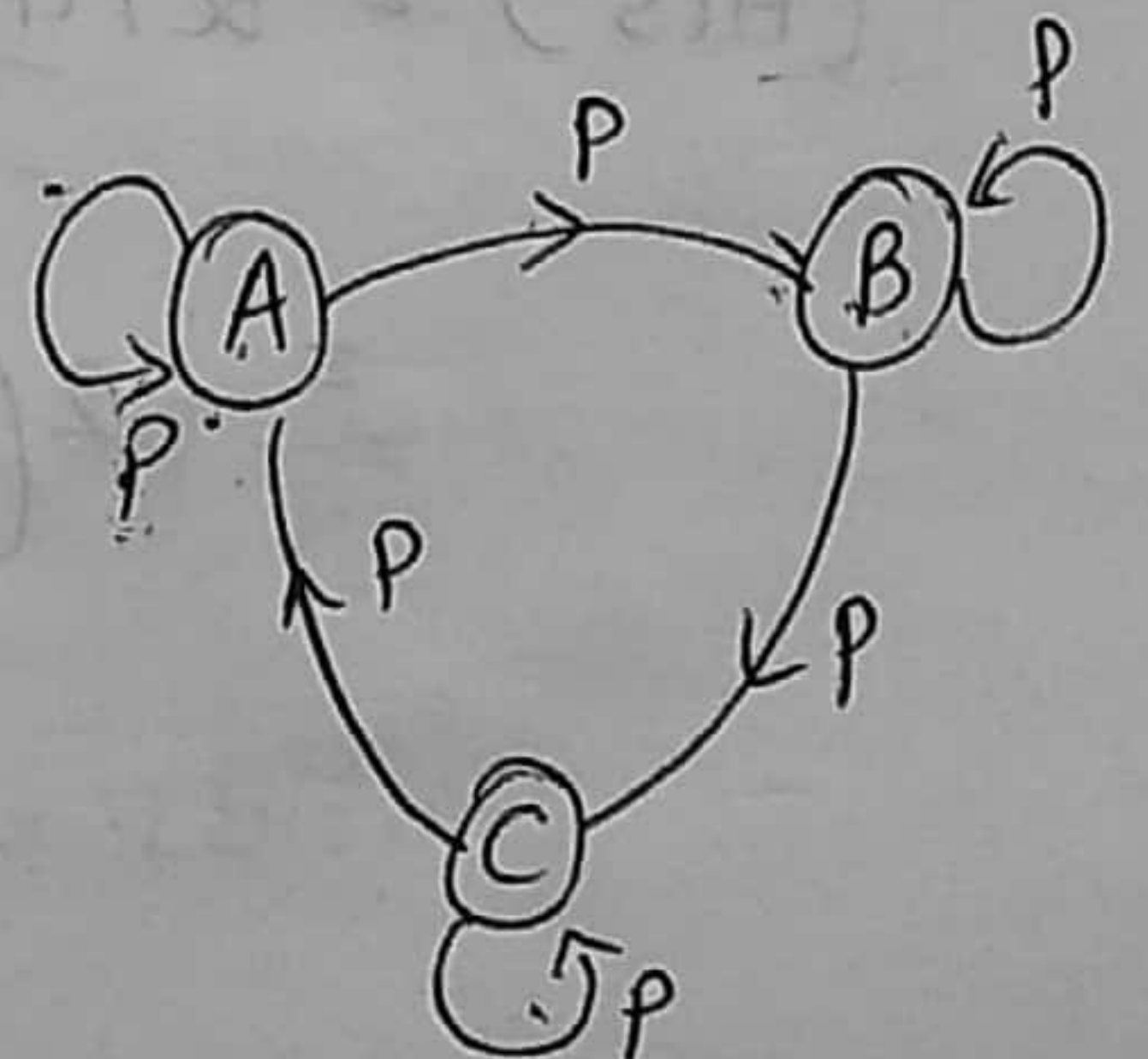
$$\lim_{N \rightarrow \infty} G_N = H \text{ bits/sec}$$

Problem :-

i) For the first order Markov source with first order source  $S = \{A, B, C\}$  shown in figure below.

i) compute the probabilities of state.

ii) find  $H(S)$  and  $H(S^2)$



Sol<sup>n</sup>:-

$$P(A) = P P(A) + P P(C) \quad \text{--- (1)}$$

$$P(B) = P P(B) + P P(A) \quad \text{--- (2)}$$

$$P(C) = P P(C) + P P(B) \quad \text{--- (3)}$$

Adding equations (1), (2) & (3)

$$P(A) + P(B) + P(C) = 2P P(A) + 2P P(B) + 2P P(C)$$

$$P(A) + P(B) + P(C) = 2P [P(A) + P(B) + P(C)]$$

$$\boxed{P = \frac{1}{2}} \quad \text{--- (4)}$$

$$\text{(1)} \Rightarrow P(A) = \frac{1}{2} P(A) + \frac{1}{2} P(C)$$

$$\frac{1}{2} P(A) = \frac{1}{2} P(C)$$

$$P(A) = P(C) \quad \text{--- (5)}$$

$$P(B) = \frac{1}{2} P(B) + \frac{1}{2} P(A)$$

$$\frac{1}{2} P(B) = \frac{1}{2} P(A)$$

$$P(B) = P(A) \quad \text{--- (6)}$$

$$\therefore P(A) = P(B) = P(C) = \frac{1}{3}$$

$$H_i = \sum_{j=A}^C P_{ij} \log \frac{1}{P_{ij}}$$

$$i=A, H_A = P_{AA} \log \frac{1}{P_{AA}} + P_{AB} \log \frac{1}{P_{AB}} + P_{AC} \log \frac{1}{P_{AC}}$$

$$= \frac{1}{2} \log 2 + \frac{1}{2} \log 2 + 0$$

$$H_A = 1 \text{ bit/MS}$$

$$i=B, H_B = P_{BA} \log \frac{1}{P_{BA}} + P_{BB} \log \frac{1}{P_{BB}} + P_{BC} \log \frac{1}{P_{BC}}$$

$$= 0 + \frac{1}{2} \log 2 + \frac{1}{2} \log 2$$

$$H_B = 1 \text{ bit/MS}$$

$$i=C, H_C = P_{CA} \log \frac{1}{P_{CA}} + P_{CB} \log \frac{1}{P_{CB}} + P_{CC} \log \frac{1}{P_{CC}}$$

$$H_C = \frac{1}{2} \log 2 + 0 + \frac{1}{2} \log 2 = 1 \text{ bit/MS}$$

$$H = P_A H_A + P_B H_B + P_C H_C = \frac{1}{3} + \frac{1}{3} + \frac{1}{3} = \frac{3}{3} \text{ bits/MS} \\ = 1 \text{ bit/MS}$$

$$H(S^2) = 2H(S) \\ = 2 \times 1 = 2 \text{ bits/MS}$$

② Find  $G(1), G(2), G(3)$  for the previous problem  
 $G_1, G_2, G_3$

Sol<sup>n</sup>:-

$$G_N = \frac{1}{N} \sum_i P(m_i) \log \frac{1}{P(m_i)}$$

$$G_N = \frac{1}{N} H(\bar{S}^N)$$

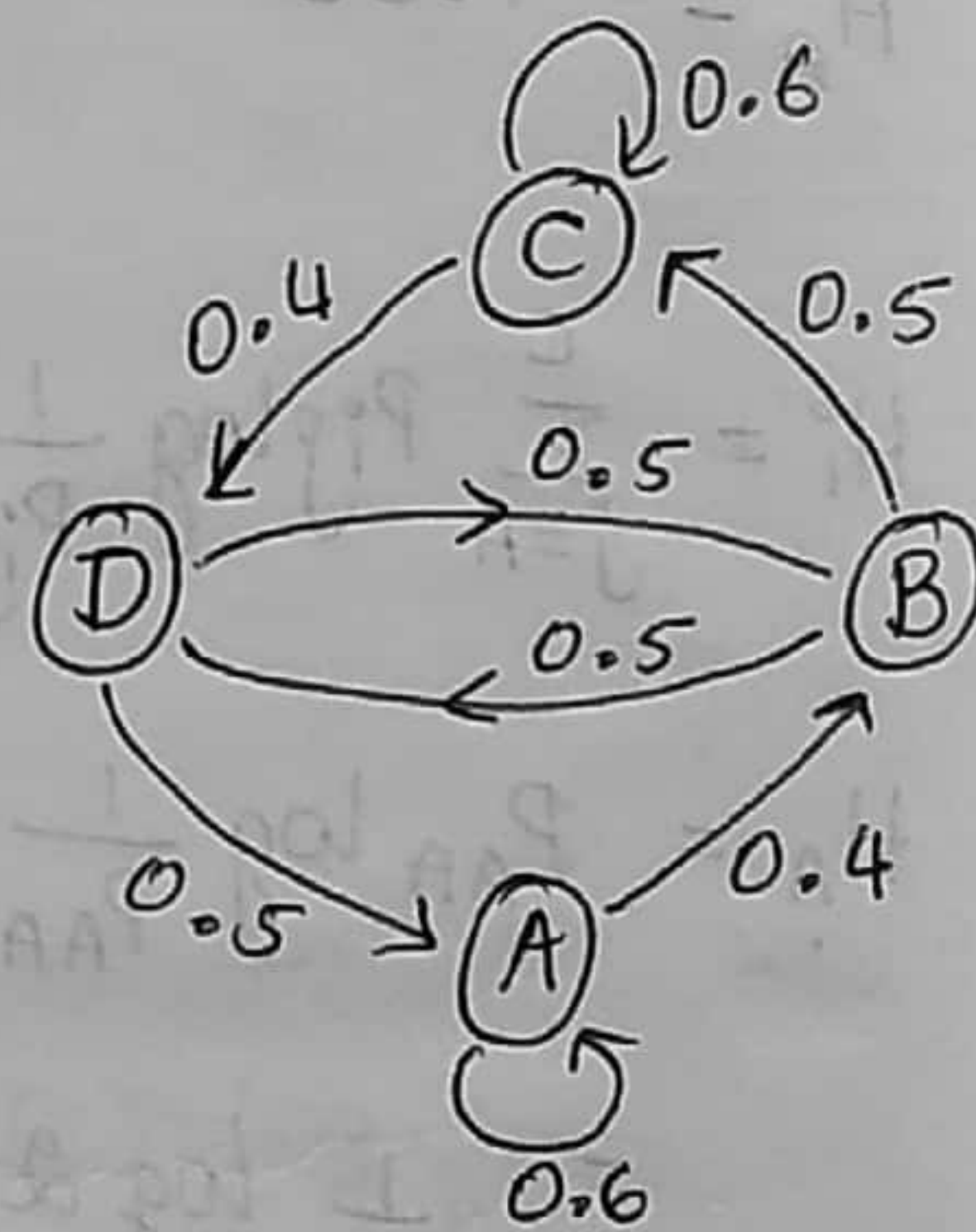
$$N=1 \quad G_1 = \frac{1}{1} H(\bar{S}^1) = 1 \text{ bit/MS}$$

$$G_2 = \frac{1}{2} H(\bar{S}^2) = \frac{1}{2} \times 2 = 1 \text{ bit/MS}$$

③ Consider the state diagram of Markov source of figure below. i) compute the state probabilities

ii) find the entropy of each state

iii) find the entropy of source



Sol<sup>n</sup>:- 4 states  $\rightarrow A, B, C, D$

$$i) P(A) = 0.6 P(A) + 0.5 P(D) \quad \text{--- (1)}$$

$$P(B) = 0.4 P(A) + 0.5 P(D) \quad \text{--- (2)}$$

$$P(C) = 0.6 P(C) + 0.5 P(B) \quad \text{--- (3)}$$

$$P(D) = 0.4 P(C) + 0.5 P(B) \quad \text{--- (4)}$$

Adding (1) & (2)

$$P(A) + P(B) = 1.0 P(A) + 1.0 P(D) \quad \text{--- (5)}$$

$$(3) + (4) \quad P(C) + P(D) = 1.0 P(C) + 1.0 P(B) \quad \text{--- (6)}$$

$$P(A) \quad (1) \Rightarrow +0.4 P(A) = 0.5 P(D)$$

$$P(A) = \frac{0.5}{0.4} P(D)$$



② ⇒  $0.4 P(C) = 0.5 P(B)$

$P(C) = \frac{0.5}{0.4} P(B)$

$P(D) = \frac{0.5}{0.4} P(B) \times 0.4 + 0.5 P(B)$

$P(D) = 0.1 P(B)$

$P_A + P_B + P_C + P_D = 1$

$P_A = \frac{0.5}{0.4} P_B$

$P_A + P_B + P_C + P_D = 1$

$P_C = \frac{0.5}{0.4} P_B$

$P_A + 2P_B + P_C = 1$

$\frac{0.5}{0.4} P_B + 2P_B + \frac{0.5}{0.4} P_B = 1$

$4.5 P_B = 1$

$P_B = \frac{1}{4.5} = \frac{2}{9}$

$P_A = \frac{0.5}{0.4} \left(\frac{2}{9}\right) = \frac{5}{18}$

$P_C = \frac{0.5}{0.4} \left(\frac{2}{9}\right) = \frac{5}{18}$

$P_B = P_D = \frac{2}{9}$

ii) Entropies of state

$H_i = \sum_{j=A}^D P_{ij} \log \frac{1}{P_{ij}}$

$i=A \quad H_A = P_{AA} \log \frac{1}{P_{AA}} + P_{AB} \log \frac{1}{P_{AB}} + P_{AC} \log \frac{1}{P_{AC}} + P_{AD} \log \frac{1}{P_{AD}}$

$= 0.6 \log \frac{1}{0.6} + 0.4 \log \frac{1}{0.4} + 0 + 0$

$H_A = 0.971 \text{ bits/MS}$

$i=B \quad H_B = P_{BA} \log \frac{1}{P_{BA}} + P_{BB} \log \frac{1}{P_{BB}} + P_{BC} \log \frac{1}{P_{BC}} + P_{BD} \log \frac{1}{P_{BD}}$

$= 0 + 0 + 0.5 \log \frac{1}{0.5} + 0.5 \log \frac{1}{0.5}$

$H_B = 1 \text{ bit/MS}$

$$i=C \quad H_C = P_{CA} \log \frac{1}{P_{CA}} + P_{CB} \log \frac{1}{P_{CB}} + P_{CC} \log \frac{1}{P_{CC}} + P_{CD} \log \frac{1}{P_{CD}}$$

$$= 0 + 0 + 0.6 \log \frac{1}{0.6} + 0.4 \log \frac{1}{0.4}$$

$$H_C = 0.971 \text{ bits/MS}$$

$$i=D \quad H_D = 0.5 \log \frac{1}{0.5} + 0.5 \log \frac{1}{0.5} + 0 + 0$$

$$H_D = 1 \text{ bit/MS}$$

iii) Entropy of the source

$$H = \sum P_i H_i = P_A H_A + P_B H_B + P_C H_C + P_D H_D$$

$$= \frac{5}{18} (0.971) + \frac{2}{9} (1) + \frac{5}{18} (0.971) + \frac{2}{9} (1)$$

$$H = 0.9838 \text{ bits/MS}$$

sol 8

(A) You are asked to design an information system which gives the information every year for about 200 students passing out BE ECE degree from a certain university. The students can get into one of the 3 fields as given below.

i) Go abroad for higher studies. - (A)

ii) Join MBA or civil services - (B)

iii) Join industries in India - (C)

Based on the data given below construct the model for the source and source entropy.

a) On an average 100 students are going abroad.

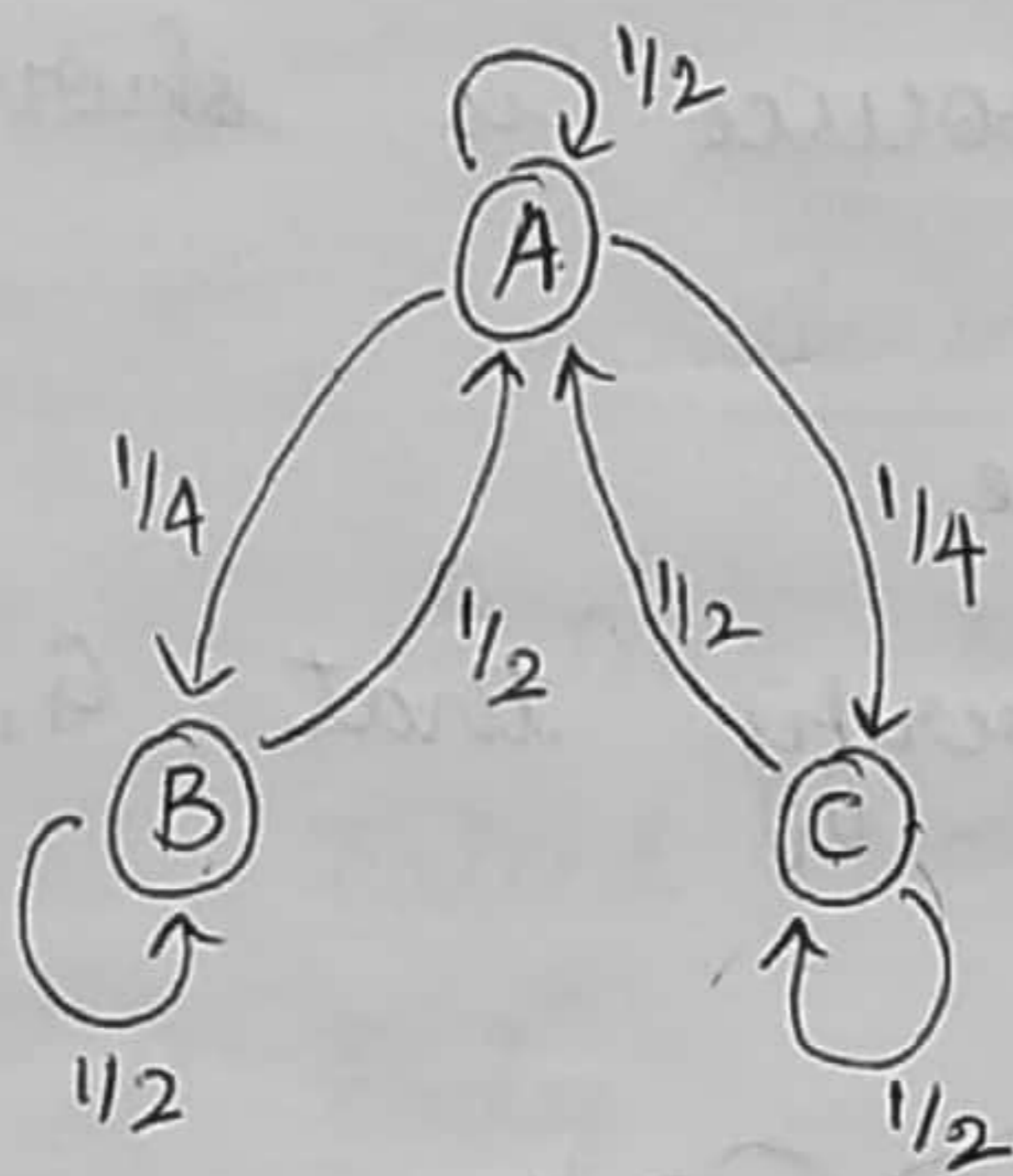
b) Out of 100 going abroad this year, 50 were reported going abroad next year, while 25 each went to MBA and civil services or joined industries in India.

c) Out of 100 remaining in India this year 50 continue to do so, while 50 went abroad next year.

d) Those joining MBA and civil services or industry couldn't swap the 2 fields next year.

Sol<sup>n</sup>:-

2018



$$P(A) = \frac{1}{2} P(A) + \frac{1}{2} P(B) + \frac{1}{2} P(C)$$

$$P(A) = P(B) + P(C)$$

$$P(B) = \frac{1}{2} P(B) + \frac{1}{4} P(A)$$

$$P(B) = \frac{1}{2} P(A)$$

$$P(C) = \frac{1}{2} P(C) + \frac{1}{4} P(A)$$

$$P(C) = \frac{1}{2} P(A)$$

But

$$P(A) + P(B) + P(C) = 1$$

$$P(A) + \frac{1}{2} P(A) + \frac{1}{2} P(A) = 1$$

$$P(A) = \frac{1}{2} \quad P(B) = P(C) = \frac{1}{4}$$

$$H_i = \sum_{j=A}^C P_{ij} \log \frac{1}{P_{ij}}$$

$$H_A = P_{AA} \log \frac{1}{P_{AA}} + P_{AB} \log \frac{1}{P_{AB}} + P_{AC} \log \frac{1}{P_{AC}} = \frac{1}{2} \log 2 + \frac{1}{4} \log 4 + \frac{1}{4} \log 4$$

$$H_A = 1.5 \text{ bits/MS}$$

$$H_B = \frac{1}{2} \log 2 + \frac{1}{4} \log 4 = 1 \text{ bit/MS}$$

$$H_C = \frac{1}{2} \log 2 + \frac{1}{4} \log 4 = 1 \text{ bit/MS}$$

$$H = \sum P_i H_i = \frac{1}{2} (1.5) + \frac{1}{4} (1) + \frac{1}{4} (1)$$

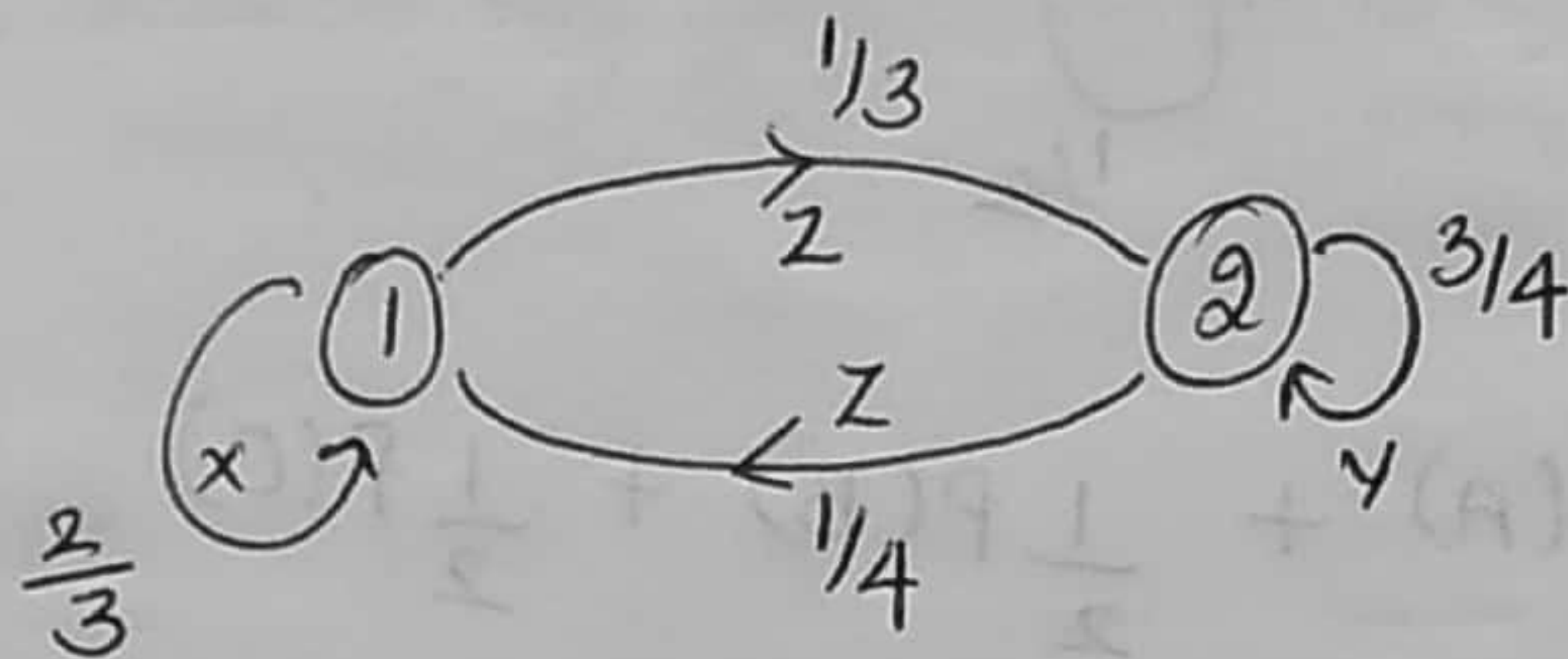
$$H = 1.25 \text{ bits/MS}$$

Assignment

⑤ A state diagram of Markov source is shown in figure below.

i) Find the entropy of the source.

ii) Find  $G_1$ ,  $G_2$  and  $G_3$  and verify that  $G_1 > G_2 > G_3 > H$



Source Coding

Coding :- Representing some information using code alphabet is called coding.

Properties of codes

1) Block code: A block code is a code which maps each of the symbols of the source alphabet  $S$  into some finite sequences of code symbols from the code alphabet  $X$  and each of these finite sequences is called code word.

Eg :-  $S = \{s_1, s_2, s_3, s_4\}$        $X = \{0, 1\}$

Source symbol	Code - A
$s_1$	00
$s_2$	01
$s_3$	10
$s_4$	11

code words  
↓  
combination of code alphabet

No two source symbols have same code.

2) Non-singular code: A block code is said to be non-singular if and only if all the code words are distinct and easily distinguishable from one another.

Eg :-

Source symbol	Code - B
$s_1$	0
$s_2$	00
$s_3$	01
$s_4$	11

Second extension

$s_1 s_1 - 00$        $s_1 s_3 - 001$        $s_2 s_1 - 000$        $s_2 s_3 - 0001$   
 $s_1 s_2 - 000$        $s_1 s_4 - 011$        $s_2 s_2 - 0000$        $s_2 s_4 - 0011$

$S_3 S_1 - 010$        $S_3 S_3 - 0101$        $S_4 S_1 - 110$        $S_4 S_3 - 1101$  2018  
 $S_3 S_2 - 0100$        $S_3 S_4 - 0111$        $S_4 S_2 - 1100$        $S_4 S_4 - 1111$

For code A  $\rightarrow$  code A'  $\rightarrow$  second extension of code A

$S_1 S_2 - 0001$        $S_1 S_3 - 0010$        $S_2 S_1 - 0100$        $S_2 S_2 - 0101$   
 $S_1 S_4 - 0000$        $S_1 S_4 - 0011$        $S_2 S_3 - 0110$        $S_2 S_4 - 0111$   
 $S_3 S_1 - 1000$        $S_3 S_3 - 1010$        $S_4 S_1 - 1100$        $S_4 S_3 - 1110$   
 $S_3 S_2 - 1001$        $S_3 S_4 - 1011$        $S_4 S_2 - 1101$        $S_4 S_4 - 1111$

No codes are same.  $\therefore$  It is a non-singular code.

3) Uniquely decodable code: A block code is said to be uniquely decodable if and only if the  $n^{\text{th}}$  extension code words of the code is non-singular for every finite value of  $n$ .

Eg:- Consider code A and code B. [table]

Received sequence :- 001100

code A -  $S_1 S_4 S_1$  [taken 2 bit at a time  $\because$  code A has 2 bits]

code B -  $S_1 S_1 S_4 S_1 S_1$  |  $S_2 S_4 S_2$  |  $S_2 S_4 S_1 S_1$  |  $S_3 S_1 S_4 S_2$  |  $S_1 S_3$

As by using code B we get more alternatives, code B is not uniquely decodable whereas code A is uniquely decodable.

4) Instantaneous code: A uniquely decodable code is said to be instantaneous if it is possible to recognize the end of any code word in any received sequence without referencing any succeeding symbol i.e., there is no time delay in the process of decoding and decoding is done instantaneously as and when the symbols are arrived at the receiver.

Source symbols	code-C	code-D	code-E
$S_1$	00	0	0
$S_2$	01	10	01
$S_3$	10	110	011
$S_4$	11	1110	0111

Received symbol 001100

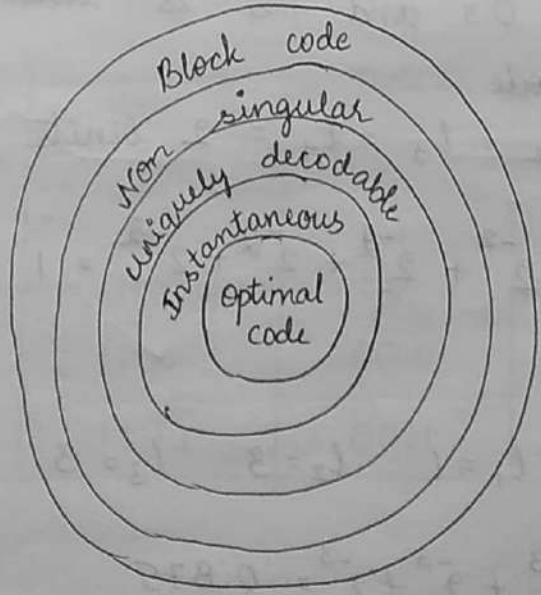
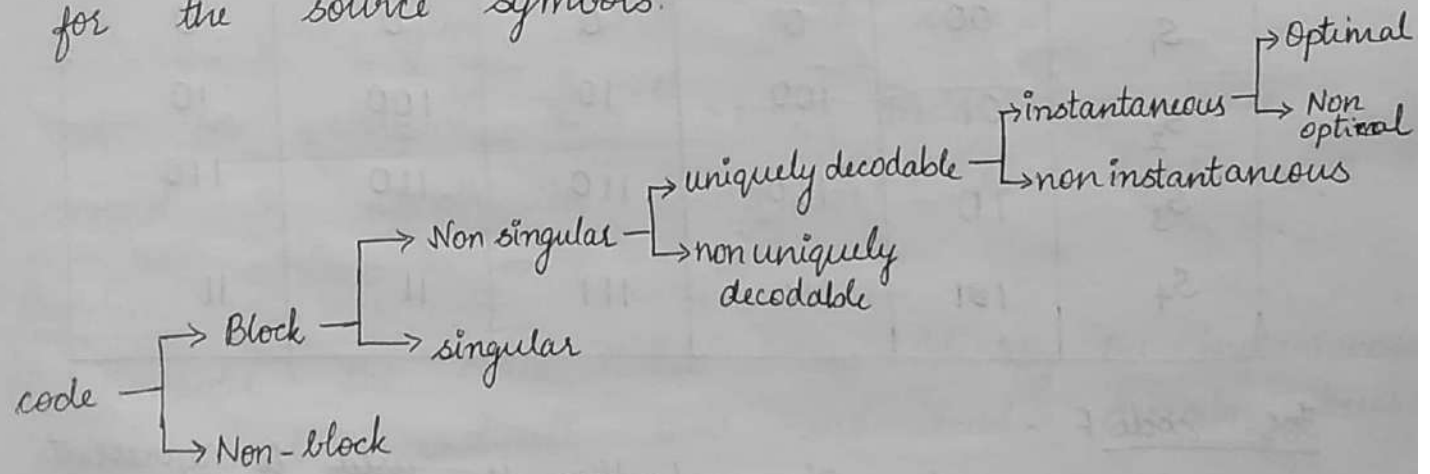
code C -  $S_1 S_4 S_1$   
code D -  $S_1 S_1 S_3 S_1$  } → Instantaneous code

code E -  $S_1 S_3 S_1 S_1$  → to decode using code E we have to wait for the arrival for the next bit.

In code E 0 is prefix of  $S_2, S_3, S_4$   
01 is a code and is prefix of  $S_3, S_4$   
011 is a code and is prefix of  $S_4$   
∴ code E is not instantaneous code.

No codeword should be the prefix of other code. Then it is called instantaneous code.

5) Optimal codes : An instantaneous code is said to be optimal code if it has minimum average length 'L' for a source with a given probability assignment for the source symbols.



## Kraft - Inequality (Kraft - McMillani Inequality)

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A necessary and sufficient condition for the existence of an instantaneous code with word lengths  $L_1, L_2, \dots, L_q$  is that

$$\sum_{i=1}^q r^{-l_i} \leq 1$$

where  $r$  is the number of code alphabets  
 $l$  is the length of each code word  
↳ It is represented using binary units  
binitis

Only 0, 1 then  $r = 2 \rightarrow$  binitis

Only 0, 1, 2 then  $r = 3 \rightarrow$  trinitis (ternary units)

0, 1, 2, 3 then  $r = 4 \rightarrow$  quaternary units

Eg:-

Source symbols	Code F	Code G	Code H	Code I	Code J
$S_1$	00	0	0	0	0
$S_2$	01	100	10	100	10
$S_3$	10	110	110	110	110
$S_4$	101	111	111	11	11

For code F:-

$r = 2$   $\because$  only 0's and 1's is used to represent the code.

$q = 4$ ,  $l_1 = l_2 = l_3 = l_4 = 2$  binitis

$$\sum_{i=1}^4 2^{-l_i} = 2^{-2} + 2^{-2} + 2^{-2} + 2^{-2} = 1$$

For code G:-

$r = 2$   $q = 4$   $l_1 = 1$   $l_2 = 3$   $l_3 = 3$   $l_4 = 3$  binitis

$$\sum_{i=1}^4 2^{-l_i} = 2^{-1} + 2^{-3} + 2^{-3} + 2^{-3} = 0.875$$



For code H:-

$r=2 \quad q=4 \quad l_1=1 \quad l_2=2 \quad l_3=3 \quad l_4=3$  bits

$$\sum_{i=1}^4 2^{-l_i} = 2^{-1} + 2^{-2} + 2^{-3} + 2^{-3} = 1$$

For code I:-

$r=2 \quad q=4 \quad l_1=1 \quad l_2=l_3=3 \quad l_4=2$  bits

$$\sum_{i=1}^4 2^{-l_i} = 2^{-1} + 2^{-3} + 2^{-3} + 2^{-2} = 1$$

For code J:-

$r=2 \quad q=4 \quad l_1=1 \quad l_2=2 \quad l_3=3 \quad l_4=2$  bits

$$\sum_{i=1}^4 2^{-l_i} = 2^{-1} + 2^{-2} + 2^{-3} + 2^{-2} = 1.125$$

For code F, G, H, I ;  $\sum_{i=1}^q r^{-l_i} \leq 1 \therefore$  We can say that instantaneous code can be constructed using the code lengths given under each code.

But for code J,  $\sum_{i=1}^q r^{-l_i} > 1 \therefore$  Instantaneous code cannot be constructed using  $l_1=1, l_2=2, l_3=3, l_4=2$ .

Problems

① Consider the codes listed below. Identify the instantaneous codes and construct their individual decision trees.

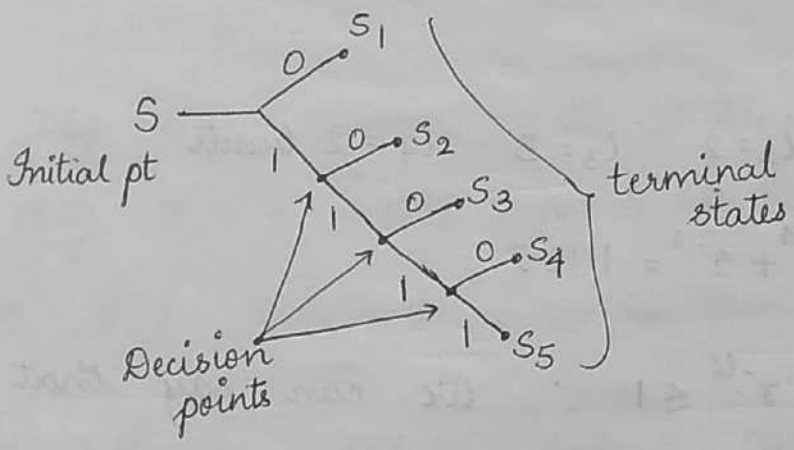
Source symbols	Code K	Code L	Code M	Code N
$S_1$	0	0	0	00
$S_2$	10	01	01	01
$S_3$	110	001	011	10
$S_4$	1110	0010	110	110
$S_5$	1111	0011	111	111

Sol<sup>n</sup>:- In code L & code M, code word 0 is a prefix of other code word.  $\therefore$  Code L and code M is non instantaneous code.

Code K and code N are instantaneous code.

Code tree / Decision tree

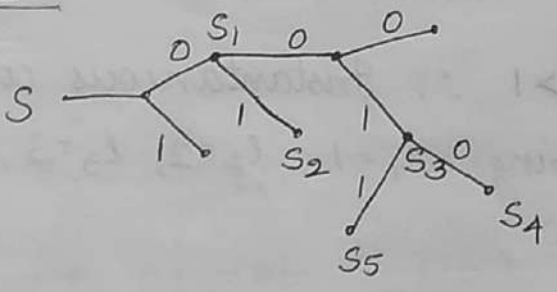
Code K



Terminal points / states are not acting as decision points

→ Instantaneous code

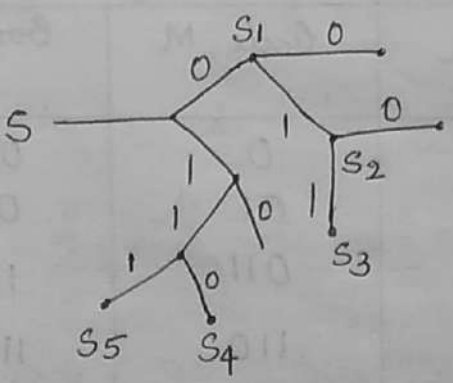
Code L



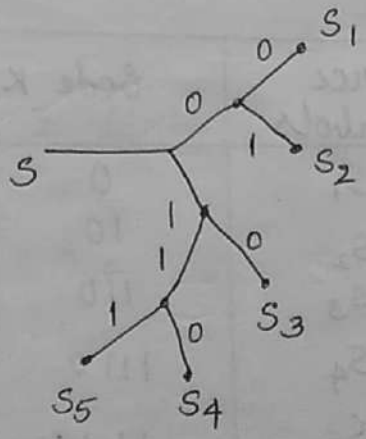
Terminal states (S1, S3) are acting as decision points.

→ Not instantaneous code

Code M



Code N



② The received code is 0110111111010. Decode this using code K, L, M & N 23/8

Sol:- Code K. 0110111111010  
 $S_1 S_3 S_5 S_4 S_2$

Code L  $S_2$

Code M  $S_3 S_3 S_5 S_4$

Code N  $S_2 S_3 S_5 S_5 S_3 S_3$

Can't be decoded  $\because$  not instantaneous.

③ Which of the following sets of word lengths specified in table below are acceptable for the existence of an instantaneous code given  $X = \{0, 1, 2\}$ ?

No. of words of word length $l_i$			Word length
Code-P	Code-Q	Code-R	
2	2	1	1
1	2	4	2
2	2	6	3
4	3	0	4
1	1	0	5

Sol<sup>n</sup>:-  $r=3$

In code P there are 2 codes with 1 trinit each

$$\sum_{i=1}^{\infty} r^{-l_i} = \sum_{i=1}^{\infty} 3^{-l_i}$$

$$= 2(3^{-1}) + 1(3^{-2}) + 2(3^{-3}) + 4(3^{-4}) + 1(3^{-5})$$

$$= 1.27 < 1 \quad 0.9053 < 1$$

Code Q  $\sum_{i=1}^{\infty} r^{-l_i} = \sum_{i=1}^{\infty} 3^{-l_i} = 2(3^{-1}) + 2(3^{-2}) + 2(3^{-3}) + 3(3^{-4}) + 3^{-5}$

$$= 1.004 > 1$$

Code R  $\sum_{i=1}^{\infty} r^{-l_i} = \sum_{i=1}^{\infty} 3^{-l_i} = 3^{-1} + 4(3^{-2}) + 6(3^{-3}) = 1$

# Code Efficiency and redundancy

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Average length of a code

$$L = \sum_{i=1}^q P_i l_i \text{ (bits/MS)}$$

$$H(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i} \text{ (bits/MS)}$$

$$L \geq H(S) \Rightarrow \text{binary}$$

$$L \geq H_r(S) \Rightarrow r\text{-ary codes}$$

$$H_r(S) = \frac{H(S)}{\log_2 r}$$

$$\eta_c = \frac{H(S)}{L} \quad \text{or} \quad \eta_c = \frac{H_r(S)}{L}$$

Redundancy  $R_{\eta_c} = 1 - \eta_c$

## Problems

- ① A source having an alphabet  $S = \{s_1, s_2, s_3, s_4, s_5\}$  produces these symbols with respective probabilities of  $P = \left\{ \frac{1}{2}, \frac{1}{6}, \frac{1}{6}, \frac{1}{9}, \frac{1}{18} \right\}$ . i) When these symbols are coded as code N, find efficiency and redundancy. ii) When these symbols are coded as code K, find efficiency and redundancy.

Sol:-  $H(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i} = \sum_{i=1}^5 P_i \log \frac{1}{P_i}$

$$= \frac{1}{2} \log 2 + 2 \times \frac{1}{6} \log 6 + \frac{1}{9} \log 9 + \frac{1}{18} \log 18$$

$$H(S) = 1.946 \text{ bits/MS}$$

i) code N  $\eta_c = \frac{H(S)}{L}$

$$L = \sum_{i=1}^q P_i l_i = \sum_{i=1}^5 P_i l_i$$

$$L = \frac{1}{2}(2) + \frac{1}{6}(2) + \frac{1}{6}(2) + \frac{1}{9}(3) + \frac{1}{18}(3)$$

$$L = 2.167 \text{ bits/MS}$$

Code K  $\eta_c = \frac{H(s)}{L} = \frac{1.946}{2.167} = 0.898 \times 100 = 89.8\%$

$$R_{\eta_c} = 1 - \eta_c = 1 - 0.898 = 0.102 = 10.2\%$$

ii) Code K

$$L = \sum_{i=1}^5 P_i l_i = \frac{1}{2}(1) + \frac{1}{6}(2) + \frac{1}{6}(3) + \frac{1}{9}(4) + \frac{1}{18}(4)$$

$$L = 2$$

$$\eta_c = \frac{H(s)}{L} = \frac{1.946}{2} = 0.973 = 97.3\%$$

$$R_{\eta_c} = 1 - \eta_c = 1 - 0.973 = 0.027 = 2.7\%$$

### Shannon's encoding algorithm

#### Steps

1. List the source symbols in the order of non increasing probabilities. Given  $S = \{s_1, s_2, \dots, s_q\}$  with probabilities

$$P = \{P_1, P_2, \dots, P_q\}; \quad P_1 \geq P_2 \geq P_3 \geq \dots \geq P_q.$$

2. Compute the sequences  $\alpha_1 = 0$ ;  $\alpha_2 = P_1 = P_1 + \alpha_1$ ;

$$\alpha_3 = P_2 + P_1 = P_2 + \alpha_2; \quad \alpha_4 = P_3 + P_2 + P_1 = P_3 + \alpha_3 \dots$$

$$\alpha_{q+1} = P_q + P_{q-1} + \dots + P_1 = P_q + \alpha_q$$

3. Determine the smallest integer value of  $l_i$  using the inequality  $2^{l_i} \geq \frac{1}{P_i}$  for all  $i = 1, 2, \dots, q$

4. Expand the decimal number  $\alpha_i$  in binary form upto  $l_i$  places neglecting expansion beyond  $l_i$  places.

5. Remove the binary point to get the desired code

## Problems

① Apply Shannon's encoding algorithm to the following set of messages and obtain code efficiency and redundancy.

$m_1$	$m_2$	$m_3$	$m_4$	$m_5$
$\frac{1}{8}$	$\frac{1}{16}$	$\frac{3}{16}$	$\frac{1}{4}$	$\frac{3}{8}$

Sol :-

$m_1$	$m_2$	$m_3$	$m_4$	$m_5$
$\frac{1}{8}$	$\frac{1}{16}$	$\frac{3}{16}$	$\frac{1}{4}$	$\frac{3}{8}$
$\frac{2}{16}$	$\frac{1}{16}$	$\frac{3}{16}$	$\frac{4}{16}$	$\frac{9}{16}$

Step 1 :

$m_5$	$m_4$	$m_3$	$m_1$	$m_2$
$\frac{6}{16}$	$\frac{4}{16}$	$\frac{3}{16}$	$\frac{2}{16}$	$\frac{1}{16}$

Step 2 :

$$\alpha_1 = 0 \quad q = 5$$

$$\alpha_2 = P_1 + \alpha_1 = \frac{6}{16} = 0.375$$

$$\alpha_3 = P_2 + \alpha_2 = \frac{4}{16} + \frac{6}{16} = \frac{5}{8} = 0.625$$

$$\alpha_4 = P_3 + \alpha_3 = \frac{3}{16} + \frac{5}{8} = \frac{13}{16} = 0.8125$$

$$\alpha_5 = P_4 + \alpha_4 = \frac{2}{16} + \frac{13}{16} = \frac{15}{16} = 0.9375$$

$$\alpha_{q+1} = \alpha_6 = P_5 + \alpha_5 = \frac{1}{16} + \frac{15}{16} = 1$$

Step 3 :-

$$i=1, 2^{l_1} \geq \frac{1}{P_1} = \frac{1}{\frac{6}{16}} = \frac{16}{6} = 2.66$$

$$i=2, 2^{l_2} \geq \frac{1}{P_2} = \frac{1}{\frac{4}{16}} = 4 \quad 2^{l_2} \geq 4$$

$$i=3, 2^{l_3} \geq \frac{1}{P_3} = \frac{1}{\frac{3}{16}} = \frac{16}{3} = 5.33$$

$$2^{l_4} = \frac{1}{P_4} = \frac{1}{2/16} = 8$$

$$2^{l_4} \geq 8$$

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$$2^{l_5} = \frac{1}{P_5} = \frac{1}{1/16} = 16$$

$$2^{l_5} \geq 16$$

$$2^{l_1} \geq 2.66$$

$$2^{l_2} \geq 4$$

$$2^{l_3} \geq 5.33$$

$$l_1 \geq \log_2 2.66$$

$$l_2 \geq \log_2 4$$

$$l_3 = 2.41$$

$$l_3 \geq \log_2 5.33$$

$$l_1 = 1.41$$

$$l_2 = 2$$

$$l_3 = 3$$

$$l_1 = 2$$

$$2^{l_4} \geq 8$$

$$2^{l_5} \geq 16$$

$$l_4 \geq \log_2 8$$

$$l_5 \geq \log_2 16$$

$$l_4 = 3$$

$$l_5 = 4$$

Step 4:

$\alpha_1 = 0$  and as  $l_1 = 2$  (length of code = 2)

it is coded as 00

$$\alpha_1 = 0 \quad 00$$

$$\alpha_2 = (0.375)_{10}$$

$$0.375 \times 2 = 0.75 \quad \text{with carry } 0 \downarrow$$

$$0.75 \times 2 = 1.5 \rightarrow 1$$

$$0.5 \times 2 = 1 \rightarrow 1$$

$\alpha_2 = (0.011)_2 = 01 \rightarrow$  the 2 bits after decimal point is the code as the length of code = 2 ( $l_2$ )

$$\alpha_3 = (0.625)_{10}$$

$$0.625 \times 2 = 1.25 \rightarrow 1$$

$$\alpha_3 = (0.101)_2 = 101$$

$$0.25 \times 2 = 0.5 \rightarrow 0$$

$$l_3 = 3$$

$$0.5 \times 2 = 1 \rightarrow 1$$

$$\alpha_4 = (0.8125)_{10}$$

$$0.8125 \times 2 = 1.625 \rightarrow 1$$

$$\alpha_4 = (0.1101)_2 = 110$$

$$0.625 \times 2 = 1.25 \rightarrow 1$$

$$l_4 = 3$$

$$0.25 \times 2 = 0.5 \rightarrow 0$$

$$0.5 \times 2 = 1 \rightarrow 1$$

$$\alpha_5 = 0.9375 = (0.1111)_2 \quad l_5 = 4$$

Step 5 :-  $\alpha_1 = 0, \quad l_1 = 2 \quad \therefore$  code for  $m_5 = 00$

$\alpha_2 = (0.011)_2, \quad l_2 = 2 \quad \therefore$  code for  $m_4 = 01$

$\alpha_3 = (0.101)_2, \quad l_3 = 3 \quad \therefore$  code for  $m_3 = 101$

$\alpha_4 = (0.1101)_2, \quad l_4 = 3 \quad \therefore$  code for  $m_1 = 110$

$\alpha_5 = (0.1111)_2, \quad l_5 = 4 \quad \therefore$  code for  $m_2 = 1111$

Symbols	Probabilities	length	code
$m_5$	$\frac{6}{16}$	2	00
$m_4$	$\frac{4}{16}$	2	01
$m_3$	$\frac{3}{16}$	3	101
$m_1$	$\frac{2}{16}$	3	110
$m_2$	$\frac{1}{16}$	4	1111

$$H(s) = \sum_{i=1}^n P_i \log \frac{1}{P_i} = \sum_{i=1}^{14} P_i \log \frac{1}{P_i}$$

$$= 2 \left( \frac{6}{16} \log \frac{16}{6} \right) + 2 \left( \frac{4}{16} \log \frac{16}{4} \right) + 3 \left( \frac{3}{16} \log \frac{16}{3} \right) + 3 \left( \frac{2}{16} \log \frac{16}{2} \right) + 4 \left( \frac{1}{16} \log 16 \right)$$

$$H(s) = \frac{0.635}{0.545} \text{ bits/message symbol} = 2.108 \text{ bits/MS}$$

$$L = \sum_{i=1}^n P_i l_i = \sum_{i=1}^{5M} P_i l_i$$

$$= 2 \left( \frac{6}{16} \right) + 2 \left( \frac{4}{16} \right) + 3 \left( \frac{3}{16} \right) + 3 \left( \frac{2}{16} \right) + 4 \left( \frac{1}{16} \right)$$

$$= 2.4375 \text{ limits/MS}$$

$$\eta_c = \frac{H(s)}{L} = \frac{2.108}{2.4375} = 0.8648 = 86.5\%$$



$$R_{\eta_c} = 1 - \eta_c = 1 - 0.8648 = 0.1352$$

$$= 13.52\%$$

② Apply Shannon's encoding (binary) algorithm to the following messages

$S_1$	$S_2$	$S_3$
0.5	0.3	0.2

- i) Find code efficiency and redundancy
- ii) If the same technique is applied to the 2<sup>nd</sup> order extension of this source, how much will the code efficiency be improved?

Sol<sup>n</sup>:- i)  $S_1$   $S_2$   $S_3$

Step-1

0.5	0.3	0.2
$\frac{1}{2}$	$\frac{3}{10}$	$\frac{1}{5}$

Step 2

$$\alpha_1 = 0 \quad q = 3$$

$$\alpha_2 = P_1 + \alpha_1 = 0.5$$

$$\alpha_3 = P_2 + \alpha_2 = 0.3 + 0.5 = 0.8$$

$$\alpha_4 = P_3 + \alpha_3 = 0.2 + 0.8 = 1$$

Step 3

$$2^{l_i} \geq \frac{1}{P_i}$$

$i = 1, \quad 2^{l_1} \geq \frac{1}{P_1} \geq \frac{1}{1/2} \geq 2$

$$2^{l_1} \geq 2 \quad l_1 \geq \log_2 2 \quad l_1 = 1$$

$i = 2, \quad 2^{l_2} \geq \frac{1}{P_2} \geq \frac{1}{0.3} \geq 3.33$

$$2^{l_2} \geq 3.33 \quad l_2 = 1.73 \quad l_2 = 2$$

$i = 3, \quad 2^{l_3} \geq \frac{1}{P_3} \geq \frac{1}{0.2} \geq 5$

$$2^{l_3} \geq 5 \quad l_3 = 2.32 \quad l_3 = 3$$

Step 4  $\alpha_1 = 0 \Rightarrow 0$

$\alpha_2 = (0.5)_{10} = (1)_2 \quad l_1 = 1$

$0.5 \times 2 = 1$

$\alpha_2 = (10)_2 \Rightarrow 10 \quad l_2 = 2$

$\alpha_3 = (0.8)_{10}$

$0.8 \times 2 = 1.6 \rightarrow 1$

$\alpha_3 = (0.11001\dots)_2$

$0.6 \times 2 = 1.2 \rightarrow 1$

$0.2 \times 2 = 0.4 \rightarrow 0$

$\alpha_3 \Rightarrow 110 \quad l_3 = 3$

$0.4 \times 2 = 0.8 \rightarrow 0$

$0.8 \times 2 = 1.6 \rightarrow 1$

~~$\alpha_4 = 1$~~   $(0.1111) \Rightarrow 1111 \quad l_4 = 4$

Step 5  $\alpha_1 = 0, l_1 = 1 \therefore$  code for  $S_1 = 0$

$\alpha_2 = (0.10)_2, l_2 = 2 \therefore$  code for  $S_2 = 10$

$\alpha_3 = (0.11001\dots)_2, l_3 = 3 \therefore$  code for  $S_3 = 110$

Symbols	Probabilities	length	code
$S_1$	0.5	1	0
$S_2$	0.3	2	10
$S_3$	0.2	3	110

$$H(s) = \sum_{i=1}^n P_i \log \frac{1}{P_i} = \sum_{i=1}^3 P_i \log \frac{1}{P_i}$$

$$= 0.5 \log \frac{1}{0.5} + 0.3 \log \frac{1}{0.3} + 0.2 \log \frac{1}{0.2}$$

$$H(s) = 1.485 \text{ bits / MS}$$

$$L = \sum_{i=1}^n l_i P_i = \sum_{i=1}^3 l_i P_i = 0.5 + 2(0.3) + 3(0.2) = 1.7 \text{ bits / MS}$$

$$\eta_c = \frac{H(s)}{L} = \frac{1.485}{1.7} = 0.873 = 87.3\%$$

$$R\eta_c = 1 - \eta_c = 0.127 = 12.7\%$$

ii) Second order extension

$$S_1 S_1 \rightarrow 0.5 \times 0.5 = 0.25$$

$$S_2 S_3 \Rightarrow 0.3 \times 0.2 = 0.06$$

$$S_1 S_2 \rightarrow 0.5 \times 0.3 = 0.15$$

$$S_3 S_1 \rightarrow 0.2 \times 0.5 = 0.1$$

$$S_1 S_3 \rightarrow 0.5 \times 0.2 = 0.1$$

$$S_3 S_2 \rightarrow 0.2 \times 0.3 = 0.06$$

$$S_2 S_1 \rightarrow 0.3 \times 0.5 = 0.15$$

$$S_3 S_3 \rightarrow 0.2 \times 0.2 = 0.04$$

$$S_2 S_2 \rightarrow 0.3 \times 0.3 = 0.09$$

Step 1:-

	$S_1 S_1$	$S_1 S_2$	$S_2 S_1$	$S_1 S_3$	$S_3 S_1$	$S_2 S_2$	$S_2 S_3$	$S_3 S_2$	$S_3 S_3$
	0.25	0.15	0.15	0.1	0.1	0.09	0.06	0.06	0.04

Step 2:-  $\alpha_1 = 0$   $q = 9$

$$\alpha_2 = P_1 + \alpha_1 = 0.25$$

$$\alpha_3 = P_2 + \alpha_2 = 0.15 + 0.25 = 0.4$$

$$\alpha_4 = P_3 + \alpha_3 = 0.15 + 0.4 = 0.55$$

$$\alpha_5 = P_4 + \alpha_4 = 0.1 + 0.55 = 0.65$$

$$\alpha_6 = P_5 + \alpha_5 = 0.1 + 0.65 = 0.75$$

$$\alpha_7 = P_6 + \alpha_6 = 0.09 + 0.75 = 0.84$$

$$\alpha_8 = P_7 + \alpha_7 = 0.06 + 0.84 = 0.9$$

$$\alpha_9 = P_8 + \alpha_8 = 0.06 + 0.9 = 0.96$$

$$\alpha_{10} = P_9 + \alpha_9 = 0.04 + 0.96 = 1$$

Step 3:-  $2^{l_i} \geq \frac{1}{P_i}$

$$i=1; \quad 2^{l_1} \geq \frac{1}{0.25} \geq 4$$

$$l_1 = 2$$

$$i=2, \quad 2^{l_2} \geq \frac{1}{0.15} \geq 6.66$$

$$l_2 = 3$$

$$i=3, \quad 2^{l_3} \geq \frac{1}{0.15} \geq 6.66$$

$$l_3 = 3$$

$$i=4, \quad 2^{l_4} \geq \frac{1}{0.1} \geq 10$$

$$l_4 = 4$$

$$i=5, \quad 2^{l_5} \geq \frac{1}{0.1} \geq 10$$

$$l_5 = 4$$

$$i=6, 2^6 \geq \frac{1}{0.09} \geq 11.11$$

$$l_6 = 3.47 = 4$$

$$i=7, 2^7 \geq \frac{1}{0.06} \geq 16.66$$

$$l_7 = 4.05 = 5$$

$$i=8, 2^8 \geq \frac{1}{0.06} \geq 16.66$$

$$l_8 = 4.05 = 5$$

$$i=9, 2^9 \geq \frac{1}{0.04} \geq 25$$

$$l_9 = 4.64 = 5$$

Step 4 :-  $\alpha_1 = 0 \Rightarrow 00$

$$l_1 = 2$$

$$\alpha_2 = (0.25)_{10}$$

$$\alpha_2 = (0.01)_2 \Rightarrow 010$$

$$0.25 \times 2 = 0.5 \rightarrow 0$$

$$l_2 = 3$$

$$0.5 \times 2 = 1 \rightarrow 1$$

$$\alpha_3 = (0.4)_{10}$$

$$0.4 \times 2 = 0.8 \rightarrow 0$$

$$\alpha_3 = (0.0110)_2 \Rightarrow 011$$

$$0.8 \times 2 = 1.6 \rightarrow 1$$

$$l_3 = 3$$

$$0.6 \times 2 = 1.2 \rightarrow 1$$

$$0.2 \times 2 = 0.4 \rightarrow 0$$

$$\alpha_4 = (0.55)_{10}$$

$$l_4 = 4$$

$$0.55 \times 2 = 1.1 \rightarrow 1$$

$$\alpha_4 = (0.1000)_2 \Rightarrow 1000$$

$$0.1 \times 2 = 0.2 \rightarrow 0$$

$$0.2 \times 2 = 0.4 \rightarrow 0$$

$$0.4 \times 2 = 0.8 \rightarrow 0$$

$$\alpha_5 = (0.65)_{10}$$

$$l_5 = 4$$

$$0.65 \times 2 = 1.3 \rightarrow 1$$

$$\alpha_5 = (0.1010)_2 \Rightarrow 1010$$

$$0.3 \times 2 = 0.6 \rightarrow 0$$

$$0.6 \times 2 = 1.2 \rightarrow 1$$

$$0.2 \times 2 = 0.4 \rightarrow 0$$

$$\alpha_6 = (0.75)_{10}$$

$$0.75 \times 2 = 1.5 \rightarrow 1$$

$$0.5 \times 2 = 1 \rightarrow 1$$

$$\alpha_7 = (0.84)_{10}$$

$$0.84 \times 2 = 1.68 \rightarrow 1$$

$$0.68 \times 2 = 1.36 \rightarrow 1$$

$$0.36 \times 2 = 0.72 \rightarrow 0$$

$$0.72 \times 2 = 1.44 \rightarrow 1$$

$$0.44 \times 2 = 0.88 \rightarrow 0$$

$$\alpha_8 = (0.9)_{10}$$

$$0.9 \times 2 = 1.8 \rightarrow 1$$

$$0.8 \times 2 = 1.6 \rightarrow 1$$

$$0.6 \times 2 = 1.2 \rightarrow 1$$

$$0.2 \times 2 = 0.4 \rightarrow 0$$

$$0.4 \times 2 = 0.8 \rightarrow 0$$

$$\alpha_9 = (0.96)_{10}$$

$$0.96 \times 2 = 1.92 \rightarrow 1$$

$$0.92 \times 2 = 1.84 \rightarrow 1$$

$$0.84 \times 2 = 1.68 \rightarrow 1$$

$$0.68 \times 2 = 1.36 \rightarrow 1$$

$$0.36 \times 2 = 0.72 \rightarrow 0$$

$$l_6 = 4$$

$$\alpha_6 = (0.1100)_2 \Rightarrow 1100$$

$$l_7 = 5$$

$$\alpha_7 = (0.11010)_2 \Rightarrow 11010$$

$$l_8 = 5$$

$$\alpha_8 = (0.11100)_2 \Rightarrow 11100$$

$$l_9 = 5$$

$$\alpha_9 = (0.11110)_2 \Rightarrow 11110$$

Symbol	probabilities	length	code
$S_1 S_2$	0.25	2	00
$S_1 S_3$	0.15	3	010
$S_2 S_1$	0.15	3	011
$S_1 S_3$	0.1	4	1000
$S_3 S_1$	0.1	4	1010

Symbol	probabilities	length	code
$S_2 S_2$	0.09	4	1100
$S_2 S_3$	0.06	5	11010
$S_3 S_2$	0.06	5	11100
$S_3 S_3$	0.04	5	11110

$$H^*(S) = \sum_{i=1}^q P_i \log \frac{1}{P_i} = \sum_{i=1}^q P_i \log \frac{1}{P_i}$$

$$= 0.25 \log \frac{1}{0.25} + (0.15)2 \log \frac{1}{0.15} + 2(0.1) \log \frac{1}{0.1} + 0.09 \log \frac{1}{0.09}$$

$$+ 2(0.06) \log \frac{1}{0.06} + 0.04 \log \frac{1}{0.04}$$

$$= 2.97 \text{ bits/MS}$$

$$L = \sum_{i=1}^q l_i P_i = \sum_{i=1}^q P_i l_i$$

$$= 0.25 \times 2 + (0.15)(3)(2) + 2(0.1)(4) + 4(0.09) + 5(0.04)$$

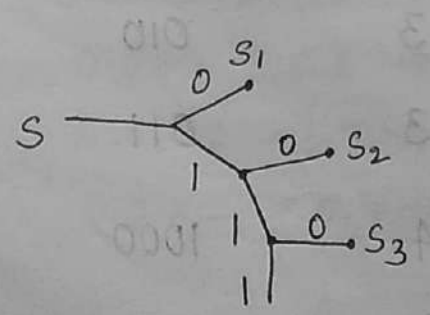
$$+ 2(0.06)(5)$$

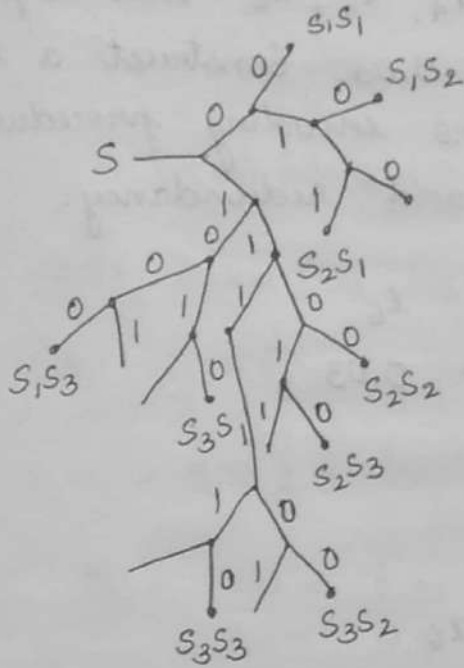
$$= 3.36 \text{ binit/MS}$$

$$\eta_c = \frac{H(S)}{L} = \frac{2.97}{3.36} = 88.39\%$$

$$R\eta_c = 1 - \eta_c = 1 - 0.8839 = 0.116 = 11.6\%$$

Code tree for  $S_1, S_2, S_3$





### Shannon - Fano encoding algorithm

#### Steps

- ① The symbols are arranged according to non-increasing probabilities
- ② The symbols are divided into 2 groups so that sum of probabilities in each group is approximately equal.
- ③ All the symbols in I grp are designated by 1 and II grp by 0.
- ④ The I grp is again sub-divided into 2 sub-grps such that each sub-grp probabilities are approximately same.
- ⑤ All the symbols in the I sub grp are designated by 1 and II sub grp by 0.
- ⑥ The II group is sub-divided into 2 more sub-groups and step ⑤ is repeated.
- ⑦ This process is continued till further sub-division is impossible.

# Problems

① Given the messages  $x_1, x_2, x_3, x_4, x_5, x_6$  with respective probabilities 0.4, 0.2, 0.2, 0.1, 0.07, 0.03. Construct a binary code by applying Shannon-Jane encoding procedure. Determine the code efficiency and redundancy.

Sol:-

Step 1

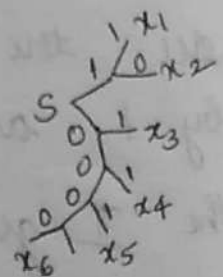
$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
0.4	0.2	0.2	0.1	0.07	0.03

Step 2

I grp	$x_1$	$x_2$			
	0.4	0.6			
II grp	$x_3$	$x_4$	$x_5$	$x_6$	
	0.2	0.1	0.07	0.03	

I	$x_1$ 0.4	1	$x_1$ 0.4	1		
	$x_2$ 0.2	1	$x_2$ 0.2	0		
II	$x_3$ 0.2	0	$x_3$ 0.2	1		
	$x_4$ 0.1	0	$x_4$ 0.1	0	$x_4$ 0.1	1
	$x_5$ 0.07	0	$x_5$ 0.07	0	$x_5$ 0.07	0
	$x_6$ 0.03	0	$x_6$ 0.03	0	$x_6$ 0.03	0

Symbol	Probabilities	Code	Length
$x_1$	0.4	11	2
$x_2$	0.2	10	2
$x_3$	0.2	01	2
$x_4$	0.1	001	3
$x_5$	0.07	0001	4
$x_6$	0.03	0000	4





$$H(S) = \sum_{i=1}^6 P_i \log \frac{1}{P_i} = \sum_{i=1}^6 P_i \log \frac{1}{P_i}$$

$$= 0.4 \log \frac{1}{0.4} + 2(0.2) \log \frac{1}{0.2} + 0.1 \log \frac{1}{0.1} + 0.07 \log \frac{1}{0.07} + 0.03 \log \frac{1}{0.03}$$

$$H(S) = 2.21 \text{ bits/MS}$$

$$L = \sum_{i=1}^6 l_i P_i = 2 \times 0.4 + 2(0.2 + 0.2) + 3 \times 0.1 + 4(0.07 + 0.03)$$

$$= 5.9 \text{ 2.3 binitis/MS}$$

$$\eta_c = \frac{H(S)}{L} = \frac{2.21}{5.9} = 0.3747 = 37.47\%$$

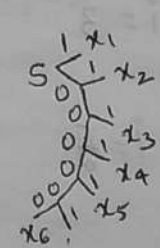
$$R\eta_c = 1 - \eta_c = 1 - 0.3747 = 0.6253 = 62.53\%$$

$$1 - 0.9608 = 0.0392 = 3.92\%$$

Alternative

$x_1$	0.4	1															
$x_2$	0.2	0	$x_2$	0.2	1												
$x_3$	0.2	0	$x_3$	0.2	0	$x_3$	0.2	1									
$x_4$	0.1	0	$x_4$	0.1	0	$x_4$	0.1	0	$x_4$	0.1	1						
$x_5$	0.07	0	$x_5$	0.07	0	$x_5$	0.07	0	$x_5$	0.07	0	$x_5$	0.07	1			
$x_6$	0.03	0	$x_6$	0.03	0	$x_6$	0.03	0	$x_6$	0.03	0	$x_6$	0.03	0	$x_6$	0.03	1

Symbol	Probabilities	Code	length
$x_1$	0.4	1	1
$x_2$	0.2	01	2
$x_3$	0.2	001	3
$x_4$	0.1	0001	4
$x_5$	0.07	00001	5
$x_6$	0.03	00000	5



$$L = 1 \times 0.4 + 2 \times 0.2 + 3 \times 0.2 + 4 \times 0.1 + 5 \times 0.07 + 5 \times 0.03$$

$$L = 2.3 \text{ binitis/MS}$$

$H(s) = 2.21$  bits / ms

$\eta_c = \frac{H(s)}{L} = \frac{2.21}{2.3} = 0.9608 = 96.08\%$

$R_{\eta_c} = 1 - \eta_c = 1 - 0.9608 = 0.0392 = 3.92\%$

3ds

Previous problem solve using Shannon's encoding algorithm

Step 1:

$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
0.4	0.2	0.2	0.1	0.07	0.03

Step 2:-

$\alpha_1 = 0$     $q_1 = 6$

$\alpha_2 = P_1 + \alpha_1 = 0.4$

$\alpha_3 = P_2 + \alpha_2 = 0.6$

$\alpha_4 = P_3 + \alpha_3 = 0.8$

$\alpha_5 = P_4 + \alpha_4 = 0.9$

$\alpha_6 = P_5 + \alpha_5 = 0.97$

$\alpha_7 = P_6 + \alpha_6 = 1$

1	0.0	1X
0	0.0	1X
0	0.0	1X
0	1.0	1X
0	0.0	1X
0	0.0	1X
0	0.0	1X

Step 3:-  $2^{l_i} \geq \frac{1}{P_i}$

$i=1$     $2^{l_1} \geq \frac{1}{0.4} \geq 2.5$     $l_1 = 2$

$i=2$     $2^{l_2} \geq \frac{1}{0.2} \geq 5$     $l_2 = 3$

$i=3$     $2^{l_3} \geq \frac{1}{0.2} \geq 5$     $l_3 = 3$

$i=4$     $2^{l_4} \geq \frac{1}{0.07} \geq 14.29$     $l_4 = 4$

$i=5$     $2^{l_5} \geq \frac{1}{0.03} \geq 33.33$     $l_5 = 6$

$i=6$     $2^{l_6} \geq \frac{1}{0.1} \geq 10$     $l_6 = 4$

Step 4  $\therefore \alpha_1 = 0 \quad l_1 = 2 \Rightarrow 00$

$\alpha_2 = 0.4 \quad l_2 = 3 \Rightarrow (0.0110)_2$

$0.4 \times 2 = 0.8 \rightarrow 0 \quad = 011$

$0.8 \times 2 = 1.6 \rightarrow 1$

$0.6 \times 2 = 1.2 \rightarrow 1$

$0.2 \times 2 = 0.4 \rightarrow 0$

$\alpha_3 = 0.6$

$\alpha_3 = (0.1000)_2 \quad l_3 = 3$

$0.6 \times 2 = 1.2 \Rightarrow 1$

$\Rightarrow 100$

$0.2 \times 2 = 0.4 \rightarrow 0$

$0.4 \times 2 = 0.8 \rightarrow 0$

$0.8 \times 2 = 1.6 \rightarrow 0$

$\alpha_4 = 0.8$

$\alpha_4 = (0.1100)_2 \quad l_4 = 4$

$0.8 \times 2 = 1.6 \rightarrow 1$

$\Rightarrow 1100$

$0.6 \times 2 = 1.2 \rightarrow 1$

$0.2 \times 2 = 0.4 \rightarrow 0$

$0.4 \times 2 = 0.8 \rightarrow 0$

$\alpha_5 = 0.9$

$\alpha_5 = (0.11100)_2 \quad l_5 = 4$

$0.9 \times 2 = 1.8 \rightarrow 1$

$\Rightarrow 1110$

$0.8 \times 2 = 1.6 \rightarrow 1$

$0.6 \times 2 = 1.2 \rightarrow 1$

$0.2 \times 2 = 0.4 \rightarrow 0$

$0.4 \times 2 = 0.8 \rightarrow 0$

$\alpha_6 = 0.97$

$0.97 \times 2 = 1.94 \rightarrow 1$

$\alpha_6 = (0.111110)_2 \quad l_6 = 6$

$0.94 \times 2 = 1.88 \rightarrow 1$

$\Rightarrow 111110$

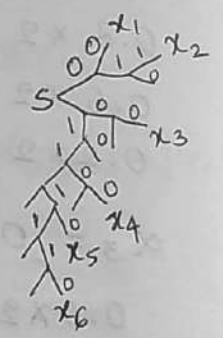
$0.88 \times 2 = 1.76 \rightarrow 1$

$0.76 \times 2 = 1.52 \rightarrow 1$

$0.52 \times 2 = 1.04 \rightarrow 1$

$0.04 \times 2 = 0.08 \rightarrow 0$

Symbol	probabilities	length	code
$x_1$	0.4	2	00
$x_2$	0.2	3	011
$x_3$	0.2	3	100
$x_4$	0.1	4	1100
$x_5$	0.07	4	1110
$x_6$	0.03	6	111110



$$H(s) = \sum_{i=1}^6 P_i \log \frac{1}{P_i}$$

$$= 0.4 \log \frac{1}{0.4} + 2 \times 0.2 \log \frac{1}{0.2} + 0.1 \log \frac{1}{0.1} + 0.07 \log \frac{1}{0.07} + 0.03 \log \frac{1}{0.03}$$

$H(s) = 2.21$  bits / MS

$$L = \sum_{i=1}^6 l_i P_i = 2(0.4) + 3(0.2) + 3(0.2) + 4(0.1) + 4(0.07) + 6(0.03)$$

$L = 2.86$  binit / MS

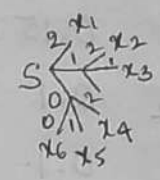
$$\eta_c = \frac{H(s)}{L} = \frac{2.21}{2.86} = 0.7727 = 77.27\%$$

$$R_{\eta_c} = 1 - \eta_c = 0.2273 = 22.73\%$$

For the previous problem apply Shannon Fano ternary algorithm

$x_1$	0.4	2	$x_2$	0.2	2
$x_2$	0.2	1	$x_3$	0.2	1
$x_3$	0.2	1	I	0	0
$x_4$	0.1	0	$x_4$	0.1	2
$x_5$	0.07	0	$x_5$	0.07	1
$x_6$	0.03	0	$x_6$	0.03	0

Symbol	Probabilities	length	code	3018
$x_1$	0.4	1	2	
$x_2$	0.2	2	12	
$x_3$	0.2	2	11	
$x_4$	0.1	2	02	
$x_5$	0.07	2	01	
$x_6$	0.03	2	00	



$$H(S) = 2.21 \text{ bits/MS}$$

$$L = \sum_{i=1}^6 P_i l_i = 0.4 \times 1 + 2(0.2 + 0.2 + 0.1 + 0.07 + 0.03)$$

$$L = 1.6 \text{ trinitis/MS}$$

$$\eta_c = \frac{H(S)}{L} = \frac{2.21}{1.6} = 1.3812 = 138.12\%$$

$$H_r(S) = \frac{H(S)}{\log_2 r} \quad r=3$$

$$H_r(S) = \frac{2.21}{\log_2 3}$$

$$H_r(S) = 1.39 \text{ trinitis/MS}$$

$$\eta_c = \frac{H_r(S)}{L} = \frac{1.39}{1.6} = 0.8687 = 86.87\%$$

$$R_{\eta_c} = 1 - 0.8714 = 0.1285 = 12.85\%$$

$$\eta_c = 86.87\% \quad R_{\eta_c} = 13.125\%$$

② We have the source symbols  $S = \{S_1, S_2, S_3\}$  with probabilities  $\{0.5, 0.2, 0.3\}$ . For the II extension of source find efficiency and redundancy by i) applying Shannon Fano encoding algorithm.

ii) Apply Shannon Fano ternary algorithm

Sol<sup>n</sup> :-

$S_1 S_1 = 0.25$	$S_2 S_1 = 0.1$	$S_3 S_1 = 0.15$
$S_1 S_2 = 0.1$	$S_2 S_2 = 0.04$	$S_3 S_2 = 0.06$
$S_1 S_3 = 0.15$	$S_2 S_3 = 0.06$	$S_3 S_3 = 0.09$



$$\eta_c = \frac{H(S)}{L} = \frac{2.971}{3} = 0.9903 = 99.03\%$$

$$R_{\eta_c} = 1 - 0.9903 = 0.0097 = 0.97\%$$

ii)

$$S_1 S_1 \quad 0.25 \left. \vphantom{S_1 S_1} \right\} 2 \quad S_1 S_2 \quad 0.25 \rightarrow 2$$

$$S_1 S_3 \quad 0.15 \left. \vphantom{S_1 S_3} \right\} 2 \quad S_1 S_3 \quad 0.15 \rightarrow 1$$

$$S_3 S_1 \quad 0.15 \left. \vphantom{S_3 S_1} \right\} 1 \quad I \quad 0 \rightarrow 0$$

$$S_1 S_2 \quad 0.1 \left. \vphantom{S_1 S_2} \right\} 1 \quad S_3 S_2 \quad 0.15 \rightarrow 2$$

$$S_2 S_1 \quad 0.1 \left. \vphantom{S_2 S_1} \right\} 1 \quad S_1 S_2 \quad 0.1 \rightarrow 1$$

$$S_2 S_1 \quad 0.1 \left. \vphantom{S_2 S_1} \right\} 1 \quad S_2 S_1 \quad 0.1 \rightarrow 0$$

$$S_3 S_3 \quad 0.09 \left. \vphantom{S_3 S_3} \right\} 0 \quad S_3 S_3 \quad 0.09 \rightarrow 2$$

$$S_2 S_3 \quad 0.06 \left. \vphantom{S_2 S_3} \right\} 0 \quad S_2 S_3 \quad 0.06 \rightarrow 1$$

$$S_3 S_2 \quad 0.06 \left. \vphantom{S_3 S_2} \right\} 0 \quad S_3 S_2 \quad 0.06 \rightarrow 0$$

$$S_2 S_2 \quad 0.04 \left. \vphantom{S_2 S_2} \right\} 0 \quad S_2 S_2 \quad 0.04 \rightarrow 0$$

$$S_3 S_2 \quad 0.06 \rightarrow 2$$

$$S_2 S_2 \quad 0.04 \rightarrow 1$$

$$I \quad 0 \rightarrow 0$$

Symbol	Probabilities	Length	Code
$S_1 S_1$	0.25	2	22
$S_1 S_3$	0.15	2	21
$S_3 S_1$	0.15	2	12
$S_1 S_2$	0.1	2	11
$S_2 S_1$	0.1	2	10
$S_3 S_3$	0.09	2	02
$S_2 S_3$	0.06	2	01
$S_3 S_2$	0.06	3	002
$S_2 S_2$	0.04	3	001

$$H(S) = 2.971 \text{ bits/MS}$$

$$L = 2 \times 0.25 + 2(0.15 + 0.15 + 0.1 + 0.1 + 0.09 + 0.06) + 3(0.06 + 0.04)$$

$$= 2.1 \text{ trinitis/MS}$$

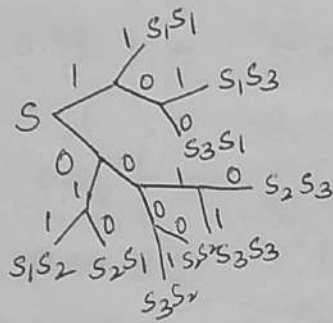
$$H_1(S) = \frac{H(S)}{\log_2 8} = \frac{2.971}{\log_2 3} = 1.874 \text{ bits/MS}$$

$$\eta_c = \frac{H_r(S)}{L} = \frac{1.874}{2.1} = 0.8924 = 89.24\%$$

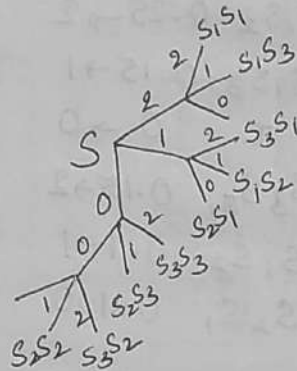
2/8

$$R_{\eta_c} = 1 - 0.8924 = 0.1076 = 10.76\%$$

Code tree for i)



Code tree for ii)



5/9

Huffman minimum redundancy code: compact code

Steps

① The source symbols are listed in non-increasing order of probabilities. ② Consider the equation

$$q = r + (r-1)\alpha \quad \text{where } q \text{ is number of source symbols}$$

$r$  is number of different symbols used in code alphabet.

quantity  $\alpha$  is calculated and it should be an integer. If it is not an integer, then dummy symbols with zero probabilities are added to  $q$  to get the quantity  $\alpha$  an integer. For binary codes  $\alpha$  will always be an integer and hence this step is not needed while constructing Huffman binary codes.

③ The last ' $r$ ' symbols of source  $S$  are now combined into a single composite sig symbol by adding their probabilities to get a reduced source  $S_a$ . The symbols of this reduced source are now arranged in the order of non increasing probabilities.

④ The last ' $r$ ' symbols of source  $S_a$  are now



combined to form another composite signal by adding their probabilities to get further reduced source  $S_6$ . The symbols of  $S_6$  are arranged in the order of non-increasing probabilities.

⑤ This process of combining last 'r' symbols everytime for a new reduced source is continued till we arrive at the last source having 'r' symbols.

⑥ The last source with 'r' message symbols are now encoded with 'r' different code symbols  $0, 1, 2, \dots, r-1$ .

⑦ In binary coding the last source with 2 symbols are encoded with 0 and 1. As we pass on to the source backwards with 3 symbols, either 0 may be recomposed as 00 and 01 or 1 may be recomposed as 10 and 11 depending on which 2 out of 3 have been combined to get the last reduced source symbol.

⑧ As we pass on from source to source backwards, the recombination of 1 code words each time is done in order to form new code words.

⑨ This procedure is continued till we assign code words to all the source symbols of source S. If any dummy symbols are used, they are discarded.

### Problems

① Given the messages  $S_1, S_2, S_3$  and  $S_4$  with respective probabilities of 0.4, 0.3, 0.2 and 0.1, construct a binary code by applying Huffman encoding procedure. Determine efficiency and redundancy of the code so formed.

Sol<sup>n</sup> :- write the symbols with decreasing order of probabilities.

Since we are finding binary code step ② is not required.

Source symbols	$P_i$	code	Source $S_a$		Source $S_b$	
			$P_i$	code	$P_i$	code
$S_1$	0.4	1	0.4	1	0.6	0
$S_2$	0.3	00	0.3	00	>0.4	1
$S_3$	0.2	010	0.3	01		
$S_4$	0.1	011				

519  
(binary)  
 $r=2$   
so add last 2 pro. till you get  $r$  (symbols) probabilities

When composite symbol & source symbol have same probability  
Placing the composite single symbol as low as possible  
when  $0.2 + 0.1 = 0.3$  is placed below.

Placing the composite symbol as high as possible  
when  $0.2 + 0.1 = 0.3$  is placed above  $S_3$  (0.3).  
Always show arrow mark when probabilities are shifted.

Symbol	Code	Code	length	Probabilities
$S_1$	110	1	1	0.4
$S_2$	00001	00	2	0.3
$S_3$	01001	010	3	0.2
$S_4$	011	011	3	0.1

$$H(S) = 0.4 \log \frac{1}{0.4} + 0.3 \log \frac{1}{0.3} + 0.2 \log \frac{1}{0.2} + 0.1 \log \frac{1}{0.1}$$

$$H(S) = 1.846 \text{ bits/MS}$$

$$L = 1 \times 0.4 + 2 \times 0.3 + 3 \times 0.2 + 3 \times 0.1 = 1.9 \text{ ln}$$

$$\eta_c = \frac{H(S)}{L} = \frac{1.846}{1.9} = 0.971 = 97.1\%$$

$$R_\eta = 1 - \eta_c = 1 - 0.971 = 0.029 = 2.9\%$$

Source symbols	$P_i$	code	Source Sa		Source Sb		Length
			$P_i$	code	$P_i$	code	
$S_1$	0.4	1	0.4	1	0.6	0	1
$S_2$	0.3	01	0.3	00	0.4	1	2
$S_3$	0.2	000	0.3	01			3
$S_4$	0.1	001					3

Placing the composite symbol as high as possible

$$H(S) = 1.846 \text{ bits/MS}$$

$$L = 1 \times 0.4 + 2 \times 0.3 + 3 \times 0.2 + 3 \times 0.1 = 1.9$$

$$\eta_c = \frac{H(S)}{L} = 97.1\% \quad R_{\eta_c} = 2.9\%$$

② Given the messages  $x_1, x_2, x_3, x_4, x_5$  and  $x_6$  with respective probabilities 0.4, 0.2, 0.2, 0.1, 0.07, 0.03. Construct binary code by applying Huffman encoding procedure by placing the composite symbol as high as possible. Determine the efficiency and redundancy and write code tree. Repeat the problem by placing the composite symbol as low as possible.

Sol<sup>n</sup> :-

Source symbol	$P_i$	So Code	Source Sa		Source Sb		Source Sc		Source Sd	
			$P_i$	code	$P_i$	code	$P_i$	code	$P_i$	code
$x_1$	0.4	00	0.4	00	0.4	00	0.4	1	0.6	0
$x_2$	0.2	10	0.2	10	0.2	01	0.4	00	0.4	1
$x_3$	0.2	11	0.2	11	0.2	10	0.2	01		
$x_4$	0.1	011	0.1	010	0.2	11				
$x_5$	0.07	0100	0.1	011						
$x_6$	0.03	0101								

$$H(S) = 0.4 \log \frac{1}{0.4} + (0.2) \cdot 2 \log \frac{1}{0.2} + 0.1 \log \frac{1}{0.1} + 0.07 \log \frac{1}{0.07} + 0.03 \log \frac{1}{0.03} = 2.21 \text{ bits/MS}$$

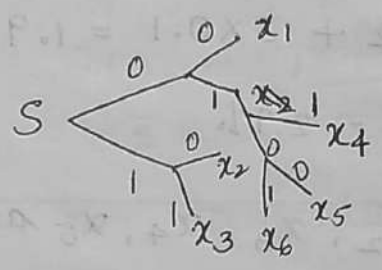
$$L = 2 \times 0.4 + 2 \times 0.2 + 2 \times 0.2 + 3 \times 0.1 + 4 \times 0.07 + 4 \times 0.03$$

$$= 2.3 \text{ bits/sms}$$

$$\eta_c = \frac{H(S)}{L} = \frac{2.21}{2.3} = 96.08\%$$

$$R_{\eta_c} = 1 - 0.9608 = 3.92\%$$

Code tree



Placing the composite sig symbol as low as possible

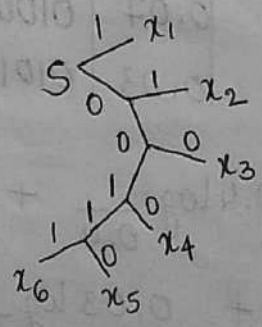
L	Source symbol	P <sub>i</sub>	code	Source S <sub>a</sub>		Source S <sub>b</sub>		Source S <sub>c</sub>		Source S <sub>d</sub>	
				P <sub>i</sub>	code	P <sub>i</sub>	code	P <sub>i</sub>	code	P <sub>i</sub>	code
1	x <sub>1</sub>	0.4	1	0.4	1	0.4	1	0.4	1	0.6	0
2	x <sub>2</sub>	0.2	01	0.2	01	0.2	01	0.4	00	0.4	1
3	x <sub>3</sub>	0.2	000	0.2	000	0.2	000	0.2	01		
4	x <sub>4</sub>	0.1	0010	0.1	0010	0.2	001				
5	x <sub>5</sub>	0.07	00100	0.1	00101						
5	x <sub>6</sub>	0.03	00101								

$$H(S) = 2.21 \text{ bits/sms}$$

$$L = 1 \times 0.4 + 2 \times 0.2 + 3(0.2) + 4(0.1) + 5(0.07) + 5(0.03) = 2.3 \text{ bits/sms}$$

$$\eta_c = \frac{H(S)}{L} = \frac{2.21}{2.3} = 96.08\%$$

$$R_{\eta_c} = 1 - 0.9608 = 3.92\%$$



3) Consider a zero memory source with  $S = \{S_1, S_2, S_3, S_4, S_5, S_6, S_7\}$   $P = \{0.4, 0.2, 0.1, 0.1, 0.1, 0.05, 0.05\}$

- i) Construct a binary Huffman code by placing the composite signal as low as you can.
- ii) Repeat the problem by moving the composite symbol as high as possible.
- iii) In each of these cases compute the variances of word lengths and comment on the result.
- iv) Compute efficiency and redundancy of code and write the code tree.

Sol<sup>n</sup>:-

Li	Source symbol	Pi	Code	Source Sa		Source Sb		Source Sc		Source Sd		Source Se	
				Pi	code	Pi	code	Pi	code	Pi	code	Pi	code
1	S <sub>1</sub>	0.4	1	0.4	1	0.4	1	0.4	1	0.4	1	0.6	0
2	S <sub>2</sub>	0.2	01	0.2	01	0.2	01	0.2	01	0.4	00	0.4	1
4	S <sub>3</sub>	0.1	0010	0.1	0010	0.2	000	0.2	000	0.2	01		
4	S <sub>4</sub>	0.1	0011	0.1	0011	0.1	0010	0.2	001				
4	S <sub>5</sub>	0.1	0000	0.1	0000	0.1	0011						
5	S <sub>6</sub>	0.05	00010	0.1	0001								
5	S <sub>7</sub>	0.05	00011										

Li	Source symbol	Pi	Code	Source Sa		Source Sb		Source Sc		Source Sd		Source Se	
				Pi	code	Pi	code	Pi	code	Pi	code	Pi	code
2	S <sub>1</sub>	0.4	00	0.4	00	0.4	00	0.4	00	0.4	1	0.6	0
2	S <sub>2</sub>	0.2	11	0.2	11	0.2	10	0.2	01	0.4	00	0.4	1
3	S <sub>3</sub>	0.1	011	0.1	010	0.2	11	0.2	10	0.2	01		
3	S <sub>4</sub>	0.1	100	0.1	011	0.1	010	0.2	11				
3	S <sub>5</sub>	0.1	101	0.1	100	0.1	011						
4	S <sub>6</sub>	0.05	0100	0.1	101								
4	S <sub>7</sub>	0.05	0101										

iv)  $H(s) = \sum_{i=1}^n P_i \log \frac{1}{P_i}$  Low

$= 0.4 \log \frac{1}{0.4} + 0.2 \log \frac{1}{0.2} + 3(0.1) \log \frac{1}{0.1} + 2(0.05) \log \frac{1}{0.05}$

$H(s) = 2.422 \text{ bits/MS}$

$L = 0.4 \times 1 + 0.2 \times 2 + 0.1 \times 4 + 0.1 \times 4 + 0.1 \times 4 + 0.05 \times 5 + 0.05 \times 5$

$L = 2.5 \text{ bits/MS}$

$\eta_c = \frac{H(s)}{L} = \frac{2.422}{2.5} = 96.88\%$

$R_{\eta_c} = 1 - \eta_c = 1 - 0.9688 = 0.0312 = 3.12\%$

High

$H(s) = 2.422 \text{ bits/MS}$

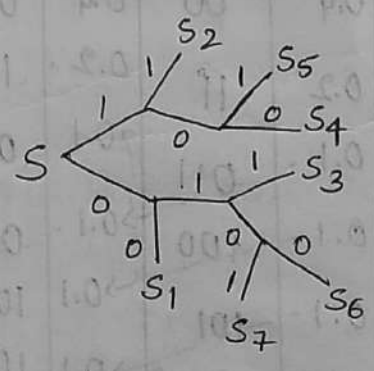
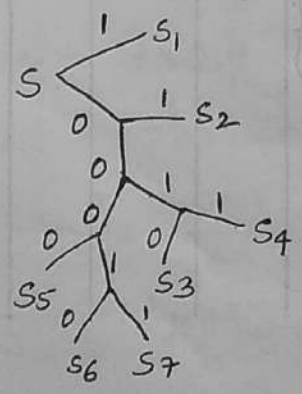
$L = 0.4 \times 2 + 0.2 \times 2 + 0.1 \times 3 + 0.1 \times 3 + 0.1 \times 3 + 0.05 \times 4 + 0.05 \times 4$

$L = 2.5 \text{ bits/MS}$

$\eta_c = \frac{H(s)}{L} = \frac{2.422}{2.5} = 96.88\%$

$R_{\eta_c} = 1 - \eta_c = 3.12\%$

Code tree



iii)  $Var(x) = E[(x-\mu)^2]$   
 $Var(L_i) = E[(L_i - L)^2]$   
 $= \sum_{i=1}^7 P_i (L_i - L)^2$

Low  
 $Var(L_i) = 0.4(1-2.5)^2 + 0.2(2-2.5)^2 + 0.1(4-2.5)^2(3)$   
 $+ 0.05(5-2.5)^2(2)$   
 $= 12.2 \quad 2.25$

High  
 $Var(L_i) = 0.4(2-2.5)^2 + 0.2(2-2.5)^2 + 0.1 \times 3(3-2.5)^2$   
 $+ (0.05 \times 2)(4-2.5)^2$   
 $= 0.45$

4) Consider the source with 8 alphabet A to H with respective probabilities of 0.22, 0.20, 0.18, 0.15, 0.10, 0.08, 0.05, 0.02

- i) Construct a binary compact code. Determine the efficiency. Write the code tree.
- ii) Construct ternary compact code and determine the efficiency. Write the code tree.
- iii) Construct quaternary compact code and determine the code efficiency. Write the code tree. Compare and contrast on the result.

Sol<sup>n</sup>:-

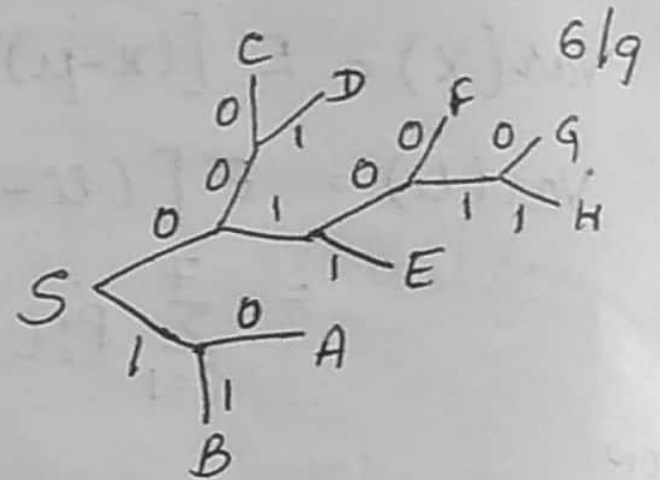
	Source Sym	P <sub>i</sub>	Code	Source S <sub>a</sub> P <sub>i</sub> Code	Source S <sub>b</sub> P <sub>i</sub> code	Source S <sub>c</sub> P <sub>i</sub> code	Source S <sub>d</sub> P <sub>i</sub> code	Source S <sub>e</sub> P <sub>i</sub> Code	Source S <sub>f</sub> P <sub>i</sub> c
2	A	0.22	10	0.22 10	0.22 10	0.25 01	0.33 00	0.42 1	0.58 0
2	B	0.20	11	0.20 11	0.20 11	0.22 10	0.25 01	0.33 00	0.42 1
3	C	0.18	000	0.18 000	0.18 000	0.20 11	0.22 10	0.25 01	
3	D	0.15	001	0.15 001	0.15 001	0.18 000	0.20 11	0.25 01	
3	E	0.10	011	0.10 011	0.15 010	0.15 001			
4	F	0.08	0100	0.08 0100	0.10 011				
5	G	0.05	01010	0.07 0101					
5	H	0.02	01011						

$$H(S) = 2.753 \text{ bits/ms}$$

$$L = 2.8 \text{ bits/ms}$$

$$\eta_c = \frac{H(S)}{L} = \frac{2.753}{2.8} = 98.32\%$$

$$R\eta_c = 1 - \eta_c = 1.68\%$$





ii)

$$q = r + (r-1)\alpha$$

$$r = 3$$

$$q = 8$$

$$8 = 3 + 2\alpha$$

$$5 = 2\alpha$$

$$\alpha = \frac{5}{2}$$

$\Rightarrow \alpha$  should be integer  $\therefore$

don't take  $q$  as 8

$$\alpha = \frac{q-3}{2}$$

$$q = r + (r-1)\alpha$$

$$q = 3 + 2\alpha$$

$$\alpha = \frac{q-3}{2}$$

$$\alpha = \frac{q-3}{2} = 3$$

$q \Rightarrow 5, 7, 9, 11, \dots$  to get  $\alpha$  as integer

take next high no. than of given  $q$

	$P_i$	Code	Source Sa	Source Sb	Source Sc	L
A	0.22	2	0.22	0.25	0.53	1
B	0.20	00	0.20	0.22	0.53	2
C	0.18	01	0.18	0.20	0.53	2
D	0.15	02	0.15	0.18	0.53	2
E	0.10	10	0.10	0.15	0.53	2
F	0.08	11	0.08	0.10	0.53	2
G	0.05	120	0.07	0.08	0.53	3
H	0.02	121		0.05	0.53	3
I	0.00	122		0.02	0.53	3

dummy variable

$$H(s) = 2.753 \text{ bits/sms}$$

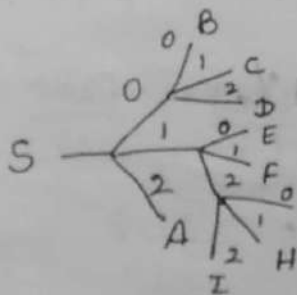
$$L = 0.22 \times 1 + 0.20 \times 2 + 0.18 \times 2 + 0.15 \times 2 + 0.10 \times 2 + 0.08 \times 2 + 0.05 \times 3 + 0.02 \times 3 + 0 \times 3 = 1.85 \text{ trinitis/sms}$$

$$H_r(s) = \frac{H(s)}{\log_2 3} = \frac{2.753}{\log_2 3} = 1.737 \text{ bits/sms}$$

$$\eta_c = \frac{H_r(s)}{L} = \frac{1.737}{1.85} = 93.9\%$$

$$R\eta_c = 1 - \eta_c = 1 - 0.939 = 6.1\%$$

ii)



iii)

$$q = r + (r-1)\alpha \quad r=4 \quad q=8$$

$$8 = 4 + (4-1)\alpha \Rightarrow 8 = 4 + 3\alpha$$

$$q = 4 + 3\alpha$$

$$\alpha = \frac{q-4}{3}$$

$$q = 7, 10, 13, \dots$$

$$\alpha = \frac{10-4}{3} = \frac{6}{3} = 2$$

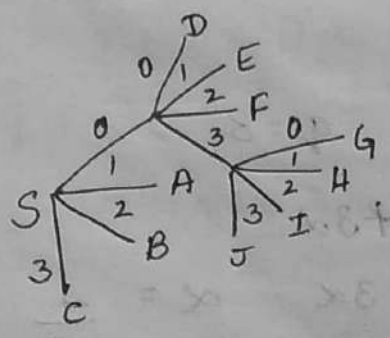
	$P_i$	Code	Source $S_a$ $P_i$ code	Source $S_b$ $P_i$ code	Source $S_c$ $P_i$ code
A	0.22	1	0.22 1	0.22	0.4 0
B	0.20	2	0.20 2	0.20	0.22 1
C	0.18	3	0.18 3	0.18	0.20 2
D	0.15	00	0.15 00	0.15	0.18 3
E	0.10	01	0.10 01	0.10	
F	0.08	02	0.08 02		
G	0.05	030	0.05 03		
H	0.02	031	<del>0.02</del>		
I	0.00	032			
J	0.00	033			

$$H(S) = \frac{2.753}{\log_2 4} = 1.3765 \text{ bits / ms}$$

$$L = 0.22 \times 1 + 0.20 \times 1 + 0.18 \times 1 + 0.15 \times 2 + 0.10 \times 2 + 0.08 \times 2 + 0.05 \times 3 + 0.02 \times 3 = 1.47 \text{ quad bits / ms}$$

$$\eta_c = \frac{H(S)}{L} = \frac{1.3765}{1.47} = 93.64\%$$

$$R\eta_c = 1 - 0.9364 = 6.36\%$$



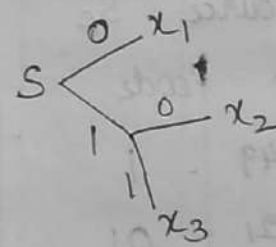
5) Apply Huffman encoding procedure for the following set of messages and determine the i) efficiency of binary code so formed.

$x_1$     $x_2$     $x_3$   
0.7   0.15   0.15

- ii) If the same technique is applied to II order extension how much will the efficiency be improved?  
 iii) Apply Huffman ternary and quaternary coding for II extended source, compute efficiency & redundancy?  
 iv) Write the code trees for all the codes.

Sol<sup>n</sup>:-

Source symbol	$P_i$	Code	Source Sa	
			$P_i$	code
$x_1$	0.7	0	0.7	0
$x_2$	0.15	10	0.3	1
$x_3$	0.15	11		



$$H(S) = 0.7 \log \frac{1}{0.7} + 2(0.15) \log \frac{1}{0.15}$$

$$H(S) = 1.181 \text{ bits/ms}$$

$$L = 0.7 \times 1 + 0.15 \times 2 + 0.15 \times 2 = 1.3 \text{ limits/ms}$$

$$\eta_c = \frac{H(S)}{L} = \frac{1.181}{1.3} = 90.8\%$$

$$R_{\eta_c} = 9.2\%$$

ii)

$$x_1 x_2 = 0.105$$

$$x_2 x_3 = 0.105$$

$$x_2 x_1 = 0.105$$

$$x_2 x_2 = 0.0225$$

$$x_2 x_3 = 0.0225$$

$$x_1 x_1 = 0.49$$

$$x_3 x_1 = 0.105$$

$$x_3 x_2 = 0.0225$$

$$x_3 x_3 = 0.0225$$

Source Symbols	$P_i$	code	Source Sa $P_i$ code	Source Sb $P_i$ code	Source Sc $P_i$ code	Source Sd $P_i$ code
$x_1x_1$	0.49	1	0.49 1	0.49 1	0.49 1	0.49 1
$x_1x_2$	0.105	001	0.105 001	0.105 001	0.105 001	0.195 000
$x_1x_3$	0.105	010	0.105 010	0.105 010	0.105 010	0.105 001
$x_2x_1$	0.105	011	0.105 011	0.105 011	0.105 011	0.105 010
$x_3x_1$	0.105	0000	0.105 0000	0.105 0000	0.105 0000	0.105 011
$x_2x_2$	0.0225	000110	0.045 00010	0.045 00010	0.09 0001	
$x_2x_3$	0.0225	000111	0.0225 000110	0.045 00011		
$x_3x_2$	0.0225	000100	0.0225 000111			
$x_3x_3$	0.0225	000101				

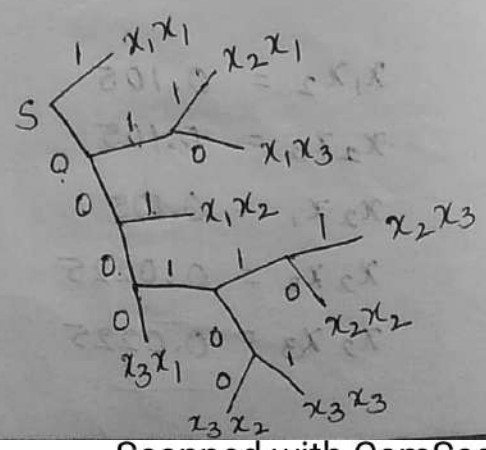
Source Se $P_i$ code	Source Sf $P_i$ code	Source Sg $P_i$ code
0.49 1	0.49 1	0.51 0
0.21 01	0.3 00	0.49 1
0.195 000	0.21 01	
0.105 001		

$$H^2(s) = 2H(s) = 2(1.181) = 2.362 \text{ bits/ms}$$

$$L = 0.49 + 3(0.105 + 0.105 + 0.105) + 4(0.105) + 6(0.0225 + 0.0225 + 0.0225) = 2.395 \text{ bits/ms}$$

$$\eta_c = \frac{H^2(s)}{L} = 98.624\%$$

$$R_{\eta_c} = 1.38\%$$



iii)  $q = r + (r-1)\alpha$        $q = 9$      $r = 3$     ternary    2/19

$q = 3 + 2\alpha$

$\alpha = \frac{q-3}{2}$

$\alpha = \frac{9-3}{2} = 3$

$\alpha = 5, 7, 9, 11 \dots$        $\alpha = 9$

Source symbols	$P_i$	Code	Source $S_a$ $P_i$   code	$S_b$ $P_i$   code	$S_c$ $P_i$   code
$x_1 x_1$	0.49	0	0.49   0	0.49   0	0.49   0
$x_1 x_2$	0.105	10	0.105   10	0.195   2	0.315   1
$x_1 x_3$	0.105	11	0.105   11	0.105   10	0.195   2
$x_2 x_1$	0.105	12	0.105   12	0.105   11	
$x_3 x_1$	0.105	20	0.105   20	0.105   12	
$x_2 x_2$	0.0225	22	0.0675   21		
$x_2 x_3$	0.0225	210	0.0225   22		
$x_3 x_2$	0.0225	211			
$x_3 x_3$	0.0225	212			

$H^2(s) = 2.362$  bits/sms

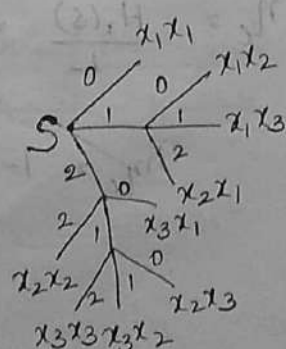
$L = 0.49 \times 1 + 0.105 \times 2 + 0.105 \times 2 + 0.105 \times 2 + 0.105 \times 2$   
 $+ 0.0225 \times 2 + (0.0225 \times 3) \times 3$

$L = 1.5775$

$H_r(s) = \frac{H^2(s)}{\log_2 r} = \frac{2.362}{\log_2 3} = 1.49$  bits/sms

$\eta_c = \frac{H_r(s)}{L} = \frac{1.49}{1.5775} = 94.45\%$

$R_{\eta_c} = 1 - \eta_c = 5.55\%$



# Quaternary coding

$$q = r + (r-1) \alpha$$

$$\alpha = \frac{q-r}{r-1} \quad \alpha = \frac{q-4}{3}$$

$$\alpha = \frac{10-4}{3} = 2$$

$$q = 7, 10, 13, \dots \quad q = 10$$

Source symbol	$P_i$	Code	$S_a$		$S_b$	
			$P_i$	code	$P_i$	code
$x_1 x_1$	0.49	0	0.49	0	0.49	0
$x_1 x_2$	0.105	2	0.105	2	0.3	1
$x_1 x_3$	0.105	3	0.105	3	0.105	2
$x_2 x_1$	0.105	10	0.105	10	0.105	3
$x_3 x_1$	0.105	11	0.105	11		
$x_2 x_2$	0.0225	13	0.0675	12		
$x_2 x_3$	0.0225	120	0.0225	13		
$x_3 x_2$	0.0225	121				
$x_3 x_3$	0.0225	122				
$\mathbb{I}$	0	123				

$$H^2(S) = 2.362$$

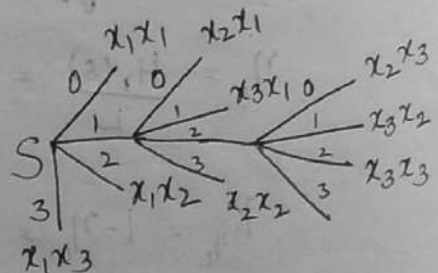
$$H_r(S) = \frac{H^2(S)}{\log_2 4} = \frac{2.362}{2} = 1.181 \text{ bits/sms}$$

$$L = 0.49 \times 1 + 0.105 + 0.105 + 2(0.105 + 0.105 + 0.0225) + 3(0.0225 + 0.0225 + 0.0225)$$

$$L = 1.3675$$

$$\eta_c = \frac{H_r(S)}{L} = \frac{1.181}{1.3675} = 86.36\%$$

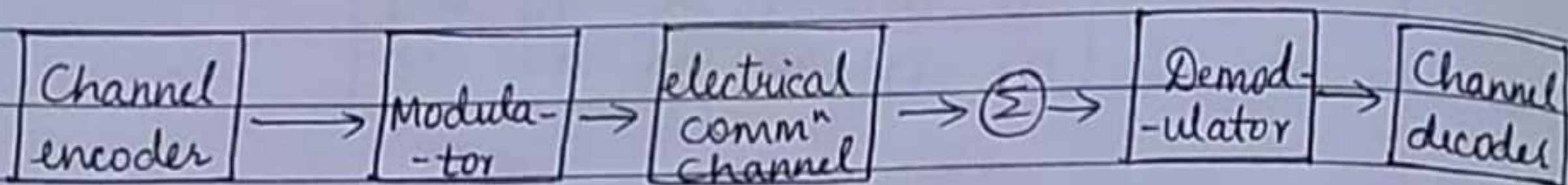
$$R_{\eta_c} = 1 - \eta_c = 13.64\%$$



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## Module - 3

### Information Channels

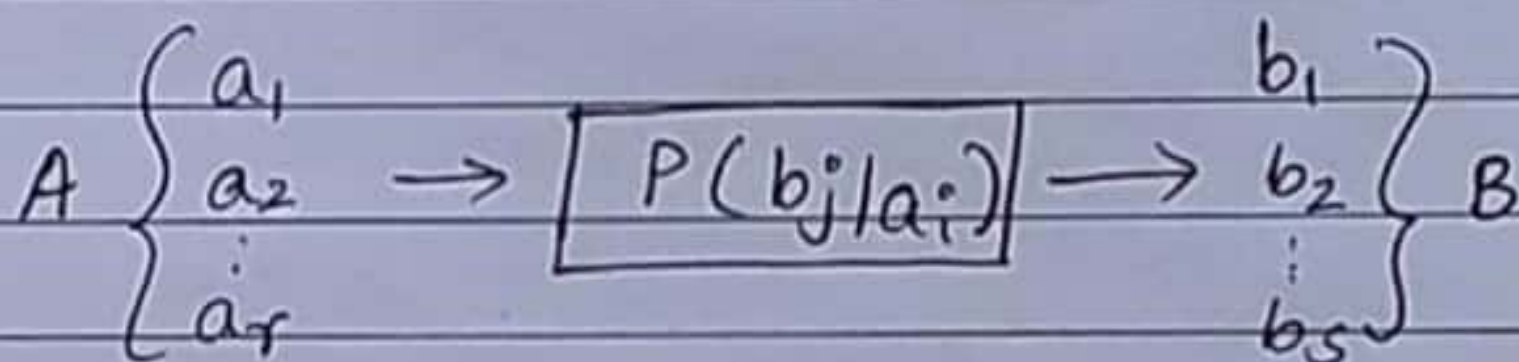


### Representation of a channel

Source  $A = \{a_1, a_2, \dots, a_r\}$  r no. of symbols at ip  
 At output  $B = \{b_1, b_2, \dots, b_s\}$  s no. of symbols at op

Conditional probability is  $P(b_j/a_i)$

Probability of receiving the symbol  $b_j$  by transmitting the symbol  $a_i$ .



We can have  $r \times s$  no. of conditional probabilities

Channel matrix or Noise matrix is represented by

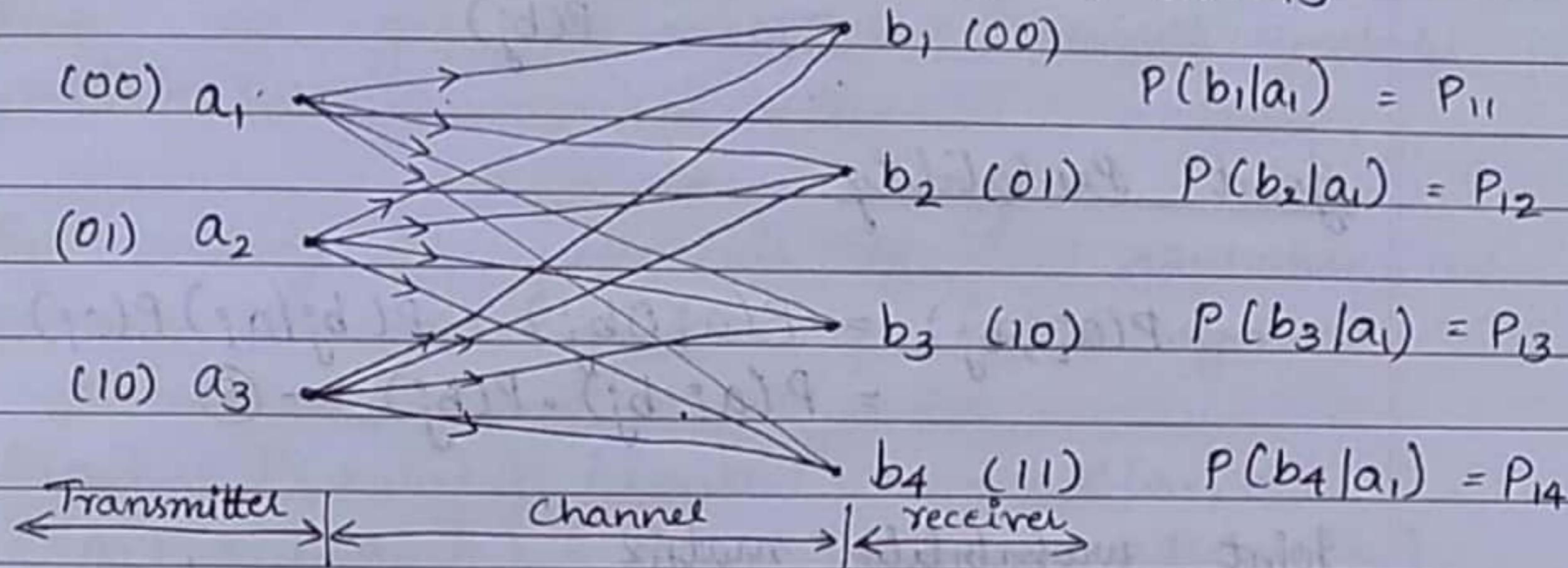
$$P(b_j/a_i) \text{ or } P(B/A) = \begin{matrix} & \begin{matrix} b_1 & b_2 & \dots & b_s \end{matrix} \\ \begin{matrix} a_1 \\ a_2 \\ \vdots \\ a_r \end{matrix} & \begin{bmatrix} P(b_1/a_1) & P(b_2/a_1) & \dots & P(b_s/a_1) \\ P(b_1/a_2) & P(b_2/a_2) & \dots & P(b_s/a_2) \\ \vdots & \vdots & \dots & \vdots \\ P(b_1/a_r) & P(b_2/a_r) & \dots & P(b_s/a_r) \end{bmatrix} \end{matrix}$$



# Representation of channel Diagram / Noise Diagram

$$A = \{a_1, a_2, a_3\}$$

$$B = \{b_1, b_2, b_3, b_4\}$$



If  $a_1$  has error in 1<sup>st</sup> place it may be received as 10, in 2<sup>nd</sup> place  $\rightarrow$  01, both place 11, no error 00

$$P_{11} + P_{12} + P_{13} + P_{14} = 1$$

In general

$$P_{11} + P_{12} + P_{13} + \dots + P_{1s} = 1$$

$$\sum_{j=1}^s P(b_j|a_1) = 1 \quad \text{--- (1)}$$

$$P(a_1) + P(a_2) + \dots + P(a_r) = 1$$

The sum of probability of occurrence of all the symbols at the input side is 1

$$\sum_{i=1}^r P(a_i) = 1 \quad \text{--- (2)}$$

$$P(b_1) = P(b_1|a_1) + P(b_1|a_2) + \dots + P(b_1|a_r)$$

$$P(b_2) = P(b_2|a_1) + P(b_2|a_2) + \dots + P(b_2|a_r)$$

$$P(b_s) = P(b_s/a_1) + P(b_s/a_2) + \dots + P(b_s/a_r) \quad \text{--- (3)}$$

$$P(a_i/b_j) = \frac{P(b_j/a_i) P(a_i)}{P(b_j)} \quad \text{--- (4) } \Rightarrow \text{Baye's Theorem}$$

### Joint Probability

$$P(a_i, b_j) = P(a_i \cap b_j) = P(b_j/a_i) P(a_i) = P(a_i, b_j) \cdot P(b_j) \quad \text{--- (5)}$$

### Joint probability matrix

$$P(b_j/a_i) P(a_i) = \begin{matrix} & b_1 & b_2 & \dots & b_s \\ \begin{matrix} a_1 \\ a_2 \\ a_3 \\ \vdots \\ a_r \end{matrix} & \begin{bmatrix} P(b_1/a_1)P(a_1) & \dots & P(b_s/a_1)P(a_1) \\ P(b_1/a_2)P(a_2) & \dots & P(b_s/a_2)P(a_2) \\ \vdots & & \vdots \\ P(b_1/a_r)P(a_r) & \dots & P(b_s/a_r)P(a_r) \end{bmatrix} \end{matrix}$$

$$P(a_i, b_j) \text{ or } P(A, B) = \begin{matrix} & b_1 & b_2 & \dots & b_s \\ \begin{matrix} a_1 \\ a_2 \\ \vdots \\ a_r \end{matrix} & \begin{bmatrix} P(a_1, b_1) & P(a_1, b_2) & \dots & P(a_1, b_s) \\ P(a_2, b_1) & P(a_2, b_2) & \dots & P(a_2, b_s) \\ \vdots & \vdots & & \vdots \\ P(a_r, b_1) & P(a_r, b_2) & \dots & P(a_r, b_s) \end{bmatrix} \end{matrix}$$

Joint Probability matrix (JPM)

### 3 properties of Joint Probability Matrix

By adding all the elements of I column we get the probability of I of symbol  $b_1$

$$P(b_1) = P(a_1, b_1) + P(a_2, b_1) + \dots + P(a_r, b_1)$$

$$P(b_s) = P(a_1, b_s) + P(a_2, b_s) + \dots + P(a_r, b_s) \quad (ii)$$

By adding the <sup>elements</sup> (probabilities) of JPM column wise then we get the respective output symbol probabilities

2. By adding the elements of JPM row wise, we obtain the probabilities of input symbols.

$$P(a_1) = P(a_1, b_1) + P(a_1, b_2) + \dots + P(a_1, b_s)$$

$$P(a_2) = P(a_2, b_1) + P(a_2, b_2) + \dots + P(a_2, b_s)$$

⋮

$$P(a_r) = P(a_r, b_1) + P(a_r, b_2) + \dots + P(a_r, b_s)$$

3. The sum of all the elements of JPM is unity.

### Problems

① In a communication system, transmitter has 3 input symbols  $A = \{a_1, a_2, a_3\}$  and receiver also has 3 output symbols  $B = \{b_1, b_2, b_3\}$ . The matrix given below is a JPM with some marginal probabilities.

	$b_1$	$b_2$	$b_3$
$a_1$	$\frac{1}{12}$	*	$\frac{5}{36}$
$a_2$	$\frac{5}{36}$	$\frac{1}{9}$	$\frac{5}{36}$
$a_3$	*	$\frac{1}{6}$	*
$P(b_j)$	$\frac{1}{3}$	$\frac{14}{36}$	*

- Find missing probabilities
- Find  $P(b_3|a_1)$  and  $P(a_1|b_3)$

11/1/19

iii) Are the events  $a_1$  and  $b_1$  statistically independent? why?

Sol i)  $P(b_1) = \frac{1}{12} + \frac{5}{36} + *$

$$\frac{1}{3} = \frac{1}{12} + \frac{5}{36} + *$$

$$* = \frac{1}{9} = P(b_2|a_3)$$

$$P(b_2) = * + \frac{1}{9} + \frac{1}{6}$$

$$\frac{14}{36} = * + \frac{1}{9} + \frac{1}{6}$$

$$* = \frac{1}{9} = P(b_2|a_1)$$

$$P(b_j|a_i) = 1$$

$$1 = \frac{1}{12} + \frac{5}{36} + \frac{1}{9} + \frac{1}{9} + \frac{1}{9} + \frac{1}{6} + \frac{5}{36} + \frac{5}{36} + *$$

$$* = 0 = P(b_3|a_3)$$

$$P(b_3) = \frac{5}{36} + \frac{5}{36} + 0 = \frac{5}{18}$$

ii)  $P(b_3|a_1) = \frac{5}{36} \quad P(a_1|b_3)$

iii)  $P(a_1 \cap b_1) = P(a_1) \cdot P(b_1)$

$$\frac{1}{12} \neq \frac{1}{3} \cdot \frac{1}{3}$$

$a_1$  and  $b_1$  are not statistically independent.

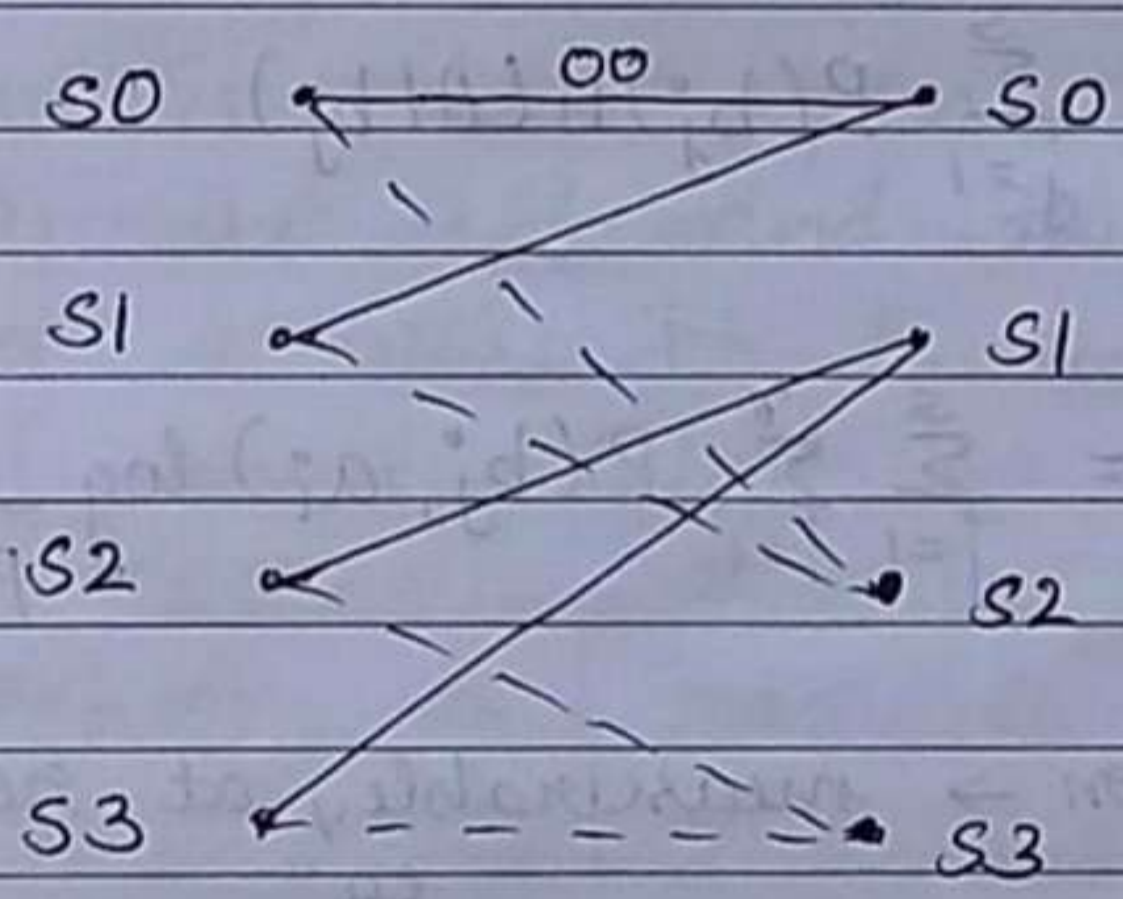
## Code Trellis

Present state	Binary description	Input	Next state	Binary description	$d_e$	$d_{e-1}$	$d_{e-2}$	o/p $c^{(1)}$ $c^{(2)}$
$S_0$	00	0	$S_0$	00	0	0	0	0 0
		1	$S_2$	10	1	0	0	1 1
$S_1$	01	0	$S_0$	00	0	0	1	1 1
		1	$S_2$	10	1	0	1	0 0
$S_2$	10	0	$S_1$	01	0	0	0	1 0
		1	$S_3$	11	1	1	0	
$S_3$	11	0	$S_1$	01	0	1	1	
		1	$S_3$	11	1	1	1	

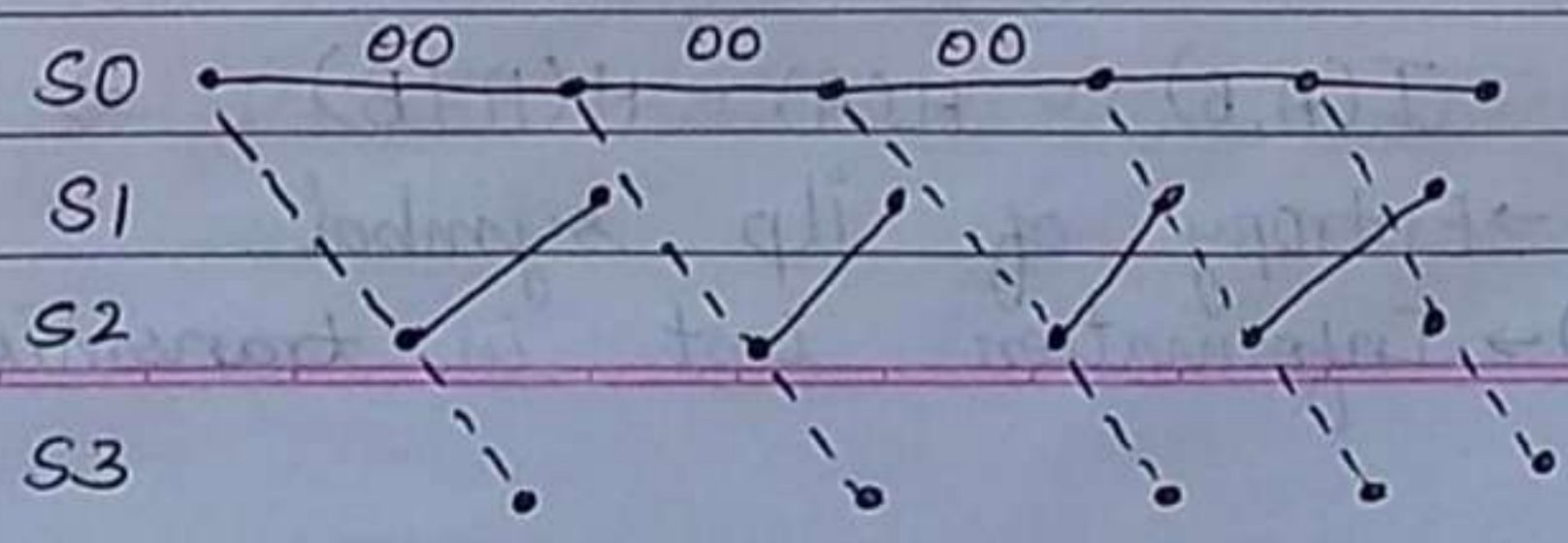
dotted lines  $\rightarrow$  input  $\rightarrow$  0  
dark lines  $\rightarrow$  input  $\rightarrow$  1

## Code Trellis

11011  
{ 11, 10, 00, 01, 10, 01, 11 }



## Trellis Diagram



# Entropy functions and Equivocation

1. Priori entropy :- Entropy of ilp symbols before transmitting

$$H(A) = \sum_{i=1}^s P(a_i) \log \frac{1}{P(a_i)} \text{ bits/MS}$$

Posteriori

2. Positive entropy (also called as conditional entropy)  
Entropy at reception after transmitting ilp symbol

$$H(A|b_j) = \sum_{i=1}^s P(a_i|b_j) \log \frac{1}{P(a_i|b_j)} \text{ bits/MS}$$

$i$  varies from 1 to  $s$

Average value of all conditional entropies is called equivocation. Amount of noise lost during transmission info<sup>m</sup>.

$$H(A|B) = E [H(A|b_j)]$$

$$= \sum_{j=1}^s P(b_j) H(A|b_j)$$

$$H(B|A) = \sum_{j=1}^s \sum_{i=1}^s P(b_j, a_i) \log \frac{1}{P(b_j|a_i)}$$

↑  
equivocation → measurable at receiver  
eq<sup>n</sup>

## Mutual Information $I(A,B)$

$$I(A,B) = H(A) - H(A|B) \Rightarrow \text{Mutual}$$

$H(A)$  → Entropy of ilp symbol

$H(A|B)$  → Information lost in transmission

$$I(B,A) = H(B) - H(B/A)$$

### Properties of mutual information

1. MI of a channel is symmetric

$$I(A,B) = I(B,A)$$

Proof :-

2. MI is always non negative i.e  $I(A,B) \geq 0$

Proof :-

3. The MI of a channel may be expressed in terms of entropy of the channel output as  $I(A,B) = H(B) - H(B/A)$

4. The MI is related to the joint entropy of the channel by

$$I(A,B) = H(A) + H(B) - H(A,B)$$

$H(B) \rightarrow$  Entropy of output symbol

### Problems

① A transmitter has alphabet consisting of 5 letters  $\{a_1, a_2, a_3, a_4, a_5\}$  and the receiver has alphabet of 4 letters  $\{b_1, b_2, b_3, b_4\}$ . The JP's of the system is given below.

		$b_1$	$b_2$	$b_3$	$b_4$
$P(A,B) =$	$a_1$	0.25	0	0	0
	$a_2$	0.10	0.30	0	0
	$a_3$	0	0.05	0.10	0
	$a_4$	0	0	0.05	0.1
	$a_5$	0	0	0.05	0

Compute different entropies of this channel.

$$H(A) = ?$$

$$H(B) = ?$$

$$H(A|B) = ? \quad H(B|A) = ?$$

$$H(A|B) = \sum_{j=1}^S P(b_j) H(A|b_j)$$

$$H(A) = \sum_{i=1}^r P(a_i) \log \frac{1}{P(a_i)}$$

$$P(a_1) = 0.25$$

$$P(a_2) = 0.40$$

$$P(a_3) = 0.15$$

$$P(a_4) = 0.15$$

$$P(a_5) = 0.05$$

$$H(A) = 0.25 \log \frac{1}{0.25} + 0.4 \log \frac{1}{0.4} + 0.15 \log \frac{1}{0.15} \\ + 0.15 \log \frac{1}{0.15} + 0.05 \log \frac{1}{0.05}$$

$$H(A) = 2.065 \text{ bits/MS}$$

$$H(B) = \sum_{j=1}^S P(b_j) \log \frac{1}{P(b_j)}$$

$$P(b_1) = 0.35$$

$$P(b_2) = 0.35$$

$$P(b_3) = 0.2$$

$$P(b_4) = 0.1$$

$$H(B) = 0.35 \log \frac{1}{0.35} + 0.35 \log \frac{1}{0.35} + 0.2 \log \frac{1}{0.2} \\ + 0.1 \log \frac{1}{0.1}$$

$$H(B) = 1.8568 \text{ bits/MS} \approx 1.857$$

$$H(A|B) = H(A, B) = \sum_{j=1}^4 \sum_{i=1}^5 P(a_i, b_j) \log \frac{1}{P(a_i, b_j)}$$



$$= 0.25 \log \frac{1}{0.25} + 0.10 \log \frac{1}{0.10} + 0.30 \log \frac{1}{0.3} + 0.05 \log \frac{1}{0.05}$$

$$+ 0.10 \log \frac{1}{0.1} + 0.05 \log \frac{1}{0.05} + 0.1 \log \frac{1}{0.1} + 0.05 \log \frac{1}{0.05}$$

$H(A,B) = 2.666$  bits/MS

$$I(A,B) = H(A) + H(B) - H(A,B)$$

$$= 2.066 + 1.8568 - 2.666$$

$$= 1.2568 \text{ bits/MS}$$

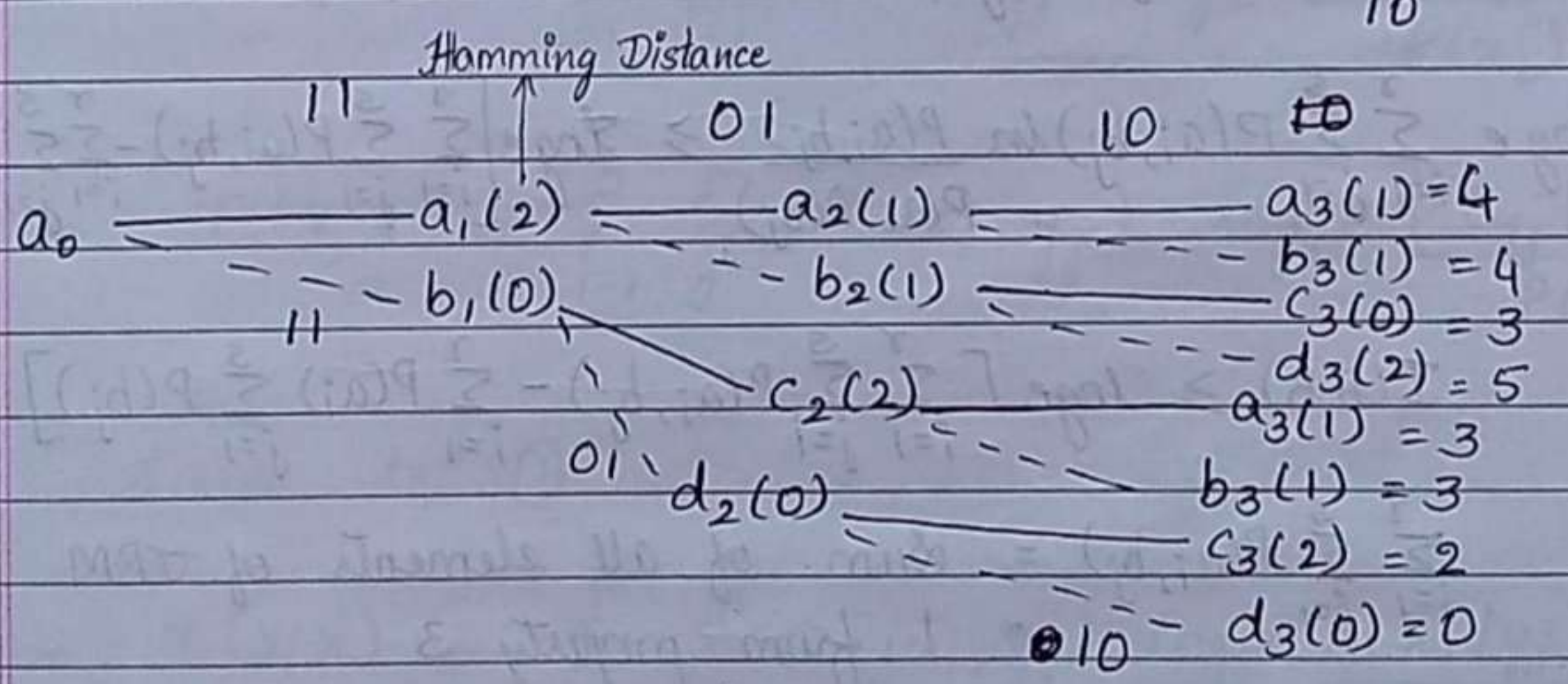
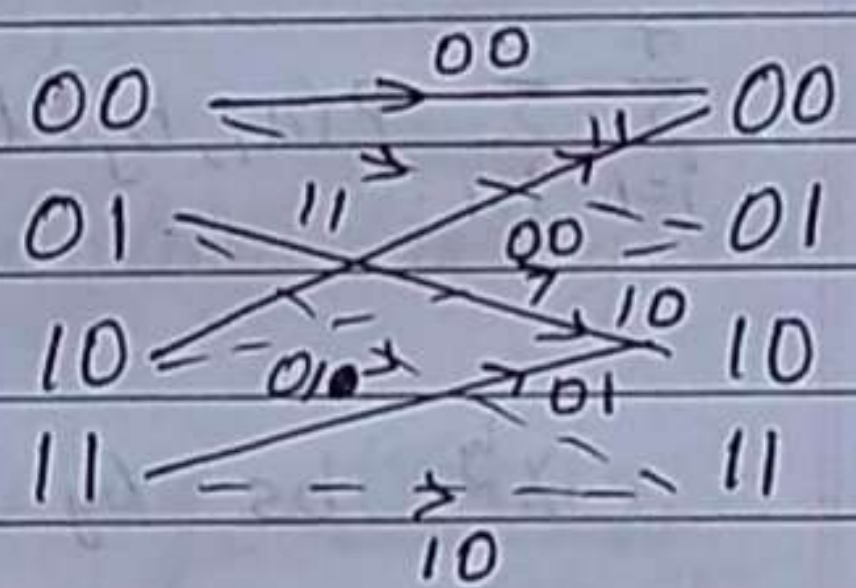
$H(B|A) = H(A,B) - H(A) = 2.666 - 2.066 = 0.6$  bits/MS

$H(A|B) = H(A,B) - H(B) = 2.666 - 1.8568 = 0.809$  bits/MS

$I(A,B) = H(A) - H(A|B)$  or  $I(A,B) = H(B) - H(B|A)$

Viterbi Decoding

Input : 110110



$a_0 \dots b_1 \dots d_2 \dots d_3$       Msg sequence = 111

To calculate error  $\rightarrow$  110110  $\leftarrow$  i/p given  
 110110  $\leftarrow$  o/p got at last  
0  $\rightarrow$  error

Property-2: The mutual information is always non-negative  
 $I(A,B) \geq 0$

Proof:-

$$I(A,B) = \sum_{i=1}^r \sum_{j=1}^s P(a_i, b_j) \log_2 \frac{P(a_i, b_j)}{P(a_i)P(b_j)}$$

$$= \log_e \sum_{i=1}^r \sum_{j=1}^s P(a_i, b_j) \ln \frac{P(a_i, b_j)}{P(a_i)P(b_j)}$$

$$\ln \frac{1}{x} \geq 1-x$$

$$x = \frac{P(a_i)P(b_j)}{P(a_i, b_j)}$$

$$\ln \frac{P(a_i, b_j)}{P(a_i)P(b_j)} \geq \left[ 1 - \frac{P(a_i)P(b_j)}{P(a_i, b_j)} \right] \quad \text{--- (1)}$$

Multiplying both sides of eq (1) by  $P(a_i, b_j)$

$$\sum_{i=1}^r \sum_{j=1}^s P(a_i, b_j) \ln \frac{P(a_i, b_j)}{P(a_i)P(b_j)} \geq \sum_{i=1}^r \sum_{j=1}^s P(a_i, b_j) \left[ 1 - \frac{P(a_i)P(b_j)}{P(a_i, b_j)} \right]$$

Multiplying both sides by  $\log_e$

$$\log_e \sum_{i=1}^r \sum_{j=1}^s P(a_i, b_j) \ln \frac{P(a_i, b_j)}{P(a_i)P(b_j)} \geq \log_e \left[ \sum_{i=1}^r \sum_{j=1}^s P(a_i, b_j) - \sum_{i=1}^r \sum_{j=1}^s P(a_i)P(b_j) \right]$$

$$I(A,B) \geq \log_e \left[ \sum_{i=1}^r \sum_{j=1}^s P(a_i, b_j) - \sum_{i=1}^r P(a_i) \sum_{j=1}^s P(b_j) \right]$$

$\sum_{i=1}^r \sum_{j=1}^s P(a_i, b_j) =$  sum of all elements of JPM  
 $= 1$  from property 3

$$\sum_{i=1}^r P(a_i) = 1 \quad \sum_{j=1}^s P(b_j) = 1$$

$$I(A, B) \geq \log_e [1 - (1)(1)]$$

$$I(A, B) \geq 0 \rightarrow \text{proved}$$

Property 1:- Mutual information of the channel is symmetric

$$I(X, Y) = I(Y, X)$$

Proof :-  $P(x_i, y_j) = P(x_i | y_j) P(y_j)$

$$P(x_i, y_j) = P(y_j | x_i) P(x_i)$$

$P(x_i, y_j)$  is the joint probability that  $x_i$  is transmitted and  $y_j$  is received.

$$P(x_i | y_j) P(y_j) = P(y_j | x_i) P(x_i)$$

$$\frac{P(x_i | y_j)}{P(x_i)} = \frac{P(y_j | x_i)}{P(y_j)} \quad \text{--- (1)}$$

The average mutual information is given by

$$I(X; Y) = \sum_{i=1}^n \sum_{j=1}^m P(x_i, y_j) \log_2 \frac{P(x_i | y_j)}{P(x_i)}$$

$$I(Y; X) = \sum_{i=1}^n \sum_{j=1}^m P(x_i, y_j) \log_2 \frac{P(y_j | x_i)}{P(y_j)}$$

From eq (1)

$$I(Y; X) = \sum_{i=1}^n \sum_{j=1}^m P(x_i, y_j) \log_2 \frac{P(x_i | y_j)}{P(x_i)}$$

$$= I(X; Y)$$

$$I(X; Y) = I(Y; X)$$

Hence proved.

14/11 Problem

(2) A transmitter transmits 5 symbols with probabilities 0.2, 0.3, 0.2, 0.1, 0.2. Given the channel matrix  $P(B/A)$ . Calculate i)  $H(B)$  ii)  $H(A, B)$

$$P(B/A) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 1/4 & 3/4 & 0 & 0 \\ 0 & 1/3 & 2/3 & 0 \\ 0 & 0 & 1/3 & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

iii)  $H(A)$   
iv)  $I(A, B)$

Sol.

$$P(b_1) = 1 \times 0.2 = 0.2$$

$$P(b_2) = 1 \times 0.3 = 0.3$$

$$P(b_3) = 1 \times 0.2 = 0.2$$

$$P(b_4) = 0 \times 0.1 = 0.1$$

$$P(b_5) = 1 \times 0.2 = 0.2$$

$$H(B) =$$

$$P(b_1) = 1.25$$

$$P(b_2) = 1.083$$

$$P(b_3) = 1$$

$$P(b_4) = 2/3 = 0.66$$

$$P(a_i, b_j) = P(b_j | a_i) \cdot P(a_i)$$

given  $P(b_j | a_i)$

$$P(a_i, b_j) = \begin{bmatrix} 0.2 \cdot 1/5 & 0 & 0 & 0 \\ 0.075 \cdot 3/4 & 0.225 \cdot 1/4 & 0 & 0 \\ 0 & 1/15 & 2/15 & 0 \\ 0 & 0 & 1/30 & 1/15 \\ 0 & 0 & 1/5 & 0 \end{bmatrix}$$

14/11

$$P(b_1) = \frac{11}{40} \quad 0.275 = \frac{11}{40}$$

$$P(b_2) = \frac{7}{24}$$

$$P(b_3) = \frac{11}{30}$$

$$P(b_4) = \frac{1}{15}$$

$$i) \quad H(B) = \frac{11}{40} \log\left(\frac{40}{11}\right) + \frac{7}{24} \log\left(\frac{24}{7}\right) + \frac{11}{30} \log\left(\frac{30}{11}\right) + \frac{1}{15} \log 15$$

$$H(B) = \cancel{0.5484} \text{ bits/sms} \quad 1.8218 \text{ bits/sms}$$

$$ii) \quad H(A, B) = \sum_{j=1}^4 \sum_{i=1}^5 P(a_i, b_j) \log \frac{1}{P(a_i, b_j)}$$

$$= \frac{1}{5} \log 5 + \frac{3}{40} \log \frac{40}{3} + \frac{9}{40} \log \frac{40}{9} + \frac{1}{15} \log 15 + \frac{2}{15} \log \frac{15}{2} + \frac{1}{30} \log 30 + \frac{1}{15} \log 15 + \frac{1}{5} \log 5$$

$$H(A, B) = 2.765 \text{ bits/sms}$$

iii)  $H(A)$

$$P(a_1) = \frac{1}{5}$$

$$P(a_2) = \frac{3}{10}$$

$$P(a_3) = \frac{1}{5}$$

$$P(a_4) = \frac{1}{10}$$

$$P(a_5) = \frac{1}{5}$$

$$H(A) = \frac{1}{5} \log 5 + \frac{3}{10} \log \frac{10}{3} + \frac{1}{5} \log 5 + \frac{1}{10} \log 10 + \frac{1}{5} \log 5$$

$$H(A) = 2.246 \text{ bits/sms}$$

$$iv) \quad I(A, B) = H(A) - H(A|B)$$

$$H(A|B) = H(A, B) - H(B) = 2.765 - 1.8218 = 0.9432$$

$$I(A, B) = 2.246 - 0.9432 = 1.3028 \text{ bits/sms}$$

## Rate of information transmission over a discrete channel

$$H(A) = \sum_{i=1}^r P(a_i) \log \frac{1}{P(a_i)} \text{ bits/MS}$$

Discretised channel emits  $r_s$  message symbol/sec

$$R_{in} = H(A) r_s \text{ bits/sec}$$

Input rate is  $R_{in}$

Transmission rate  $R_t$

$$R_t = [H(A) - H(A|B)] r_s \text{ bits/sec}$$

$$R_t = I(A, B) r_s \text{ bits/sec}$$

$$I(A, B) = I(B, A)$$

$$\therefore R_t = [H(B) - H(B|A)] r_s \text{ bits/sec}$$

## Capacity of a channel

It is the maximum transmission rate of a channel.

$$C = \text{Max} \{ R_t \}$$

$$C = \text{Max} [H(A) - H(A|B)] \text{ bits } r_s$$

Shannon's capacity of a channel is defined in 2 methods : Shannon's  $\Pi$  theorem

i) Positive statements :  $R_t \leq C$

ii) Negative statement :  $R_t > C$

## Channel efficiency and redundancy

Channel efficiency  $\eta_{ch} = \frac{R_t}{C} \times 100\%$

$$= \frac{[H(A) - H(A|B)]_{ns}}{\text{Max}[H(A) - H(A|B)]_{ns}} \times 100\%$$

$$\eta_{ch} = \frac{I(A, B)}{\text{Max}[I(A, B)]} \times 100\%$$

Redundancy  $R_{\eta_{ch}} = 1 - \eta_{ch}$

## Special channels

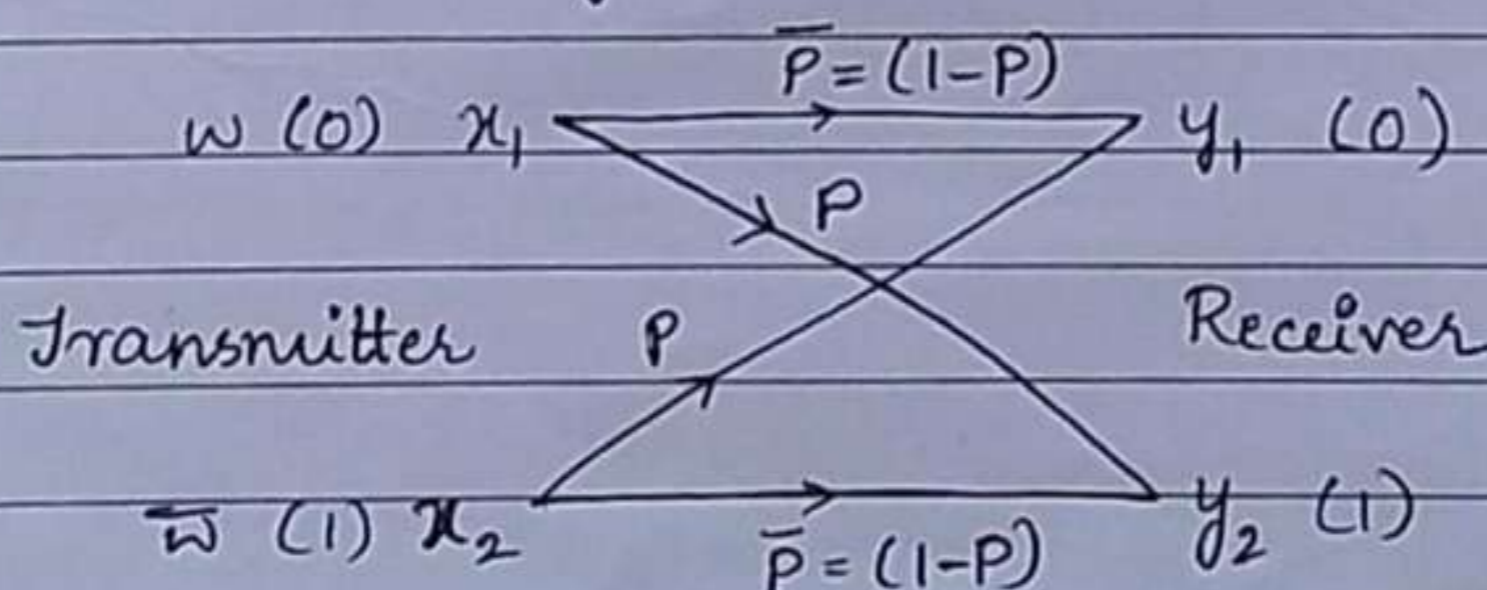
### Symmetric channels (not in syllabus)

Elements of I row is arranged in subsequent rows in different order.

Eg :- 
$$\begin{bmatrix} 1/3 & 2/3 & 0 \\ 0 & 2/3 & 1/3 \\ 1/3 & 0 & 2/3 \end{bmatrix}$$

### Binary symmetric channels

It has only 2 inputs and 2 outputs.



$x_1$  transmitted  $\rightarrow 0$   
 $x_2$  transmitted  $\rightarrow 1$

if we receive 0 from ( $x_1$  or  $x_2$ ) then  $y_1$   
" " " " 1 from ( $x_1$  or  $x_2$ ) then  $y_2$

When  $\overset{(1)}{0}$  is transmitted and received  $\overset{(1)}{0}$  then probability is  $\bar{P} = 1 - P$

When  $\overset{(1)}{0}$  is transmitted and  $\underset{(0)}{1}$  is received then probability is  $P$

Occurrence of 0 at ilp is indicated by  $w$  and 1 as  $\bar{w}$

$$P(x_1) = w$$

$$P(x_2) = \bar{w} = 1 - w$$

$P \rightarrow$  probability of error

Channel matrix  $P(Y|X)$

$$P(Y|X) = \begin{array}{c} \begin{array}{cc} & y_1 & y_2 \\ x_1 & \left[ \begin{array}{cc} P(y_1/x_1) & P(y_2/x_1) \end{array} \right] \\ x_2 & \left[ \begin{array}{cc} P(y_1/x_2) & P(y_2/x_2) \end{array} \right] \end{array} \end{array}$$

$$P(Y|X) = \begin{bmatrix} \bar{P} & P \\ P & \bar{P} \end{bmatrix}$$



Error control coding

All codes will have equal lengths.

Eg:- Received triplets

Decoded message.

Disadvantage of having linear variable lengths is any error that occurs during transmission cannot be corrected.

Redundant bits are also called as check bits.

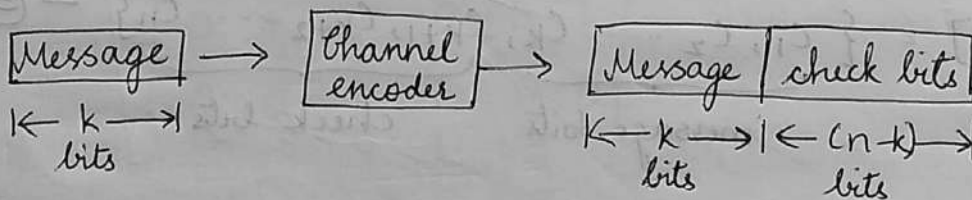
Redundant bits are added either in the beginning or at the end of the code in the linear block codes.

Types of codes

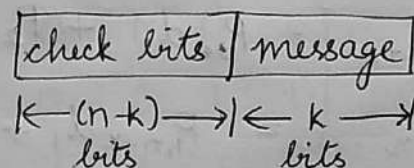
2 types

i) Block codes :- Redundant bits are added either in the beginning or at the end - linear block code

ii) Convolutional codes :- Interleaving check bits

Linear block codes

or



# Matrix description of linear block code

Let message block of  $k$  bits be represented by a row-vector or  $k$ -tuple called "message-vector" given by  $[D] = [d_1, d_2, \dots, d_k]$  — (1)

Thus there are  $2^k$  distinct message vectors. The channel encoder systematically adds  $(n-k)$  no. of check bits to form  $(n, k)$  linear block code.

$(n, k)$  Total no. of messages =  $2^n$   
 $k$  no. of message bits  
 $(n-k)$  no. of bits are check bits

Then  $2^k$  code vectors can be represented by

$$C = \{c_1, c_2, \dots, c_n\} \text{ — (2)}$$

Only  $2^k$  tuples out of  $2^n$  tuples in eq (2) are valid code vectors and the remaining  $(2^n - 2^k)$  code vectors are invalid code vectors. These invalid code vectors form error vectors and the ratio  $\frac{k}{n}$  is defined as the rate efficiency of  $(n, k)$  linear block.

$$[C] = \{ \underbrace{c_1, c_2, \dots, c_k}_{\text{message bits}}, \underbrace{c_{k+1}, c_{k+2}, \dots, c_n}_{\text{check bits}} \} \text{ — (3)}$$

$$c_{k+1} = P_{11}d_1 + P_{21}d_2 + \dots + P_{k1}d_k$$

$$c_{k+2} = P_{12}d_1 + P_{22}d_2 + \dots + P_{k2}d_k$$

$$c_n = P_{1, n-k}d_1 + P_{2, n-k}d_2 + \dots + P_{k, n-k}d_k$$

$P_{11}, P_{12}, \dots$  are 0's or 1's  $\Rightarrow$  parity bits

'+' sign in eq (4) is modulo-2 addition

0+0	0
0+1	1
1+0	1
1+1	0

$$[c_1, c_2, \dots, c_n] = [d_1, d_2, \dots, d_k] \begin{matrix} \xrightarrow{k} & \xrightarrow{n-k} \\ \begin{matrix} 1 & 0 & 0 & \dots & 0 & P_{11} & P_{12} & \dots & P_{1, n-k} \\ 0 & 1 & 0 & \dots & 0 & P_{21} & P_{22} & \dots & P_{2, n-k} \\ 0 & 0 & 1 & \dots & 0 & P_{31} & P_{32} & \dots & P_{3, n-k} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 & P_{k1} & P_{k2} & \dots & P_{k, n-k} \end{matrix} \end{matrix}$$

code vector

message matrix  
(row matrix)  
k no. of columns

k no. of rows ← Generator matrix  
n no. of columns identity matrix of order k  
and parity matrix of order (k, n-k)

$$[C] = [D][G]$$

$$[G] = [I_k | P]_{k \times n}$$

Problems

① For a systematic [6,3] linear block code, the parity matrix is given by  $[P] = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \end{bmatrix}$  Find all possible code vectors.

Sol<sup>n</sup>:-  $\begin{matrix} n & k \\ [6, 3] \end{matrix}$

$$[C] = [D][G] \quad [D] = [d_1, d_2, d_3] \quad D=3=k$$

$$[G] = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 \end{bmatrix}$$

$$[C] = [D][G]$$

$$= [d_1, d_2, d_3] \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 & 0 \end{bmatrix}$$

$$= [d_1, d_2, d_3, (d_1 \oplus d_3), (d_2 \oplus d_3), (d_1 \oplus d_2)]$$

$c_1 \quad c_2 \quad c_3 \quad c_4 \quad c_5 \quad c_6$

$2^3 = 8$   
↓  
no. of codes

code	Message vector $d_1 d_2 d_3$	code vector					
		$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$
$c_a$	000	0	0	0	0	0	0
$c_b$	001	0	0	1	1	1	0
$c_c$	010	0	1	0	0	1	1
$c_d$	011	0	1	1	1	0	1
$c_e$	100	1	0	0	1	0	1
$c_f$	101	1	0	1	0	1	1
$c_g$	110	1	1	0	1	1	0
$c_h$	111	1	1	1	0	0	0

OR

$$[G] = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$[D] = [d_1 \ d_2 \ d_3]$$

$$[C] = [D][G]$$

$$= [d_1 \ d_2 \ d_3] \begin{bmatrix} 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$[C] = \begin{bmatrix} (d_1 \oplus d_3) & (d_2 \oplus d_3) & (d_1 \oplus d_2) & d_1 & d_2 & d_3 \\ c_1 & c_2 & c_3 & c_4 & c_5 & c_6 \end{bmatrix}$$

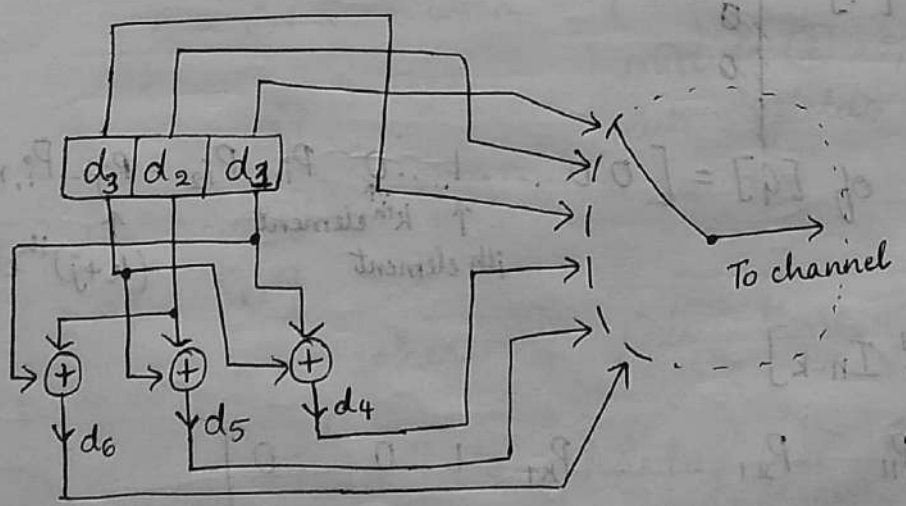
code	Message vector			Code vector					
	$d_1$	$d_2$	$d_3$	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$
$C_a$	0	0	0	0	0	0	0	0	0
$C_b$	0	0	1	1	1	0	0	0	1
$C_c$	0	1	0	0	1	1	0	1	0
$C_d$	0	1	1	1	0	1	0	1	1
$C_e$	1	0	0	1	0	1	1	0	0
$C_f$	1	0	1	0	1	1	1	0	1
$C_g$	1	1	0	1	0	0	1	1	0
$C_h$	1	1	1	0	0	0	1	1	1

We can expect  $2^6 = 64$  code vectors but we got 8 code vectors and remaining 56 code-vectors are invalid and have error.

20/9 Circuit for encoder  
 $n=6$   
 $k=3$

$k$  bit shift register  
 $(n-k) \Rightarrow$  modulo-2 address  
 $n$  segment commutator

$$[d_1, d_2, d_3, (d_1 \oplus d_3), (d_2 \oplus d_3), (d_1 \oplus d_2)]$$



# Parity check matrix [H]

$$[H] = [P^T | I_{n-k}]$$

! → separation blw  $P^T$  and identity parity matrix of order  $n-k$

$$[H] = \begin{bmatrix} P_{11} & P_{21} & \dots & P_{k1} & 1 & 0 & \dots & 0 \\ P_{12} & P_{22} & \dots & P_{k2} & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \dots & \vdots & \vdots & \vdots & \dots & \vdots \\ P_{1, n-k} & P_{2, n-k} & \dots & P_{k, n-k} & 0 & 0 & \dots & 1 \end{bmatrix}$$

$\underbrace{\hspace{150px}}_{k\text{-columns}}$ 
 $\underbrace{\hspace{150px}}_{(n-k)\text{ columns}}$

[H] matrix is of order  $(n-k) \times n$   
 Parity check matrix is used for error detection and correction.

Proof:- \* If  $C$  is a valid code vector then prove that  $CH^T = 0$  where  $H^T$  is transpose of the parity check matrix  $H$ .

Proof:-  $C = DG$

$$[C] = [D][G]$$

$$= [D][I_k | P]$$

I row of  $[G] = \begin{bmatrix} 1 & 0 & \dots & 0 & P_{11} & P_{12} & \dots & P_{1, n-k} \end{bmatrix}$

(k-th of 0's)

$i^{th}$  row of  $[G] = [0 \ 0 \ \dots \ 1 \ \dots \ 0 \ P_{i1} \ P_{i2} \ \dots \ P_{i, n-k}]$

$\uparrow$   $i^{th}$  element       $\uparrow$   $k^{th}$  element       $\uparrow$   $(k+j)^{th}$  element

$$[H] = [P^T | I_{n-k}]$$

$$= \begin{bmatrix} P_{11} & P_{21} & \dots & P_{k1} & 1 & 0 & \dots & 0 \\ P_{12} & P_{22} & \dots & P_{k2} & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \dots & \vdots & \vdots & \vdots & \dots & \vdots \\ P_{1, n-k} & P_{2, n-k} & \dots & P_{k, n-k} & 0 & 0 & \dots & 1 \end{bmatrix}$$



To identify which bit is causing error and also indicates if error is occurred or not. 2019

$$S = RH^T$$

Syndrome = (Received vector) (Transpose of parity check matrix)

$$S = (S_1, S_2, \dots, S_{n-k})$$

Total no. of bits in syndrome vector is  $(n-k)$  bits

$$E = C + R = C - R$$

$$R = C + E = C - E$$

$$S = RH^T$$

$$S = (C + E)H^T = CH^T + EH^T \quad [ \because CH^T = 0 ]$$

$$S = EH^T$$

### Problems

① Referring to  $(6,3)$  linear block code of previous problem, the received vector  $R = [110010]$ . Detect and correct the single error that has occurred due to noise.

Sol:-  $S = RH^T$

$$I_{n-k} = I_{6-3} = I_3$$

$$H = [P^T \mid I_{n-k}]$$

$$P = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

$$P^T = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

$$H = \begin{bmatrix} 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$



$$S = R H^T$$

$$= \begin{bmatrix} 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} \begin{matrix} 1 \times 6 \times 1 \\ \\ \\ \\ \\ 6 \times 3 \end{matrix}$$

$S \rightarrow (n-k)$  no. of bits 2019

$$= \begin{bmatrix} 1 & a & a \end{bmatrix}$$

$\begin{matrix} 1+1=0 \\ \downarrow \\ 1+1=0 \end{matrix} \left. \vphantom{\begin{matrix} 1+1=0 \\ \downarrow \\ 1+1=0 \end{matrix}} \right\} \text{ modulo-2 add }^n$

$$S = 100$$

In  $H^T$  matrix 100 is the 4<sup>th</sup> row.  $\therefore$  There is a error in received vector in 4<sup>th</sup> bit from left.

$$R = [110010] \rightarrow \text{this is one among 56 invalid code vector}$$

$$E = 000100$$

$$C = R + E = \underline{110110} \rightarrow C_g$$

If the 4<sup>th</sup> bit from left is 0 convert to 1; if 1 convert to 0.

### Syndrome calculation circuit

Let the received vector  $R = (r_1, r_2, \dots, r_n)$ . The syndrome  $[S] = [s_1, s_2, \dots, s_{n-k}] = R H^T$

$$[s_1, s_2, \dots, s_{n-k}] = [r_1, r_2, \dots, r_n] \begin{bmatrix} P_{11} & P_{12} & \dots & P_{1,n-k} \\ P_{21} & P_{22} & \dots & P_{2,n-k} \\ \vdots & \vdots & \ddots & \vdots \\ P_{k1} & P_{k2} & \dots & P_{k,n-k} \\ \hline 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{bmatrix}$$

$$s_1 = r_1 P_{11} + r_2 P_{21} + \dots + r_k P_{k1} + r_{k+1}$$

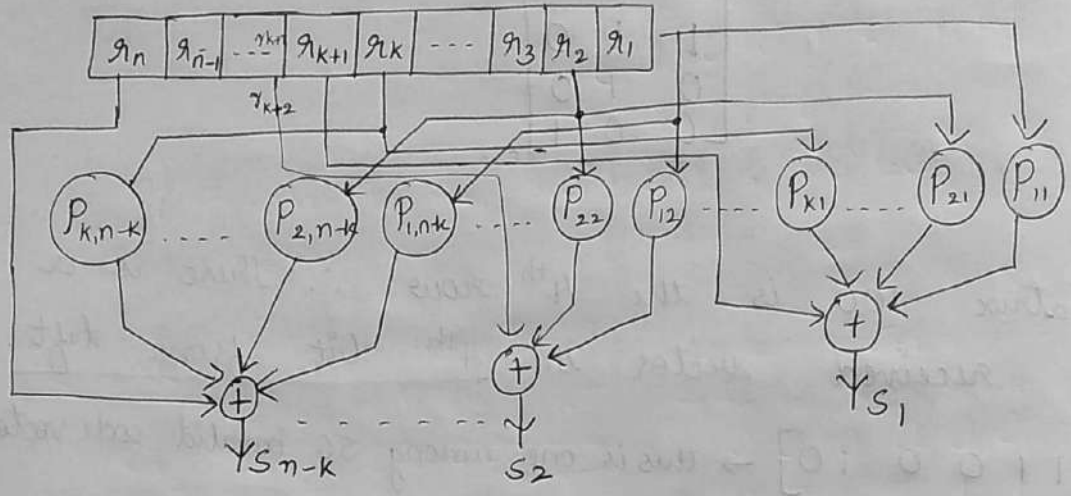
$$s_2 = r_1 P_{12} + r_2 P_{22} + \dots + r_k P_{k2} + r_{k+2}$$

$\vdots$

$$S_{n-k} = r_1 P_{1,n-k} + r_2 P_{2,n-k} + \dots + r_k P_{k,n-k} + r_n$$

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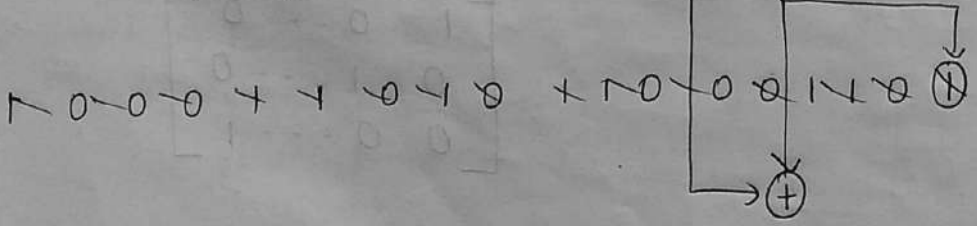
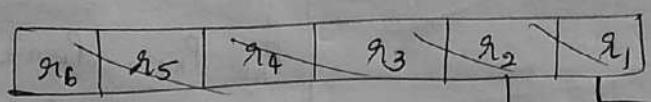
$$S = RH^T$$

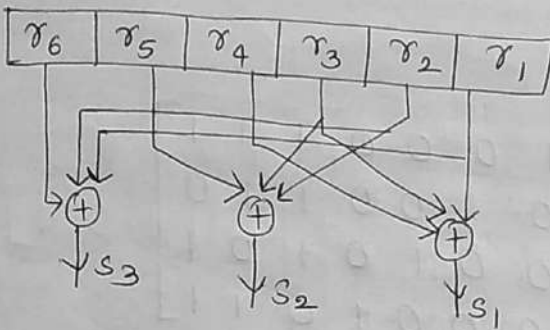
$$= [r_1 \ r_2 \ r_3 \ r_4 \ r_5 \ r_6] \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$S_1 = r_1 + r_3 + r_4$$

$$S_2 = r_2 + r_3 + r_5$$

$$S_3 = r_1 + r_2 + r_6$$





### Steps

→ Given  $(n, k)$  with Parity matrix

→ Generator matrix  $[G] = (k \times n)$

→  $C = [D][G]$

→ Parity check matrix  $H = [P^T | I] = (n-k) \times n$

→ syndrome  $S = RH^T = (n-k)$  bits

$$G = [I | P] \quad G = [P | I]$$

$$H = [P^T | I_{n-k}] \quad H = [I_{n-k} | P^T]$$

### Problem

① For a systematic  $(7, 4)$  linear block code, the parity matrix  $P$  is given by  $[P] =$

$$\begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

(i) Find all possible valid code vectors

(ii) Draw the corresponding encoding circuit

(iii) A single error has occurred in each of these <sup>received</sup> vectors detect and correct those errors.

(a)  $R_A = [0111110]$

(b)  $R_B = [1011100]$

(c)  $R_C = [1010000]$

(iv) Draw the syndrome calculation circuit.

Sol<sup>n</sup>:-  $[7, 4] \quad n=7 \quad k=4$

$$[C] = [D][G]$$

$$[D] = [d_1 \ d_2 \ d_3 \ d_4]$$

$$[G] = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix}$$

$$[C] = [D][Q]$$

$$= [d_1, d_2, d_3, d_4] \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix}$$

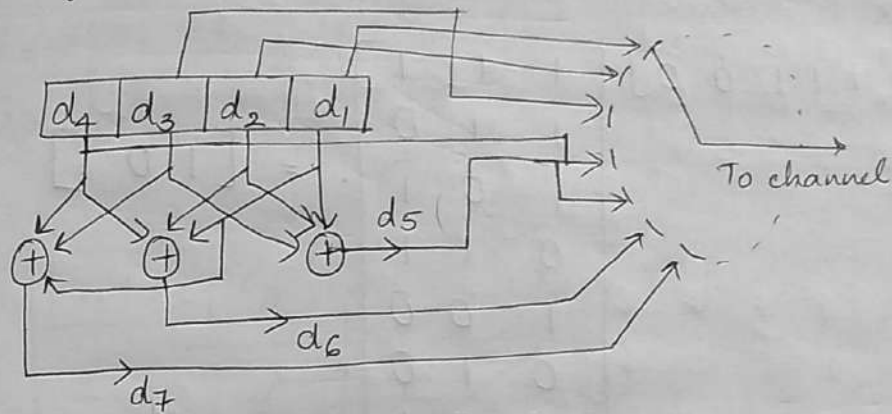
$$= [d_1, d_2, d_3, d_4, d_1 \oplus d_2 \oplus d_3, d_1 \oplus d_2 \oplus d_4, d_1 \oplus d_3 \oplus d_4]$$

$\begin{matrix} c_1 & c_2 & c_3 & c_4 & c_5 & c_6 & c_7 \end{matrix}$

$$2^4 = 16$$

Code	Message	code vector						
	vector $d_1, d_2, d_3, d_4$	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$
$C_a$	0000	0	0	0	0	0	0	0
$C_b$	0001	0	0	0	1	0	1	1
$C_c$	0010	0	0	1	0	1	0	1
$C_d$	0011	0	0	1	1	1	1	0
$C_e$	0100	0	1	0	0	1	1	0
$C_f$	0101	0	1	0	1	1	0	1
$C_g$	0110	0	1	1	0	0	1	1
$C_h$	0111	0	1	1	1	0	0	0
$C_i$	1000	1	0	0	0	1	1	1
$C_j$	1001	1	0	0	1	1	0	0
$C_k$	1010	1	0	1	0	0	1	0
$C_l$	1011	1	0	1	1	0	0	1
$C_m$	1100	1	1	0	0	0	0	1
$C_n$	1101	1	1	0	1	0	1	0
$C_o$	1110	1	1	1	0	1	0	0
$C_p$	1111	1	1	1	1	1	1	1

Encoding ckt



$$S = RH^T$$

$$H = [P^T \mid I_{n-k}]$$

$$I_{7-4} = I_3$$

$$P = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

$$P^T = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \end{bmatrix}$$

$$H = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

$$S = RH^T$$

$$= \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 0 \end{bmatrix}$$

↓  
2<sup>nd</sup> bit

$$a) R = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 0 \end{bmatrix}$$

$$E = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$C = \begin{bmatrix} 0 & 0 & 1 & 1 & 1 & 1 & 0 \end{bmatrix} \Rightarrow \text{corrected vector}$$

b)  $S = RH^T$

$$= [1\ 0\ 1\ 1\ 1\ 0\ 0] \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = [1\ 0\ 1]$$

3<sup>rd</sup> bit

$R = 1\ 0\ 1\ 1\ 1\ 0\ 0$   
 $E = 0\ 0\ 1\ 0\ 0\ 0\ 0$

$C = 1\ 0\ 0\ 1\ 1\ 0\ 0 \Rightarrow$  corrected vector

c)  $S = RH^T$

$$= [1\ 0\ 1\ 0\ 0\ 0\ 0] \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} = [0\ 1\ 0]$$

6<sup>th</sup> bit

$R = 1\ 0\ 1\ 0\ 0\ 0\ 0$   
 $E = 0\ 0\ 0\ 0\ 0\ 1\ 0$   
 $C = 1\ 0\ 1\ 0\ 0\ 1\ 0 \Rightarrow$  corrected vector

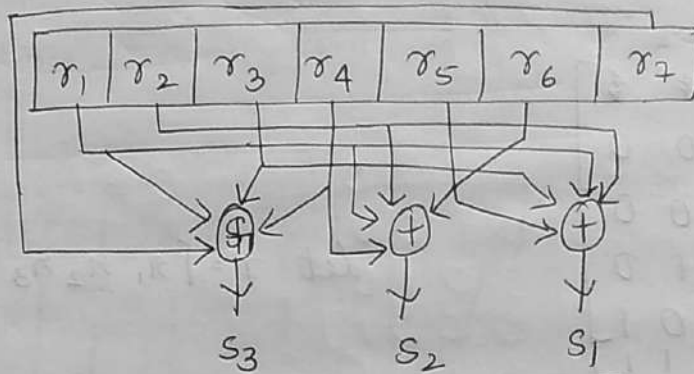
$S = [\gamma_1\ \gamma_2\ \gamma_3\ \gamma_4\ \gamma_5\ \gamma_6\ \gamma_7] \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

$$S_1 = r_1 + r_2 + r_3 + r_5$$

$$S_2 = r_1 + r_2 + r_4 + r_5$$

$$S_3 = r_1 + r_3 + r_4 + r_7$$

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② Repetition code represents simplest type of linear block codes. The generator matrix of (5,1) repetition code is given by  $[G] = [1 \ 1 \ 1 \ 1 \ 1 \ | \ 1]$ .

i) Write its parity check matrix.

ii) Evaluate the syndrome for all 5 possible single error patterns and also for all 10 possible double error patterns.

Sol<sup>n</sup> :-  $n=5$   $k=1$

$$[D] = [d_1 \ d_2 \ d_3 \ d_4 \ d_5] [1 \ 1 \ 1 \ 1 \ 1]$$

$$= [d_1 \ d_2 \ d_3 \ d_4 \ d_5]$$

$$2^1 = 2$$

Code	Msg vector	Code vector
	$d_i$	$c_1 \ c_2 \ c_3 \ c_4 \ c_5$
$C_a$	0	0 0 0 0 0
$C_b$	1	1 1 1 1 1

$$[P] = [1 \ 1 \ 1 \ 1]$$

$$S = RH^T$$

$$H = [P^T \ | \ I_{n-k}]$$

$$I_{5-1} = I_4$$

$$P^T = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$$

$$H = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$$G = [P | I]$$

$$\text{So } H = [I_{n-k} | P^T]$$

$$H^T = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

$$\text{Let } r = [r_1 \ r_2 \ r_3 \ r_4 \ r_5]$$

For all possible 5 single error pattern

1<sup>st</sup> bit

$$S_1 = [1 \ 1 \ 1 \ 1]$$

$$R = r_1 \ r_2 \ r_3 \ r_4 \ r_5$$

$$E_1 = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$C = 0 + 0 + 0 + 0$$

2<sup>nd</sup> bit

$$S_2 = [1 \ 0 \ 0 \ 0]$$

$$R = r_1 \ r_2 \ r_3 \ r_4 \ r_5$$

$$E_2 = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \end{bmatrix}$$

$$C = 1 + 0 + 0 + 0$$

$$S_3 = [0 \ 1 \ 0 \ 0]$$

$$R = r_1 \ r_2 \ r_3 \ r_4 \ r_5$$

$$E_3 = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$

$$E_4 = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

$$E_5 = \begin{bmatrix} 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

Error patterns for 5 single

$$E_1 = [1 \ 0 \ 0 \ 0 \ 0]$$

$$E_2 = [0 \ 1 \ 0 \ 0 \ 0]$$

$$E_3 = [0 \ 0 \ 1 \ 0 \ 0]$$

$$E_4 = [0 \ 0 \ 0 \ 1 \ 0]$$

$$E_5 = [0 \ 0 \ 0 \ 0 \ 1]$$

Error pattern for 10 double



$$\text{For } S_A = [1000] \\ [10000]$$

$$\text{For } [01000] \quad S_B = [0100]$$

$$\text{For } [00100] \quad S_C = [0010]$$

$$\text{For } [00010] \quad S_D = [0001]$$

$$\text{For } [00001] \quad S_E = [1111]$$

Error pattern for possible 10 double errors.

$$E_1 = [11000]$$

$$E_2 = [01100]$$

$$E_3 = [00110]$$

$$E_4 = [00011]$$

$$E_5 = [10001]$$

$$E_6 = [10010]$$

$$E_7 = [10100]$$

$$E_8 = [01001]$$

$$E_9 = [01010]$$

$$E_{10} = [00101]$$

$$S_F = E_1 H^T$$

$$= [11000] \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix} = [1100]$$

$$S_G = E_2 H^T = [01100] \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix} = [00110]$$

$$S_H = E_3 H^T = [00110] \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix} = [0011]$$

$$S_I = E_4 H^T = [00011] \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix} = [001110]$$

$$S_J = E_5 H^T = [0111]$$

$$S_K = E_6 H^T = [1001]$$

$$S_L = E_7 H^T = [1010]$$

$$S_M = E_8 H^T = [1011]$$

$$S_N = E_9 H^T = [0101]$$

$$S_O = E_{10} H^T = [1101]$$

## Hamming weight $H_w$

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weight of each code and number of non-zero components in a code.

Eg:  $[C_1] = [1100110]$

## Hamming distance

$$[C_1] = [1100110]$$

$$[C_2] = [0110011]$$

↑ lit is different in  $C_1$  &  $C_2$

There are 4 diff bits.

Hamming distance of  $C_1$  &  $C_2$  is 4.

## Minimum distance $d_{min}$

Smalling hamming distance b/w any 2 code vectors.

(6,3) linear block code

## Hamming distance

code vector

$$C_a \quad 000000$$

$$C_b \quad 001110$$

$$C_c \quad 010011$$

$$C_d \quad 011101$$

$$C_e \quad 100101$$

$$C_f \quad 101011$$

$$C_g \quad 110110$$

$$C_h \quad 111000$$

$$C_a C_b = 3$$

$$C_a C_g = 3$$

$$C_b C_c = 4$$

$C_a$

$$C_c C_d = 3$$

$$C_d C_e = 3$$

$$C_e C_f = 3$$

$$C_f C_g = 4$$

$$C_g C_h = 3$$

Minimum distance = 3

# Table lookup decoding (syndrome decoding) using the standard array

## Steps for preparing standard array

1.  $2^k$  valid code vectors are placed in a row with all 0 code vectors as the first element.
2. From the remaining  $2^n - 2^k$   $n$ -tuples and  $n$ -tuple  $E_2$  is chosen and is placed below all zero code vector. The second row can be formed by placing  $(E_2 + C_i)$  under  $C_i$ .
3. An unused  $n$ -tuple  $E_3$  is taken and the third row is completed as given in step 2.
4. The process is continued till all the  $n$ -tuples are used. The resulting array for an  $(n, k)$  linear block code is shown in table below.

$C_1 = \text{all } 0\text{'s}$	$C_2$	$C_3$	.....	$C_{2^k}$
$E_2$	$E_2 + C_2$	$E_2 + C_3$	.....	$E_2 + C_{2^k}$
$E_3$	$E_3 + C_2$	$E_3 + C_3$	.....	$E_3 + C_{2^k}$
⋮				
$E_{2^{n-k}}$	$E_{2^{n-k}} + C_2$	$E_{2^{n-k}} + C_3$	.....	$E_{2^{n-k}} + C_{2^k}$

## Problems

- ① Construct the standard array for  $(6, 3)$  linear block code given in previous problem.

Syndrome	co-set leader	1st	2	3	4	5	6	7	8
000	000000	001110	010011	011101	100101	101011	110110	111000	
101	100000	101110	110011	111101	000101	001011	010110	011000	
011	010000	011110	000011	001101	110101	111011	100110	101000	
110	001000	000110	011011	010101	101101	100011	111110	110000	
100	000100	001010	010111	011001	100001	101111	110010	111100	
010	000010	001100	010001	011111	100111	101001	110100	111010	
001	000001	001111	010010	011100	100100	101010	110111	111001	
111	110000	111110	100011	101101	010101	011011	000110	001000	

→ to make n=8 take any one double error

$$2^{n-k} \text{ rows} = 2^{6-3} = 2^3 = 8 \text{ rows}$$

Property of standard array

1. All elements in std array are distinct in nature.
2. First n-tuple set of each row is called as co-set leader
3. All  $2^k$  elements in each row have same syndrome

Received vector  $R = 100100$

We found  $R = 100100$  in 7<sup>th</sup> row 5<sup>th</sup> column  
 the error pattern is 000001. So add this to R

$$\begin{array}{r} R = 100100 \\ E = 000001 \\ \hline C = 100101 \end{array}$$

C → corrected vector

The first row vector of the column in which received vector is found is the corrected vector.

- For Eg if
- $R = 001111$  2<sup>nd</sup> column  $C = 001110$
  - $R = 011011$  6<sup>th</sup> column  $C = 101011$
  - $R = 000011$  8<sup>th</sup> column  $C = 010011$
  - $R = 011000$  8<sup>th</sup> column  $C = 111000$

② For the systematic (7,4) linear block code of problem 2, construct the standard array for the code and express non zero components of co-set leader in terms of syndrome bits  $S_1, S_2, S_3$ .

Sol<sup>n</sup>:

$$S_1 = r_1 + r_2 + r_3 + r_5$$

$$S_2 = r_1 + r_2 + r_4 + r_6$$

$$S_3 = r_1 + r_3 + r_4 + r_7$$

Syndrome	co-set leader	0000000	0001011	0010101	0011110	0100110	0101101	0110011	0111000	1000111	1001111	1010111	1101111	1110111	1111111
000	0000000	0000000	0001011	0010101	0011110	0100110	0101101	0110011	0111000	1000111	1001111	1010111	1101111	1110111	1111111
111	011000000	1001011	1010101	1011110	1100110	1101101	1110011	1111000	0000111	0001011	0001110	0010110	0011101	0011000	0000000
110	010100000	0101011	0110101	0111110	0000110	0001101	0001011	0010011	0011000	0000111	0001110	0010110	0011101	0011000	0000000
101	001000000	0011011	0000101	0001110	0110110	0111101	0110011	0111000	0000111	0001011	0010110	0011101	0011000	0000000	0000000
011	000100000	0000011	0001101	0011110	0011101	0010011	0011000	0000111	0001011	0010110	0011101	0011000	0000000	0000000	0000000
100	000010000	0001101	0010101	0011110	0100110	0101101	0110011	0111000	0000111	0001011	0010110	0011101	0011000	0000000	0000000
010	000001000	0000011	0001011	0001110	0001101	0001011	0001000	0000111	0001011	0001011	0001011	0001011	0001011	0001011	0001011
001	000000100	0000011	0000101	0000110	0000110	0000110	0000110	0000110	0000110	0000110	0000110	0000110	0000110	0000110	0000110
$S_1 S_2 S_3$		0001010	0001010	0001010	0001010	0001010	0001010	0001010	0001010	0001010	0001010	0001010	0001010	0001010	0001010

$$2^{n-k} \text{ rows} = 2^{7-4} \text{ rows} = 2^3 = 8 \text{ rows}$$

$$e_1 = S_1 S_2 S_3$$

$$e_2 = S_1 S_2 \bar{S}_3$$

$$e_3 = S_1 \bar{S}_2 S_3$$

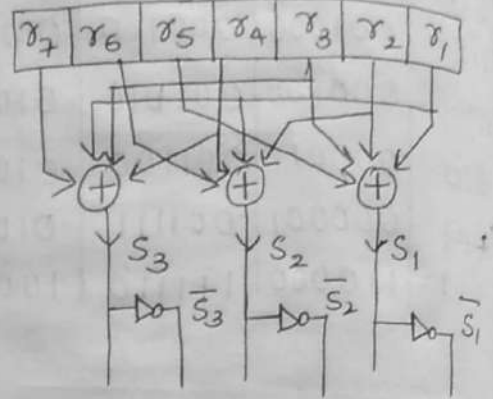
$$e_4 = \bar{S}_1 S_2 S_3$$

$$e_5 = S_1 \bar{S}_2 \bar{S}_3$$

$$e_6 = \bar{S}_1 S_2 \bar{S}_3$$

$$e_7 = \bar{S}_1 \bar{S}_2 S_3$$

Decoding circuit



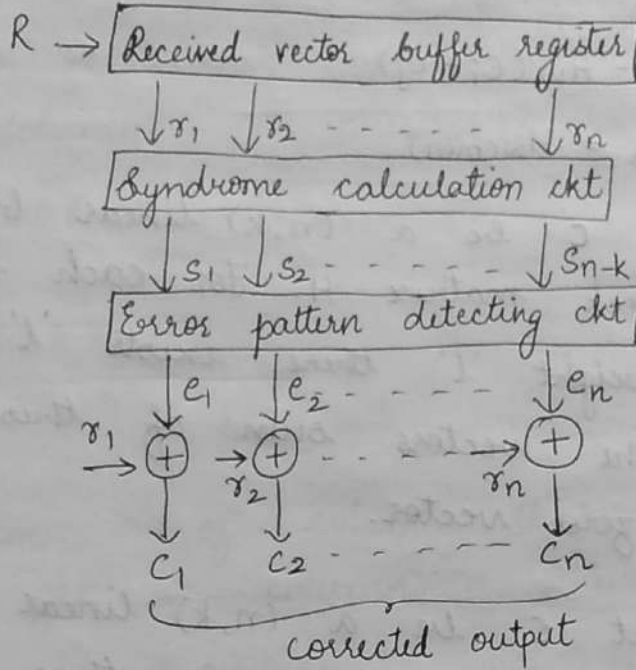
General decoding circuit for (n,k) linear block code 27/9

Decoding circuit: Error detection and correction

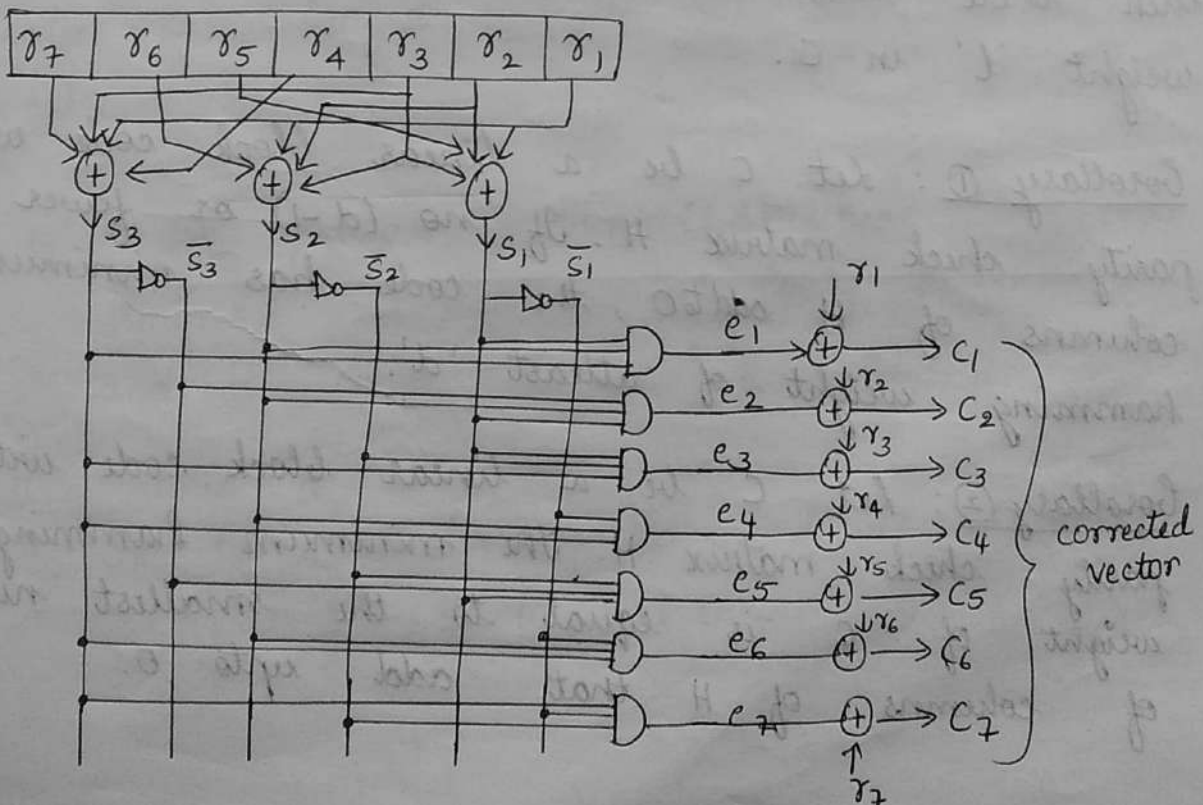
$$e_1 = f(s_1, s_2, \dots, s_{n-k})$$

$$e_2 = f(s_1, s_2, \dots, s_{n-k})$$

$$e_n = f(s_1, s_2, \dots, s_{n-k})$$



Decoding circuit for (7,4) linear block code.



## Error detecting and error correcting capabilities of linear block code.

Theorem 1: The minimum distance of a linear block code is equal to the minimum hamming weight of non-zero code vector.

$d_{\min}$  = Minimum hamming weight of a non-zero code vector

$$d(C_i, C_j) = H_w(C_i + C_j)$$

$$d_{\min} = H_w(c_{\min})$$

Theorem 2(a): Let  $C$  be a  $(n, k)$  linear block code with parity check matrix  $H$ . For each code vector of hamming weight '1' there exists '1' columns of  $H$  such that the vectors sum of these '1' columns is equal to zero vector.

Theorem 2(b): Let  $C$  be a  $(n, k)$  linear block code with parity check matrix  $H$ . If there exists '1' columns of  $H$  whose vector sum is zero vector, then there exist a code vector of hamming weight '1' in  $C$ .

Corollary ①: Let  $C$  be a linear block code with parity check matrix  $H$ . If no  $(d-1)$  or fewer columns of  $H$  add to 0, the code has minimum hamming weight of atleast 'd'.

Corollary ②: Let  $C$  be a linear block code with parity check matrix  $H$ . The minimum hamming weight of  $C$  is equal to the smallest number of columns of  $H$  that add upto 0.



Proof:

$$H = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

$$H = [P^T | I_{n-k}]$$

Since  $I = I_3$  and  
no. of columns = 7

$$n-k=3 \Rightarrow 7-k=3$$

$$k=4$$

So it is (7,4) linear block code.

First add any 2 columns to get 0 column. If we don't get 0 column by adding 2 columns, then add 3 columns to check if we can get 0 column

For eg:- 1<sup>st</sup> & 2<sup>nd</sup> column =  $\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} + 7^{th}$  column  $\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$

$$1+2+7 = 0$$

$$\text{|||ly } 2+3+4 = \begin{bmatrix} 1 \\ 1 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

$\therefore$  Minimum no. of columns that are required to add to get 0 column = Minimum hamming weight

Theorem 3: A linear block code with minimum distance  $d_{min}$  can detect upto  $(d_{min}-1)$  errors and can correct upto  $\lfloor \frac{(d_{min}-1)}{2} \rfloor$  errors where  $\frac{(d_{min}-1)}{2}$  indicates the largest integer not greater than  $\frac{(d_{min}-1)}{2}$ .

For eg:- For previous problem (6,3) linear block code  $d_{min}=3$  it can detect only 2 errors  $(d_{min}-1)$  and it can correct only 1 error  $\left[ \frac{(d_{min}-1)}{2} = \frac{3-1}{2} = 1 \right]$

if  $d_{min}=4$   
It can detect 3 errors and correct upto  $\frac{4-1}{2} = \frac{3}{2} = 1.5$  i.e., 1 error

# Single error correcting Hamming codes

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$$H = [P^T | I_{n-k}] \quad - (1)$$

$$H^T = \begin{bmatrix} P \\ I_{n-k} \end{bmatrix} \Rightarrow (n-k) \text{ no. of columns} \quad - (2)$$

From the matrix of eq (2) we observe that  $H^T$  has 'n' no. of rows and  $(n-k)$  no. of columns. The condition for all the rows of  $H^T$  to be distinct is that  $2^{n-k} - 1 \geq n$

We have taken (1) because there is no 0 row or 0 matrix.

$$2^{n-k} - 1 \geq n$$

$$2^{n-k} \geq n+1$$

$$n-k \geq \log_2(n+1)$$

$$k \leq n - \log_2(n+1)$$

code length : $n \leq 2^{n-k} - 1$
No. of message bits : $k \leq n - \log_2(n+1)$
No. of parity check bits : $n-k$
Error correcting capability : $t = \frac{(d_{min}-1)}{2}$

Problems :

① Design  $(n, k)$  hamming code with a min. distance of 3 and message length of 4 bits.

Sol :- Given  $k=4$   $d_{min}=3$

$$n \leq 2^{n-k} - 1$$

Use trial & error method to find n

Always  $n > k$

Assume  $n=5$

$$5 \leq 2^{5-4} - 1 \Rightarrow 5 \neq 1$$

$n=6$

$$6 \leq 2^2 - 1 \Rightarrow 6 \neq 3$$

$n=7$

$$7 \leq 2^3 - 1 \Rightarrow 7 \leq 7$$

$\therefore \boxed{n=7}$

(7,4) linear block code

$$H^T = \begin{bmatrix} P \\ I_{n-k} \end{bmatrix} = \begin{bmatrix} P \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$H^T \rightarrow 'n' \rightarrow$  rows

$(n-k) \rightarrow$  columns

$P = (4,3)$

3 columns

- 0 0 0
- 0 0 1
- 0 1 0
- 0 1 1 ✓
- 1 0 0
- 1 0 1 ✓
- 1 1 0 ✓
- 1 1 1 ✓

all columns and rows must be distinct

Among the remaining 4 codes, any code can be written in any order

$$H^T = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$P = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

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$$H = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

$d_{min} = 3$

$C = [D][G]$

$[G] = [I_k | P]$

$k=4$

$$= \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

$[D] = d_1 d_2 d_3 d_4$

$$c = [D][G]$$

$$= [d_1 \ d_2 \ d_3 \ d_4] \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

$$c = [ \underset{c_1}{d_1}, \underset{c_2}{d_2}, \underset{c_3}{d_3}, \underset{c_4}{d_4}, \underset{c_5}{d_2 \oplus d_3 \oplus d_4}, \underset{c_6}{d_1 \oplus d_3 \oplus d_4}, \underset{c_7}{d_1 \oplus d_2 \oplus d_4} ]$$

Code	Message vector				Code vector						
	$d_1$	$d_2$	$d_3$	$d_4$	$c_1$	$c_2$	$c_3$	$c_4$	$c_5$	$c_6$	$c_7$
	0	0	0	0	0	0	0	0	0	0	0
	0	0	0	1	0	0	0	1	1	1	1
	0	0	1	0	0	0	1	0	1	1	0
	0	0	1	1	0	0	1	1	0	0	1
	0	1	0	0	0	1	0	0	1	0	1
	0	1	0	1	0	1	0	1	0	1	0
	0	1	1	0	0	1	1	0	0	1	1
	0	1	1	1	0	1	1	1	1	0	0
	1	0	0	0	1	0	0	0	0	1	1
	1	0	0	1	1	0	0	1	1	0	0
	1	0	1	0	1	0	1	0	1	0	1
	1	0	1	1	1	0	1	1	0	0	0
	1	1	0	0	1	1	0	0	1	1	0
	1	1	0	1	1	1	0	1	0	0	1
	1	1	1	0	1	1	1	0	0	0	0
	1	1	1	1	1	1	1	1	1	1	1

$$t = \frac{d_{min} - 1}{2} = \frac{3 - 1}{2} = 1$$

It can detect 2 errors and can correct only 1 error. So it is called single error correcting code (SEC)

## Hamming Bound

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For  $(n, k)$  linear block code there are  $2^{n-k}$  syndrome patterns including all zero syndrome. Each syndrome corresponds to a specific error pattern. If 'i' is the no. of error locations in 'n' dimensional error pattern 'e' in general  $nC_i$  error patterns will be there.

$\therefore$  The total no. of all possible error patterns =  $\sum_{i=0}^t nC_i$   
where t is the max no. of error locations in 'e'

If an  $(n, k)$  linear block code is to be capable of correcting upto 'i' errors, then the total no. of syndromes shall not be less than the total no. of all possible error patterns i.e.,  $2^{n-k} \geq \sum_{i=0}^t nC_i$ . This equation is called as Hamming Bound.

The no. of syndromes  $\geq$  no. of errors.

For previous problem

$$t = \frac{d_{\min} - 1}{2} = 1$$

$$2^{n-k} \geq \sum_{i=0}^t nC_i$$

$$2^3 \geq \sum_{i=0}^1 7C_i$$

$$8 \geq 7C_0 + 7C_1$$

$$8 \geq 1 + 7$$

$$8 \geq 8 \quad 8 = 8 \Rightarrow \text{Perfect code}$$

$(n, k)$  linear block code which satisfies this inequality with equal sign is called perfect code.

A binary code for which the hamming bound turns out to be equality is called perfect code.

## Problems

① The parity check bits of (8,4) block code are generated by  $C_5 = d_1 + d_2 + d_4$ ,  $C_6 = d_1 + d_2 + d_3$ ,  $C_7 = d_1 + d_3 + d_4$ ,  $C_8 = d_2 + d_3 + d_4$  where  $d_1, d_2, d_3$  and  $d_4$  are the message bits.

i) Find the generator matrix and parity check matrix for this code.

ii) Find the minimum weight of this code

iii) Show that it is capable of correcting all single error patterns and capable of detecting all double errors by preparing syndrome table for them.

Sol:- (8,4)  $n=8$   $k=4$

$$P = H = [P^T | I_{n-k}] \quad I_{8-4} = I_4$$

$$G = [I_k | P^T]$$

$$G = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \end{bmatrix} \quad P = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \end{bmatrix}$$

$$P^T = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

$$P^T = \begin{bmatrix} 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix}$$

$d_{min} = 8$

$H = [P^T | I_{n-k}]$

$$= \begin{bmatrix} 1 & 1 & 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

$H^T =$

$$\begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$d_{min} = 4$

$t = \frac{d_{min} - 1}{2} = \frac{3}{2} = 1, \rightarrow$  Corrects single error patterns

$C = [D][G]$

$C = [d_1 \ d_2 \ d_3 \ d_4] \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \end{bmatrix}$

$C = [d_1 \ d_2 \ d_3 \ d_4 \ d_1 \oplus d_2 \oplus d_4 \ d_1 \oplus d_2 \oplus d_3 \ d_1 \oplus d_3 \oplus d_4 \ d_2 \oplus d_3 \oplus d_4]$

Nt of Code

Message vector

Code vector

	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$	$C_7$	$C_8$
0	0	0	0	0	0	0	0	0
4	0	0	0	1	1	0	1	1
4	0	0	1	0	0	1	1	1
4	0	0	1	1	1	1	0	0
4	0	1	0	0	1	1	0	1
4	0	1	0	1	0	1	1	0
4	0	1	0	1	1	0	0	1
4	0	1	1	1	0	0	0	1
4	1	0	0	0	1	1	1	0
4	1	0	0	1	0	0	1	0
4	1	0	1	0	1	0	0	1
4	1	0	1	1	0	0	0	1
4	1	1	0	0	0	0	0	1

wt of code  
 4 1101 1101 1000  
 4 1110 0100  
 8 1111 1111

Minimum weight = 4

Syndrome table syndrome bit =  $n - k = 8 - 4 = 4$

Single error pattern	Syndrome
10000000	1110
01000000	1101
00100000	0111
00010000	1011
00001000	1000
00000100	0100
00000010	0010
00000001	0001

Syndrome is calculated as  $S = RH^T$

Assume any one bit with error  
 consider  $R = 10000000$

$S = RH^T$

$S = [s_1 \ s_2 \ s_3 \ s_4] = [10000000] \begin{bmatrix} 1110 \\ 1101 \\ 0111 \\ 1011 \\ 1000 \\ 0100 \\ 0010 \\ 0001 \end{bmatrix}$

$S = [1110] \rightarrow 1^{st}$  row  
 1<sup>st</sup> bit error

$R = 10000000$   
 $E = 10000000$   
 $C = 00000000$



Double error pattern

11000000

10100000

⋮

01100000

⋮

00011000

⋮

000010010

⋮

000000011

Syndrome

0011

1001

⋮

1010

⋮

0011

⋮

1001

⋮

0011

$$H^T = \begin{bmatrix} 0 & 0 & 11 \\ 1 & 0 & 01 \\ \vdots & & \\ 1 & 0 & 10 \\ \vdots & & \\ 0 & 0 & 11 \\ \vdots & & \\ 1 & 0 & 01 \\ \vdots & & \\ 0 & 0 & 11 \end{bmatrix}$$

$$H = \begin{bmatrix} 0 & 1 & \dots & 1 & 0 & 1 & 0 \\ 0 & 0 & \dots & 0 & 0 & 0 & 0 \\ 1 & 0 & & 1 & 1 & 0 & 1 \\ 1 & 1 & & 0 & 1 & 1 & 1 \end{bmatrix}$$

$$\therefore d_{\min} = 2$$

No. of Detecting capability =  $d_{\min} - 1 = 2 - 1 = 1$

## Problems

- ① Design a  $(4, 2)$  linear block code
- Find generator matrix for the code vector set
  - Find parity check matrix
  - Choose the code vectors to be in systematic form with the goal of maximising  $d_{min}$ .
  - Enter the 16 4-tuples in a standard array
  - What are the error detecting and correcting capabilities of the code?
  - Make a syndrome table for the correctable error patterns.
  - Draw the encoding circuit.
  - Draw the syndrome calculating circuit.
- ② The parity check bits of a  $(7, 4)$  hamming code are generated by  $C_5 = d_1 + d_3 + d_4$ ,  $C_6 = d_1 + d_2 + d_3$ ,  $C_7 = d_2 + d_3 + d_4$  where  $d_1, d_2, d_3$  and  $d_4$  are the message bits.
- Find the generator matrix  $G$  and parity check matrix  $H$  for this code.
  - Prove that  $G \times H^T = 0$
  - The  $(n, k)$  linear block code so obtained as a dual

code, this dual code is  $(n, n-k)$  code having the generator matrix  $H$  and parity check matrix  $G$ .  
 Determine the 8 code vectors of the dual code for the  $(7, 4)$  hamming code described above.  
 iv) Find the minimum distance of dual code determined in iii)

### Binary Cyclic codes

Cyclic codes are subclass of linear block code

#### Advantages

- i) Encoding and syndrome calculating circuits are simpler compared to LBC and can be implemented using shift registers, feedback ckt's using basic gates.
- ii) They have mathematical (algebraic) structure and is used to correct implement error correcting circuits.

Eg:-  $C_1 = 11000110$

Then the other code vectors of the same code are the shifted (cyclic) version of  $C_1$  i.e

$$C_2 \text{ may be } = 00011011$$

or

$$C_2 \text{ may be } = 10001101$$

$$C_3 = 00011011$$

### Modulo 2 Algebra

#### Addition:

$$x + x = x(1+1) = x(0) = 0$$

$$x - x = x(1-1) = 0$$

Addition and subtraction is same in modulo 2 algebra.

Multiplication:

$$x \cdot x = x^2 ; \quad x^2 \cdot x = x^3 ; \quad x^3 \cdot x = x^4 ; \quad x^2 \cdot x^2 = x^4$$

Problems

① Find the product of polynomials  $f_1(x) = x+1$  and  $f_2(x) = x^3+x+1$  using modulo-2 algebra.

Sol:- product =  $f_1(x) \cdot f_2(x)$

$$\begin{aligned} f_1(x) \cdot f_2(x) &= (x+1)(x^3+x+1) \\ &= x^4 + x^2 + x + x^3 + x + 1 \quad [\because x+x=0] \\ &= x^4 + x^3 + x^2 + 0 + 1 \\ &= x^4 + x^3 + x^2 + 1 \end{aligned}$$

②  $f_1(x) = 1+x+x^3$        $f_2(x) = 1+x+x^2+x^4$

Sol:-

$$\begin{aligned} f_1(x) \cdot f_2(x) &= (1+x+x^3)(1+x+x^2+x^4) \\ &= 1+x+x^2+x^4+x+x^2+x^3+x^5+x^3+x^4+x^5+x^7 \\ &= x^7 + x^5(1+1) + x^4(1+1) + x^3(1+1) + x^2(1+1) \\ &\quad + x(1+1) + 1 \\ &= x^7 + 0 + 0 + 0 + 0 + 0 + 1 \\ &= x^7 + 1 \end{aligned}$$

③ Divide  $f_2(x) = x^6+x^5+x^2$  by  $f_1(x) = x^3+x+1$  by modulo 2 algebra.

Sol:-

$$\begin{array}{r} x^3+x+1 \overline{) x^6+x^5+x^2} \quad (x^3+x^2+x) \\ \underline{x^6+x^4+x^3} \phantom{+x^2} \\ x^5+x^4+x^3+x^2 \\ \underline{x^5+x^2+x} \phantom{+x^2} \\ x^4+x^2+x^2 \phantom{+x^2} \\ \underline{x^4+x^2+x} \phantom{+x^2} \\ x^2+x \phantom{+x^2} \end{array}$$

↑  
quotient polynomial  $Q(x)$

+ & - are same in modulo 2.

$R(x) \Rightarrow$  Remainder polynomial

Q4) If  $f(x) = x^4 + x + 1$  then show that  $[f(x)]^2 = f(x^2)$  in modulo 2 algebra.

Sol:-  $[f(x)]^2 = (x^4 + x + 1)(x^4 + x + 1)$   
 $= x^8 + x^5 + x^4 + x^5 + x^2 + x + x^4 + x + 1$   
 $[f(x)]^2 = x^8 + x^2 + 1$

$f(x^2) = (x^2)^4 + x^2 + 1$

$[f(x^2)] = x^8 + x^2 + 1$

Since showed  $f(x^2) = [f(x)]^2$

This equation is true for all polynomials of any degree.

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$C_1 = 0111001$

$V = (V_0 V_1 V_2 \dots V_{n-1})$  - ①

$C_2 = 1011100$

If  $V$  belongs to cyclic codes, then

$C_3 = 0101110$

$C_4 = 0010111$

$V^{(1)} = (V_{n-1} V_0 V_1 \dots V_{n-2})$

$V^{(2)} = (V_{n-2} V_{n-1} V_0 V_1 \dots V_{n-3})$

In general

$V^{(i)} = (V_{n-i} V_{n-i+1} \dots V_0 V_1 \dots V_{n-i-1})$

Expressing eq ① in polynomial form

$V(x) = V_0 + V_1 x + V_2 x^2 + \dots + V_{n-1} x^{n-1}$  - ③

$V^{(1)}(x) = V_{n-1} + V_0 x + V_1 x^2 + \dots + V_{n-2} x^{n-1}$

$V^{(2)}(x) = V_{n-2} + V_{n-1} x + V_0 x^2 + \dots + V_{n-3} x^{n-1}$

In general  $V^{(i)}(x) = V_{n-i} + V_{n-i+1} x + \dots + V_{n-i-1} x^{n-1}$

$V_0, V_1, \dots, V_{n-1}$  belong to binary field.

## \* Properties of cyclic codes

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1. For a  $(n, k)$  cyclic code, there exists a generator polynomial of degree  $(n-k)$  given by

$$g(x) = g_0 + g_1x + g_2x^2 + \dots + g_{n-k}x^{n-k} \quad \text{--- (5)}$$

2. The generator polynomial  $g(x)$  of  $(n, k)$  cyclic code is a factor of  $x^{n+1} + 1$  i.e.,  $x^{n+1} + 1 = g(x) \cdot h(x)$  --- (6)

where  $h(x)$  is another polynomial of degree  $k$  and is called parity check polynomial.

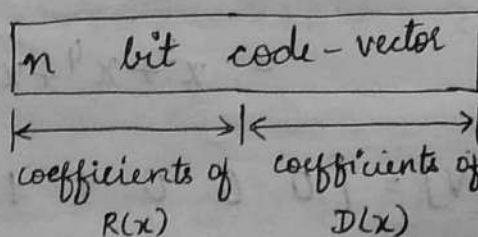
3. If  $g(x)$  is a polynomial of degree  $(n-k)$  and is a factor of  $x^{n+1} + 1$ , then it generates  $(n, k)$  cyclic code.

4. The code vector polynomial  $v(x)$  can be found by using  $v(x) = d \cdot D(x) \cdot g(x)$  --- (7) where  $D(x)$  is a message vector polynomial of degree  $k$ .

$$D(x) = d_0 + d_1x + d_2x^2 + \dots + d_{k-1}x^{k-1} \quad \text{--- (8)}$$

$D(x)$  is a multiple of  $g(x)$ . It generates non systematic cyclic code.

5. To generate systematic cyclic code the remainder polynomial  $R(x)$  is obtained from division of  $x^{n-k} D(x)$  by  $g(x)$ . The coefficients of  $R(x)$  are placed in the beginning of code vector followed by the coefficients of message polynomial  $D(x)$  to get the code vector.



## Problems

- ① For the  $(7,4)$  single error correcting cyclic code  $x^n+1 = x^7+1 = [(1+x+x^3)x(1+x+x^2+x^4)]$ . Using the generator polynomial  $g(x) = 1+x+x^3$ , find all the 16 code vectors of cyclic code both in systematic and non-systematic form.

Sol<sup>n</sup>:-

For Non systematic cyclic code

$$V(x) = D(x)g(x)$$

$$\text{let } D = [1011]$$

$$D(x) = d_0 + d_1x + d_2x^2 + d_3x^3$$

$$D(x) = 1 + x^2 + x^3$$

$$V(x) = (1+x^2+x^3)(1+x+x^3)$$

$$= 1 + x + x^3 + x^2 + x^3 + x^5 + x^3 + x^4 + x^6$$

$$= x^6 + x^5 + x^4 + x^3 + x^2 + x + 1$$

$$= 1 + 1x + 1x^2 + 1x^3 + 1x^4 + 1x^5 + 1x^6$$

$$[V] = [1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1]$$

$$D = [0 \ 0 \ 0 \ 0]$$

$$[V] = [0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0]$$

$$D = [0 \ 0 \ 0 \ 1]$$

$$V(x) = x^3(1+x+x^3)$$

$$D(x) = d_3x^3 = x^3$$

$$= x^3 + x^4 + x^6$$

$$[V] = [0 \ 0 \ 0 \ 1 \ 1 \ 0 \ 1]$$

$$D = [0010] \quad D(x) = x^2$$

$$V(x) = x^2(1+x+x^3) = x^2 + x^3 + x^5$$

$$[V] = [0011010]$$

$$D = [0011] \quad D(x) = x^2 + x^3$$

$$V(x) = (x^2 + x^3)(1 + x + x^3) = x^2 + x^3 + x^5 + x^3 + x^4 + x^6$$

$$= x^2 + x^4 + x^5 + x^6$$

$$[V] = [0010111]$$

$$D = [0100] \quad D(x) = x$$

$$V(x) = x(1 + x + x^3) = x + x^2 + x^4$$

$$[V] = [0110100]$$

$$D = [0101] \quad D(x) = x + x^3$$

$$V(x) = (x + x^3)(1 + x + x^3) = x + x^2 + x^4 + x^3 + x^4 + x^6$$

$$= [x + x^2 + x^3 + x^6]$$

$$[V] = [0111001]$$

$$D = [0110] \quad D(x) = x + x^2$$

$$V(x) = (x + x^2)(1 + x + x^3) = x + x^2 + x^4 + x^2 + x^3 + x^5$$

$$= x + x^3 + x^4 + x^5$$

$$[V] = [0101110]$$

$$D = [0111] \quad D(x) = x + x^2 + x^3$$

$$V(x) = (x + x^2 + x^3)(1 + x + x^3) = x + x^2 + x^4 + x^2 + x^3 + x^5 + x^3 + x^4 + x^6$$

$$= x + x^5 + x^6$$

$$[V] = [0100011]$$



$$D = [1000] \quad D(x) = 1$$

$$V(x) = 1(1+x+x^3) = 1+x+x^3$$

$$[V] = [1101000]$$

$$D = [1001] \quad D(x) = 1+x^3$$

$$V(x) = (1+x^3)(1+x+x^3) = 1+x+x^3+x^3+x^4+x^6 \\ = 1+x+x^4+x^6$$

$$[V] = [1100101]$$

$$D = [1010] \quad D(x) = 1+x^2$$

$$V(x) = (1+x^2)(1+x+x^3) = 1+x+x^3+x^2+x^3+x^5 \\ = 1+x+x^2+x^5$$

$$[V] = [1110010]$$

$$D = [1011] \quad D(x) = 1+x^2+x^3$$

$$V(x) = (1+x^2+x^3)(1+x+x^3) = 1+x+x^3+x^2+x^3+x^5+ \\ x^3+x^4+x^6$$

$$= 1+x+x^2+x^3+x^4+x^5+x^6$$

$$[V] = [1111111]$$

$$D = [1100] \quad D(x) = 1+x$$

$$V(x) = (1+x)(1+x+x^3) = 1+x+x^3+x+x^2+x^4$$

$$= 1+x^2+x^3+x^4$$

$$[V] = [1011100]$$

$$D = [1101] \quad D(x) = 1+x+x^3 \quad 4/10$$

$$V(x) = (1+x+x^3)(1+x+x^3) = 1+x+x^3+x+x^2+x^4 + x^3+x^4+x^6 = 1+x^2+x^6$$

$$[V] = [101000]$$

$$D = [1110] \quad D(x) = 1+x+x^2$$

$$V(x) = (1+x+x^2)(1+x+x^3) = 1+x+x^3+x+x^2+x^4+x^2+x^3+x^5 = 1+x^4+x^5$$

$$[V] = [1000110]$$

$$D = [1111] \quad D(x) = 1+x+x^2+x^3$$

$$V(x) = (1+x+x^2+x^3)(1+x+x^3) = 1+x+x^3+x+x^2+x^4+x^2+x^3+x^5+x^3+x^4+x^6 = 1+x^3+x^5+x^6$$

$$[V] = [1001011]$$

Message vector	Code vector	Message vector	Code vector
0000	0000000	1000	1101000
0001	0001101	1001	1100101
0010	0011010	1010	1110010
0011	0010111	1011	1111111
0100	0110100	1100	1011100
0101	0111001	1101	1010001
0110	0101110	1110	1000110
0111	0100011	1111	1001011

# Systematic cyclic code

$$\frac{x^{n-k} D(x)}{g(x)} = R(x)$$

$$x^{7-4} = x^3$$

Let  $D(x) = 1 + x + x^3$  for  $D = [1101]$

$$x^{n-k} D(x) = x^3(1+x+x^3) = x^3 + x^4 + x^6$$

$n-k = 3$

$$\begin{array}{r} x^3 + x + 1 \ ) \ x^6 + x^4 + x^3 \\ \underline{x^6 + x^4 + x^3} \\ 0 \end{array}$$

$$R(x) = 0 \quad R = 000$$

$$V = [0001101]$$

$$D = [1001] \quad D(x) = 1 + x^3$$

$$x^3(1+x^3) = x^3 + x^6$$

$$\begin{array}{r} x^3 + x + 1 \ ) \ x^6 + x^3 \\ \underline{x^6 + x^4 + x^3} \\ x^4 + x^3 \\ \underline{x^4 + x^2 + x} \\ x^2 + x \end{array}$$

$$R(x) = x + x^2$$

$$[R] = [011]$$

$$V = [ \underbrace{011}_{R_1} \ \underbrace{1001}_M ]$$

$$D = [0000] \quad R(x) = 0 \quad V = [00000000]$$

$$D = [0001] \quad D(x) = x^3$$

$$x^3(x^3) = x^6$$

$$\begin{array}{r} x^3 + x + 1 \ ) \ x^6 \\ \underline{x^6 + x^4 + x^3} \\ x^4 + x^3 \\ \underline{x^4 + x^2 + x} \\ x^2 + x \end{array}$$

$$\begin{array}{r} x^3 + x^2 + x \\ \underline{x^3 + x + 1} \\ x^2 + 1 \end{array}$$

$$R(x) = x^2 + 1 \quad R = [1 \ 0 \ 1]$$

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$$[V] = [1 \ 0 \ 1 \ 0 \ 0 \ 0 \ 1]$$

$$D = [0 \ 0 \ 1 \ 0] \quad D = x^2$$

$$\frac{x^3(x^2)}{x^3+x+1} = R(x)$$

$$\begin{array}{r} x^3+x+1 \quad x^5 \quad (x^2+1) \\ \underline{x^5+x^3+x^2} \\ x^3+x^2 \\ \underline{x^3+x+1} \\ x^2+x+1 \end{array}$$

$$R(x) = x^2 + x + 1$$

$$R = [1 \ 1 \ 1]$$

$$[V] = [1 \ 1 \ 1 \ 0 \ 0 \ 1 \ 0]$$

$$D = [0 \ 0 \ 1 \ 1] \quad D = x^2 + x^3$$

$$x^3(x^2+x^3) = x^5 + x^6$$

$$\begin{array}{r} x^3+x+1 \quad x^5+x^5 \quad (x^3+x^2+x) \\ \underline{x^6+x^4+x^3} \end{array}$$

$$R(x) = x$$

$$\begin{array}{r} x^8+x^4+x^3 \\ \underline{x^5+x^3+x^2} \end{array}$$

$$R = [0 \ 1 \ 0]$$

$$\begin{array}{r} x^4+x^2 \\ \underline{x^4+x^2+x} \end{array}$$

$$x$$

$$[V] = [0 \ 1 \ 0 \ 0 \ 0 \ 1 \ 1]$$

$$D = [0 \ 1 \ 0 \ 0] \quad D = x$$

$$x^3(x) = x^4$$

$$\begin{array}{r} x^3+x+1 \quad x^4 \quad (x) \\ \underline{x^4+x^2+x} \\ x^2+x \end{array}$$

$$R(x) = x^2 + x$$

$$[R] = [0 \ 1 \ 1]$$

$$R^2 \quad [V] = [0 \ 1 \ 1 \ 0 \ 1 \ 0 \ 0]$$

$$D = [0 \ 1 \ 0 \ 1]$$

$$D(x) = x + x^3$$

$$x^3(x + x^3) = x^4 + x^6$$

$$\begin{array}{r} x^3 + x + 1 \quad x^6 + x^4 \quad (x^3 + 1) \\ \underline{x^6 + x^4 + x^3} \\ x^3 \\ \underline{x^3 + x + 1} \\ x + 1 \end{array}$$

$$R(x) = x + 1$$

$$[R] = [1 \ 1 \ 0]$$

$$[V] = [1 \ 1 \ 0 \ 0 \ 1 \ 0 \ 1]$$

$$D = [0 \ 1 \ 1 \ 0]$$

$$D(x) = x + x^2$$

$$x^3(x + x^2) = x^4 + x^5$$

$$\begin{array}{r} x^3 + x + 1 \quad x^5 + x^4 \quad (x^2 + x + 1) \\ \underline{x^5 + x^3 + x^2} \\ x^4 + x^3 + x^2 \\ \underline{x^4 + x^2 + x} \\ x^3 + x \\ \underline{x^3 + x + 1} \\ 1 \end{array}$$

$$R(x) = 1$$

$$[R] = [1 \ 0 \ 0]$$

$$[V] = [1 \ 0 \ 0 \ 0 \ 1 \ 1 \ 0]$$

$$D = [0 \ 1 \ 1 \ 1]$$

$$D(x) = x + x^2 + x^3$$

$$x^3(x + x^2 + x^3) = x^4 + x^5 + x^6$$

$$\begin{array}{r} x^3 + x + 1 \quad x^6 + x^5 + x^4 \quad (x^3 + x^2) \\ \underline{x^6 + x^4 + x^3} \\ x^5 + x^3 \\ \underline{x^5 + x^3 + x^2} \\ x^2 \end{array}$$

$$R(x) = x^2$$

$$[R] = [0 \ 0 \ 1]$$

$$[V] = [0 \ 0 \ 1 \ 0 \ 1 \ 1 \ 1]$$

$$D = [1 \ 0 \ 0 \ 0]$$

$$D(x) = 1$$

$$x^3(1) = x^3$$

$$\begin{array}{r} x^3 + x + 1 \quad x^3 \quad (1) \\ \underline{x^3 + x + 1} \\ x + 1 \end{array}$$

$$R(x) = x + 1$$

$$[R] = [1 \ 1 \ 0]$$

$$[V] = [1 \ 1 \ 0 \ 1 \ 0 \ 0 \ 0]$$

$$D = [1010] \quad D(x) = 1 + x^2$$

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$$x^3(1+x^2) = x^3 + x^5$$

$$\begin{array}{r} x^3+x+1 \quad x^5+x^3 \quad (x^2) \\ \underline{x^5+x^3+x^2} \\ x^2 \end{array}$$

$$R(x) = x^2$$

$$[R] = [001]$$

$$[V] = [0011010]$$

$$D = [1011] \quad D(x) = 1 + x^2 + x^3$$

$$x^3(1+x^2+x^3) = x^3 + x^5 + x^6$$

$$\begin{array}{r} x^3+x+1 \quad x^6+x^5+x^3 \quad (x^3+x^2+x+1) \\ \underline{x^6+x^4+x^3} \end{array}$$

$$x^5+x^4$$

$$\underline{x^5+x^3+x^2}$$

$$x^4+x^3+x^2$$

$$\underline{x^4+x^2+x}$$

$$x^3+x$$

$$\underline{x^3+x+1}$$

$$1$$

$$R(x) = x$$

$$[R] = [0100]$$

$$[V] = [01001011]$$

$$D = [1100] \quad D(x) = 1 + x$$

$$x^3(1+x) = x^3 + x^4$$

$$\begin{array}{r} x^3+x+1 \quad x^4+x^3 \quad (x+1) \\ \underline{x^4+x^2+x} \end{array}$$

$$x^3+x^2+x$$

$$\underline{x^3+x+1}$$

$$x^2+1$$

$$R(x) = 1 + x^2$$

$$[R] = [101]$$

$$[V] = [1011100]$$

$$D = [1101] \quad D(x) = 1 + x + x^3$$

$$x^3(1+x+x^3) = x^3 + x^4 + x^6$$

$$\begin{array}{r} x^3+x+1 \quad x^6+x^4+x^3 \quad (x^3) \\ \underline{x^6+x^4+x^3} \\ 0 \end{array}$$

$$[R] = 000$$

$$[V] = [0001101]$$

$$D = [1 \ 1 \ 1 \ 0]$$

$$D(x) = 1 + x + x^2$$

$$x^3(1 + x + x^2) = x^3 + x^4 + x^5$$

$$\begin{array}{r} x^3 + x + 1 \quad x^5 + x^4 + x^3 \quad (x^2 + x) \\ \underline{x^5 + x^3 + x^2} \\ x^4 + x^2 \\ \underline{x^4 + x^2 + x} \\ x \end{array}$$

$$R(x) = x$$

$$[R] = [0 \ 1 \ 0]$$

$$[V] = [0 \ 1 \ 0 \ 1 \ 1 \ 1 \ 0]$$

$$D = [1 \ 1 \ 1 \ 1]$$

$$D(x) = 1 + x + x^2 + x^3$$

$$x^3(1 + x + x^2 + x^3) = x^3 + x^4 + x^5 + x^6$$

$$\begin{array}{r} x^3 + x + 1 \quad x^6 + x^5 + x^4 + x^3 \quad (x^3 + x^2 + 1) \\ \underline{x^6 + x^4 + x^3} \\ x^5 \\ \underline{x^5 + x^3 + x^2} \\ x^3 + x^2 \\ \underline{x^3 + x + 1} \\ x^2 + x + 1 \end{array}$$

$$R(x) = x^2 + x + 1$$

$$[R] = [1 \ 1 \ 1]$$

$$[V] = [1 \ 1 \ 1 \ 1 \ 1 \ 1 \ 1]$$

Message vector	Code vector	Message vector	Code vector
0000	00000000	1000	11010000
0001	10100001	1001	01110001
0010	11100010	1010	00110010
0011	01000011	1011	10010011
0100	01101000	1100	10111000
0101	11001001	1101	00011001
0110	10001010	1110	01011010
0111	00101011	1111	11111011

# Parity check matrix H

$$x^n + 1 = g(x)h(x)$$

for (7,4) cyclic codes  $n=7$   $x^7 + 1 = g(x)h(x)$

$h(x)$  is called as parity check polynomial

$$h(x) = \frac{x^7 + 1}{g(x)}$$

$$h(x) = \frac{x^{n+1}}{g(x)}$$

$$h(x) = \frac{x^n + 1}{g(x)}$$

$$g(x) = 1 + x + x^3$$

$$\begin{array}{r} x^3 + x + 1 \quad x^7 + 1 \quad (x^4 + x^2 + x + 1) \\ \underline{x^7 + x^5 + x^4} \end{array}$$

$$x^5 + x^4 + 1$$

$$\underline{x^5 + x^3 + x^2}$$

$$x^4 + x^3 + x^2 + 1$$

$$\underline{x^4 + x^2 + x}$$

$$x^3 + x + 1$$

$$\underline{x^3 + x + 1}$$

0

$$h(x) = x^4 + x^2 + x + 1 = 1 + x + x^2 + x^4$$

The reciprocal of  $h(x)$  is defined as  $x^k h(x^{-1})$  and this polynomial is also a factor of  $1 + x^n$

for (7,4) cyclic codes  $k=4$

let us consider  $x^4 h(x^{-1})$

The 2 cyclic shifted versions of  $x^4 h(x^{-1})$  are  $x^5 h(x^{-1})$  and  $x^6 h(x^{-1})$

$$h(x) = 1 + x + x^2 + x^4$$

$$h(x^{-1}) = 1 + \frac{1}{x} + \frac{1}{x^2} + \frac{1}{x^4}$$

$$x^4 h(x^{-1}) = x^4 + x^3 + x^2 + 1$$

$$= 1 + 0 \cdot x + 1 \cdot x^2 + 1 \cdot x^3 + 1 \cdot x^4 + 0 \cdot x^5 + 0 \cdot x^6$$

1 0 1 1 1 0 0



$x^5h(x^{-1}) \Rightarrow$  similar to cyclically shifted version

$$x^5h(x^{-1}) = x^5 + x^4 + x^3 + x = x + x^3 + x^4 + x^5$$

$$0101110$$

$$x^6h(x^{-1}) = x^6 + x^5 + x^4 + x^2 = x^2 + x^4 + x^5 + x^6$$

$$0010111$$

$$[H] = \begin{bmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{bmatrix}$$

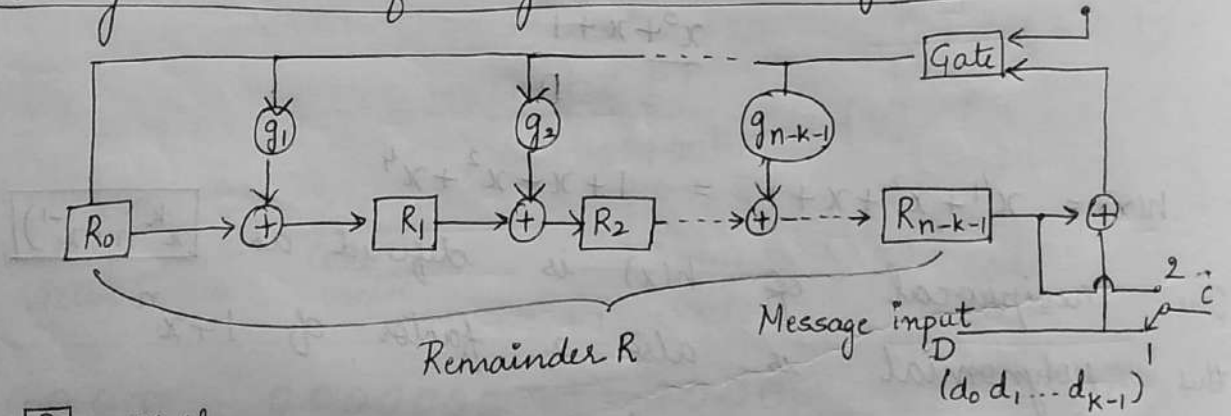
$[H]$  should be in either  $[I_{n-k} | P^T]$  or  $[P^T | I_{n-k}]$

In order to get  $I_{n-k}$  modify the matrix by matrix calculations

$$R_1 \rightarrow R_1 + R_3$$

$$[H] = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{bmatrix} = [I_{n-k} | P^T]$$

### Encoding circuit for cyclic code using shift registers



$[R] \rightarrow$  Flipflops

$(\odot) \rightarrow$  represents 1 or 0 (closed) (open) path

$[Gate] \rightarrow$  AND gate

Connecting flipflops together represents shift register.

It is  $(n-k)$  bit shift register

$\begin{matrix} R & D \\ \hline R_0 & R_1 \dots R_{n-k-1} & d_0 & d_1 \dots d_{k-1} \\ (n-k) \text{ bits} & & k \text{ bits} \end{matrix}$

$(\oplus) \Rightarrow$  Modulo 2 adder

$(n-k)$  number of flipflops are required

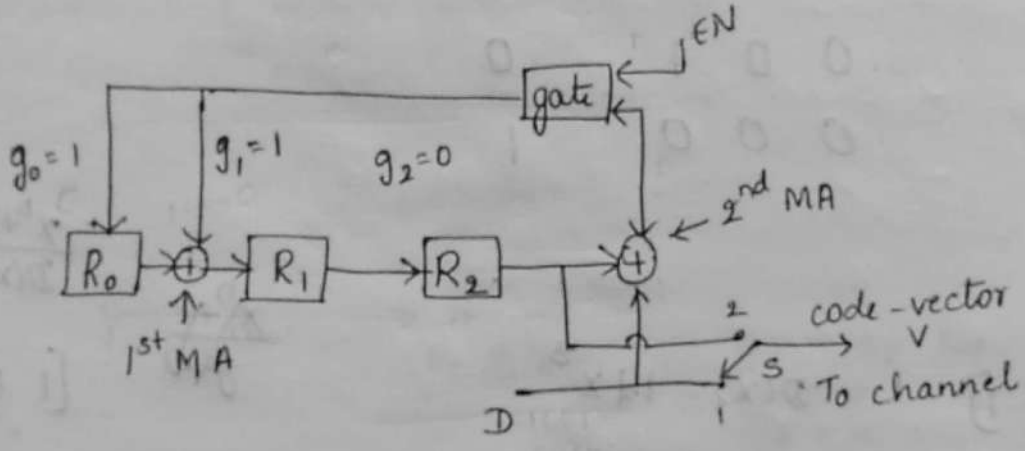
① For a (7,4) cyclic code design an encoder ckt for  $g(x) = 1+x+x^3$  and verify its operation using message vectors 1001, 1011, 1111, 1101

Sol<sup>n</sup>:-  $g(x) = 1+x+x^3$

$$g(x) = g_0 + g_1x + g_2x^2 + \dots + g_{n-k}x^{n-k}$$

$$g(x) = (g_0=1) + 1 \cdot x + 0 \cdot x^2 + 1 \cdot x^3 = 1 + 1 \cdot x + 0 \cdot x^2 + 1 \cdot x^3$$

$$g_0=1 \quad g_1=1 \quad g_2=0 \quad g_3=1$$



No. of shifts	Input D	Shift reg contents $R_0 \ R_1 \ R_2$	Remainder bits $R$
---------------	---------	---	-----------------------

Gate is on and switch is in position 1			
1	1	1 1 0	-
2	0	0 1 1	-
3	0	1 1 0	-
4	1	0 1 1	-
5	-	0 0 1	-
6	-	$R_0 \ R_1 \ R_2$	1
7	-	0 0 0	0

Switch will be moved to position 2

For the 1<sup>st</sup> shift whatever was there in  $R_0$  in previous clk cycle will be added with  $R_1$

1011

No. of shifts	D	R <sub>0</sub>	R <sub>1</sub>	R <sub>2</sub>	Remainder bit
		0	0	0	R
1	1	1	1	0	-
2	01	0	1	1	-
3	0	1	0	0	-
4	1	1	0	0	-
5	-	0	1	0	0
6	-	0	0	1	0
7	-	0	0	0	1

Verification

$$D = [1 \ 0 \ 0 \ 1]$$

$$D(x) = 1 + x^3$$

$$x^3(1+x^3) = x^3 + x^6$$

$$\begin{array}{r} x^3 + x + 1 \ ) \ x^6 + x^3 \ (x^3 + x \\ \underline{x^6 + x^4 + x^3} \\ x^4 + x^2 + x \\ \underline{x^4 + x^2 + x} \\ 0 \end{array}$$

$$[0 \ 1 \ 1]$$

$$[1 \ 1 \ 1 \ 1]$$

No. of shifts	D	R <sub>0</sub>	R <sub>1</sub>	R <sub>2</sub>	Remainder bit
		0	0	0	
1	1	1	1	0	
2	1	1	0	1	
3	1	0	1	0	
4	1	1	1	1	
5	-	0	1	1	1
6	-	0	0	1	1
7	-	0	0	0	1

$$D = [1 \ 1 \ 1 \ 1]$$

$$x^3(1+x+x^2+x^3) = x^3 + x^4 + x^5 + x^6$$

$$\begin{array}{r} x^3 + x + 1 \ ) \ x^6 + x^5 + x^4 + x^3 \ (x^3 + x^2 + 1 \\ \underline{x^6 + x^4 + x^3} \\ x^5 + x^3 + x^2 \\ \underline{x^5 + x^3 + x^2} \\ x^3 + x + 1 \\ \underline{x^3 + x + 1} \\ 0 \end{array}$$

$$[1 \ 1 \ 1]$$

$$D = [1 \ 0 \ 1 \ 1] \quad 11/10$$

$$D(x) = 1 + x^2 + x^3$$

$$x^3(1+x^2+x^3) = x^6 + x^5 + x^3$$

$$\begin{array}{r} x^3 + x + 1 \ ) \ x^6 + x^5 + x^3 \ (x^3 + x^2 + x + 1 \\ \underline{x^6 + x^4 + x^3} \\ x^5 + x^4 \\ \underline{x^5 + x^3 + x^2} \\ x^4 + x^3 + x^2 \\ \underline{x^4 + x^2 + x} \\ x^3 + x \\ \underline{x^3 + x + 1} \\ 1 \end{array}$$

$$[1 \ 0 \ 0]$$

$$D = [1101]$$

No. of shifts	D	R <sub>0</sub>	R <sub>1</sub>	R <sub>2</sub>	Remainder bits
		0	0	0	
1	1	1	1	0	
2	0	0	1	1	
3	1	0	0	1	
4	1	0	0	0	
5	-	0	0	0	[1 1 0]
6	-	0	0	0	0
7	-	0	0	0	0

$$[1101]$$

$$D(x) = 1 + x + x^3$$

$$x^3(1 + x + x^3) = x^3 + x^4 + x^6$$

$$\begin{array}{r} x^3 + x + 1 \quad x^6 + x^4 + x^3 \quad (x^3) \\ \underline{x^6 + x^4 + x^3} \\ 0 \end{array}$$

$$[0 \ 0 \ 0]$$

Syndrome calculation - Error detection and calculation correction

$v(x)$  is the transmitted vector.

$z(x)$  is the received vector.

$$\frac{z(x)}{g(x)} = Q(x) + \frac{S(x)}{g(x)} \quad \text{--- (1)}$$

$Q(x) \rightarrow$  quotient polynomial

$S(x) \rightarrow$  syndrome polynomial

The degree of syndrome polynomial is  $(n-k-1)$   
(order)

If there is any error in received vector,  
then it can be corrected by

11/10

$$Z(x) = V(x) + E(x) \quad \text{--- (2)}$$

$$V(x) = Z(x) - E(x) \quad \text{--- (3)}$$

$$V(x) = g(x)D(x) \quad \text{--- (4)}$$

$$Z(x) = D(x)g(x) + E(x) \quad \text{--- (5)}$$

$$\frac{Z(x)}{g(x)} = D(x) + \frac{E(x)}{g(x)} \quad \text{--- (6)}$$

Substituting eq (6) in eq (1)

$$D(x) + \frac{E(x)}{g(x)} = Q(x) + \frac{S(x)}{g(x)}$$

$$\frac{E(x)}{g(x)} = Q(x) + D(x) + \frac{S(x)}{g(x)}$$

$$E(x) = g(x)[Q(x) + D(x)] + S(x) \quad \text{--- (7)}$$

Problems

- ① The expurgated  $(n, k-1)$  Hamming code is obtained from the original  $(n, k)$  Hamming code by discarding some of the code-vectors. Let  $g(x)$  denote the generator polynomial of the original Hamming code. The most common expurgated Hamming code is the one generated by  $g_1(x) = (1+x)g(x)$  where  $(1+x)$  is a factor of  $1+x^n$ .
- Consider the  $(7, 4)$  Hamming code generated by
- $$g(x) = 1 + x^2 + x^3$$
- Construct the 8 code vectors in the expurgated  $(7, 3)$  Hamming code, assuming a systematic format. Hence, show that the minimum distance of the code is 4.
  - Determine the generator matrix  $G$ , and the parity-check matrix  $H$ , of the expurgated Hamming code.
  - Devise the encoder for the expurgated Hamming code and list the shift register contents in a tabular fashion for the message 011. Verify the code-vector so obtained using  $[V] = [D][G]$ .
  - Devise the syndrome calculator for the expurgated Hamming code. Hence, determine the syndrome for the received vector 011110. Also correct the error, if any, in that received vector.

Sol<sup>n</sup>:- In an expurgated Hamming code, the number of message bits is reduced by 1 bit but the no. of check bits is increased by 1 bit so that the total bit length remains at  $n$ . Such a Hamming code is called as  $(n, k-1)$  expurgated Hamming code.

$$\begin{aligned}
 g_1(x) &= (1+x)g(x) \\
 &= (1+x)(1+x^2+x^3) \\
 &= 1+x^2+x^3+x+x^3+x^4
 \end{aligned}$$

$$g_1(x) = 1 + x + x^2 + x^4$$

For  $g_1(x) = 1 + x + x^2 + x^4$  code  $\rightarrow 1110100$

For  $xg_1(x) = x + x^2 + x^3 + x^5$  code  $\rightarrow 0111010$

For  $x^2g_1(x) = x^2 + x^3 + x^4 + x^6$  code  $\rightarrow 0011101$

$$[G_1] = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 1 & 0 & 1 \end{bmatrix}$$

$$r_3 \rightarrow r_1 + r_3$$

$$[G_1] = \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

$$[G_1] = [P \ I_3]$$

$$H_1 = [I_{n-(k-1)} \ | \ P^T]$$

$$= [I_4 \ | \ P^T]$$

$$H_1 = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix}$$

$$[V] = [D][G]$$

$$= [d_0 \ d_1 \ d_2] \begin{bmatrix} 1 & 1 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

$$= [d_0 \oplus d_2, \ d_0 \oplus d_1 \oplus d_2, \ d_0 \oplus d_1, \ d_1 \oplus d_2, \ d_0, \ d_2, \ d_2]$$

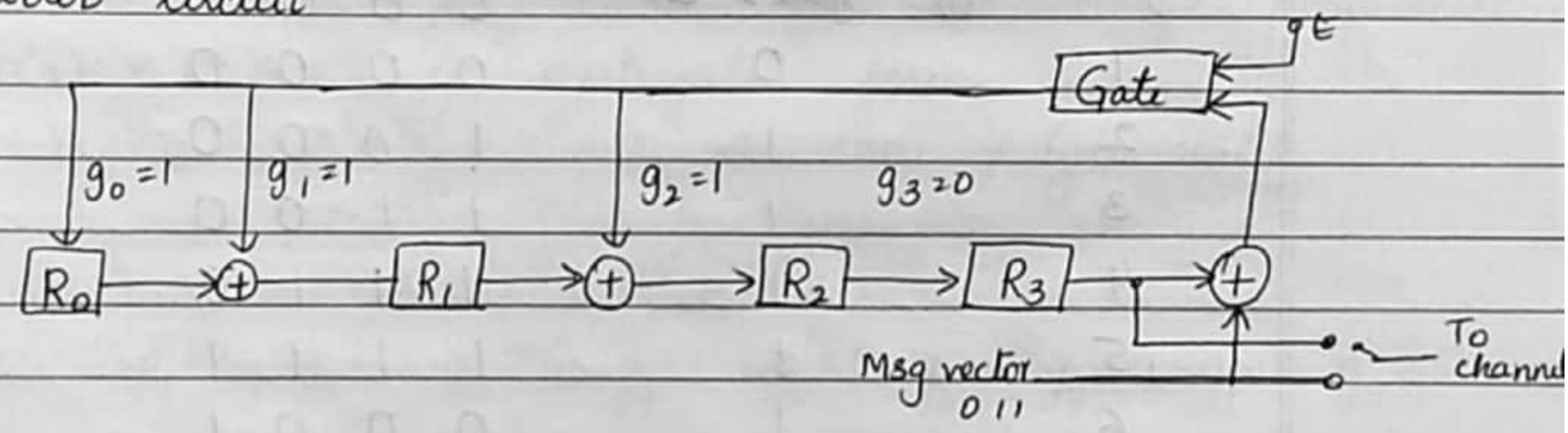


Msg vector $d_0 d_1 d_2$	Code vector $c_1 c_2 c_3 c_4 c_5 c_6 c_7$	Hamming wt HW
0 0 0	0 0 0 0 0 0 0	0
0 0 1	1 1 0 1 0 0 1	4
0 1 0	0 1 1 1 0 1 0	4
0 1 1	1 0 1 0 0 0 1	4
1 0 0	1 1 1 0 1 0 0	4
1 0 1	0 0 1 1 1 0 1	4
1 1 0	1 0 0 1 1 1 0	4
1 1 1	0 1 0 0 1 1 1	4

$d_{min} = 4$

(e)  $g_1(x) = 1 + x + x^2 + x^4$   
 $g_0 = 1 \quad g_1 = 1 \quad g_2 = 1 \quad g_3 = 0 \quad g_4 = 1$

Encoder circuit



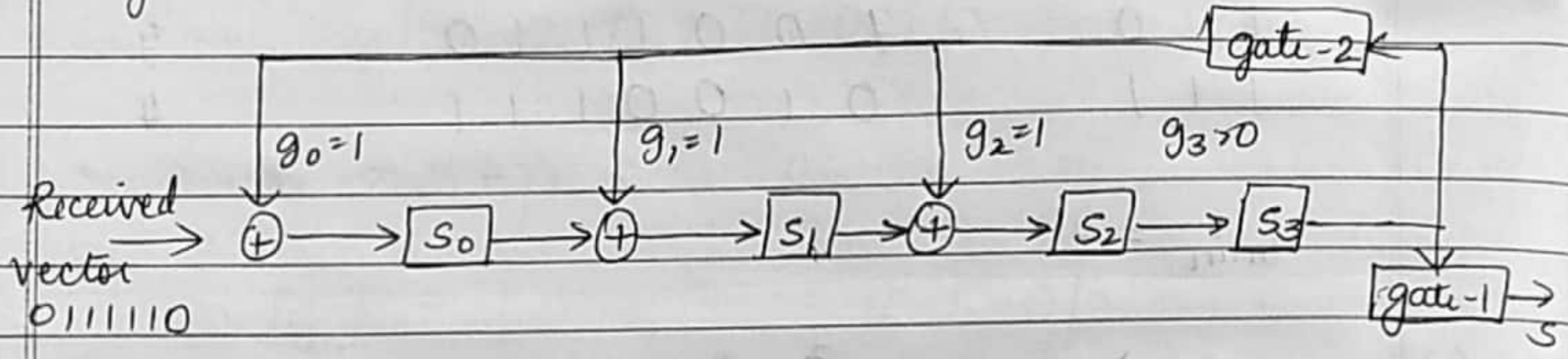
For a message of 011, the shift register contents are

No. of shifts	Input D	Shift register content				Remainder bits R
		$R_0$	$R_1$	$R_2$	$R_3$	
		0	0	0	0	-
1	1	1	1	1	0	-
2	1	1	0	0	1	-
3	0	1	0	1	0	-
4	x	0	1	0	1	0
5	x	0	0	1	0	1
6	x	0	0	0	1	0
7	x	0	0	0	0	1

∴ The code vector going into the channel is 1010011 which verifies that it is a valid code vector.

d)  $g(x) = 1 + x + x^2 + x^4$   
 $g_0 = 1 \quad g_1 = 1 \quad g_2 = 1 \quad g_3 = 0$

Syndrome calculator circuit



No of shifts	Input $Z(x)$	Shift register content				Comments
		$S_0$	$S_1$	$S_2$	$S_3$	
gate -1 off	gate 2-on	0	0	0	0	Shift register contents are cleared
1	0	0	0	0	0	
2	1	1	0	0	0	
3	1	1	1	0	0	
4	1	1	1	1	0	
5	1	1	1	1	1	
6	1	0	0	0	1	
7	0	1	1	1	0	← Indicates error
8	0	0	1	1	1	
9	0	1	1	0	1	
10	0	1	0	0	0	← End of shifting operation

The syndrome of received vector is 1110. To correct the error 0's are fed into the register till the contents of the shift register read 1000, the first row of  $H^T$  from 10<sup>th</sup> shift we get 1000. The error is located and

corrected as given below.

The received vector  $z \rightarrow 0111110$   
 $\uparrow \uparrow \uparrow$   
 $10^{\text{th}} \ 9^{\text{th}} \ 8^{\text{th}}$  shift.

Since we got 1000 in the  $10^{\text{th}}$  shift, the  $3^{\text{rd}}$  bit from right is in error.

$$E = 0000100$$

$$V = Z + E = 0111110$$

$$0000100$$

$$\underline{0111010} \Rightarrow \text{Corrected vector}$$

② A (15,5) linear cyclic code has a generator polynomial  $g(x) = 1 + x + x^2 + x^4 + x^5 + x^8 + x^{10}$

a) Draw the block diagram of an encoder and syndrome calculator for this code.

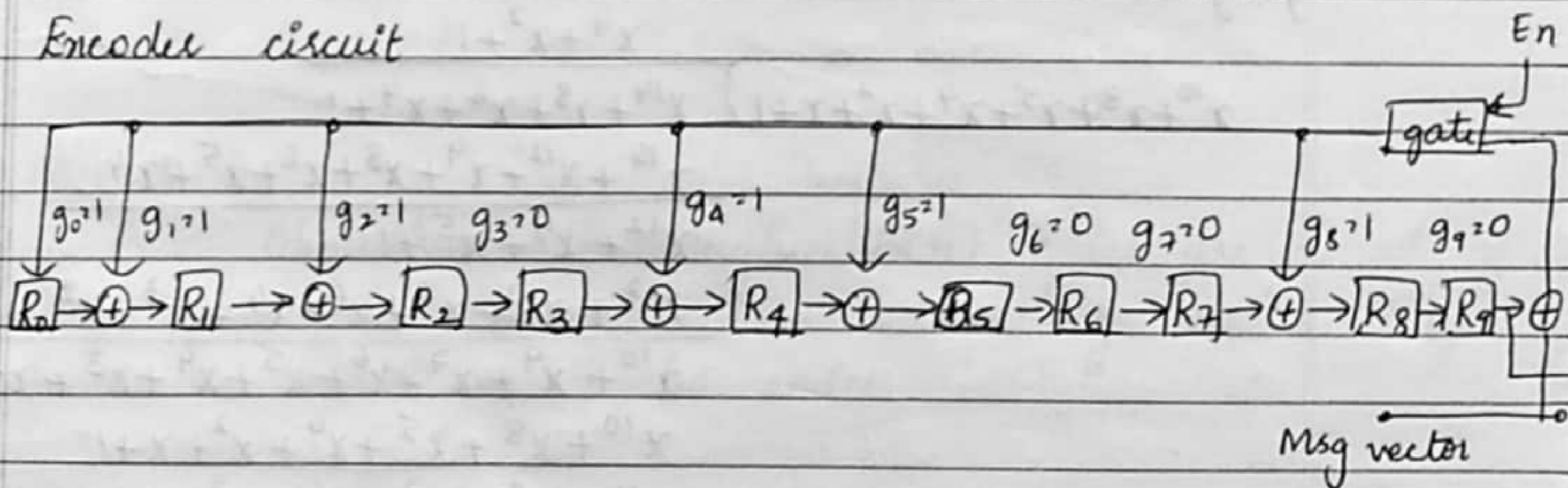
b) Find the code polynomial for the message polynomial  $D(x) = 1 + x^2 + x^4$  in systematic form.

c) Is  $V(x) = 1 + x^4 + x^6 + x^8 + x^{14}$  a code polynomial?

Sol<sup>n</sup> a)  $g(x) = 1 + x + x^2 + x^4 + x^5 + x^8 + x^{10}$

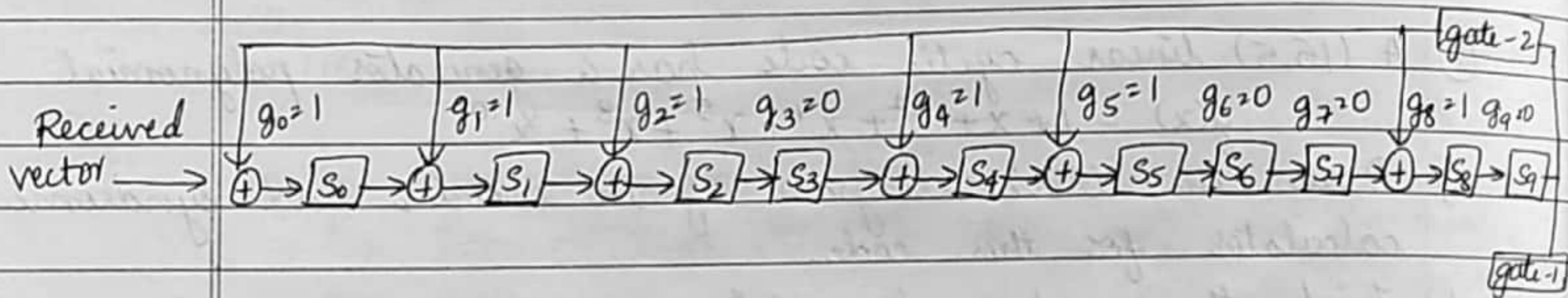
$$g_0=1 \quad g_1=1 \quad g_2=1 \quad g_3=0 \quad g_4=1 \quad g_5=1 \quad g_6=0 \quad g_7=0 \quad g_8=1 \quad g_9=0$$

Encoder circuit



No. of shifts	Input D	Shift register contents										R
		R <sub>0</sub>	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	R <sub>4</sub>	R <sub>5</sub>	R <sub>6</sub>	R <sub>7</sub>	R <sub>8</sub>	R <sub>9</sub>	
		0	0	0	0	0	0	0	0	0	0	
1	1	1	1	1	0	1	1	0	0	1	0	-
2	0	0	1	1	1	0	1	1	0	0	1	-
3	1	0	0	1	1	1	0	1	1	0	0	-
4	0	0	0	0	1	1	1	0	1	1	0	-
5	1	1	1	1	0	0	0	1	0	0	1	-

Syndrome calculator circuit



c) If  $V(x)$  is a code-polynomial, then it should be perfectly divisible by the generator polynomial with remainder 0. If the remainder is not 0, we can conclude that the given polynomial is not a code-polynomial.

$$\begin{array}{r}
 x^4 + x^2 + 1 \\
 x^{10} + x^8 + x^5 + x^4 + x^2 + x + 1 \Big) x^{14} + x^8 + x^6 + x^4 + 1 \\
 \underline{x^{14} + x^{12} + x^9 + x^8 + x^6 + x^5 + x^4} \\
 x^{12} + x^9 + x^5 + 1 \\
 \underline{x^{12} + x^{10} + x^7 + x^6 + x^4 + x^3 + x^2} \\
 x^{10} + x^9 + x^7 + x^6 + x^5 + x^4 + x^3 + x^2 \\
 \underline{x^{10} + x^8 + x^5 + x^4 + x^2 + x + 1} \\
 x^9 + x^8 + x^7 + x^6 + x^3 + x + 1 \Rightarrow R \neq 0
 \end{array}$$

Hence given polynomial is not a code polynomial.

### Golay Codes

Binary Golay codes are one of the very important classes of linear block codes, since they are one among the few sets of non-trivial perfect codes. In 1949, Golay discovered a possibility of the combination (23,12) for the construction for a perfect code. The (23,12) Golay codes are capable of correcting 3 errors. Any code which satisfies the following Hamming Bound is called a perfect code:

$$2^{n-k} = \sum_{i=0}^t nC_i$$

$n=23$   $k=12$  The above equation is satisfied. Thus Golay codes are class of perfect codes.

The following are the parameters for a Golay codes.

Number of code bits  $n=23$

Number of data bits  $k=12$

Number of parity bits  $(n-k)=11$

Number of errors correcting capability  $t=3$ .

Generator polynomial  $g(x) = 1 + x^2 + x^4 + x^5 + x^6 + x^{10} + x^{11}$   
 or  $g(x) = 1 + x + x^5 + x^6 + x^7 + x^9 + x^{11}$

Hence  $d_{\min} = 7$

### BCH Codes

Bose-Chaudhuri-Hocquenghem (BCH) codes are a set of very powerful random error correcting codes. They form a subset of cyclic codes.

For any +ve integer  $m \geq 3$ , there exists a BCH code with  
 Block length,  $n = 2^m - 1$   
 Parity bits  $(n-k) \leq mt$

Date \_\_\_\_\_  
Page \_\_\_\_\_

Minimum distance  $d_{\min} \geq 2t+1$

The code obtained by the above said parameters will lead us to a  $t$  error correcting BCH codes. As BCH codes form a subclass of cyclic codes, they can be characterised by its generator polynomial. The generator polynomial  $g(x)$  for a BCH code can be defined as the minimal monic polynomial over  $GF(2)$  which has  $\alpha, \alpha^2, \alpha^3, \dots, \alpha^{2t}$  as roots, where  $\alpha$  is a primitive element in  $GF(2^m)$ , that is,

$$g(\alpha^i) = 0 \quad 1 \leq i \leq 2t$$

$g(x)$  has  $\alpha, \alpha^2, \alpha^3, \dots, \alpha^{2t}$  and their conjugates as roots. Let  $\phi_i(x)$  denote the minimal polynomial  $\alpha^i$ . Then

$$g(x) = \text{LCM}[\phi_1(x), \phi_2(x), \dots, \phi_{2t}(x)]$$

If 'i' is an even number, it can be expressed as

$$i = i_1 2^l$$

where  $i_1$  is an odd number and  $l$  is an integer,  $l \geq 1$ . Then  $\alpha^i = \alpha^{i_1 2^l} = (\alpha^{i_1})^{2^l}$  fall under the conjugacy class of  $\alpha^{i_1}$ .

$$\therefore g(x) = \text{LCM}[\phi_1(x), \phi_3(x), \dots, \phi_{2t-1}(x)]$$

As the degree of minimal polynomial is  $m$  or less, the generator polynomial degree can be at most equal to  $mt$ .  $\therefore$  The parity bits  $(n-k)$  can be at most equal to  $mt$ .

Rate  $R = \frac{k}{n}$  Constraint Length =  $n(m+1)$

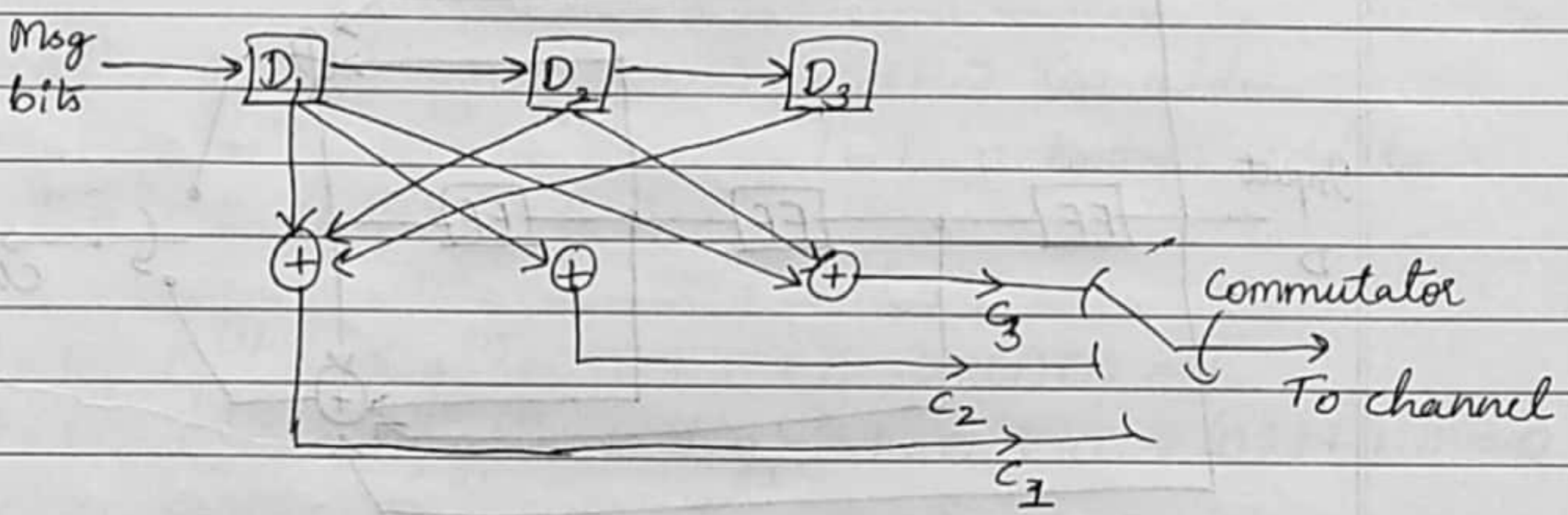
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Convolutional Codes

Module-5 - Part B

It is well suited for error correction.

Encoder for  $(n, k, m) = (3, 1, 3)$  convolutional code is shown in figure below.



- $n \rightarrow$  Number of outputs  $\Rightarrow$  No. of modulo 2 adders
- $k \rightarrow$  No. of input bits entered at any time
- $m \rightarrow$  No. of FF's in shift registers

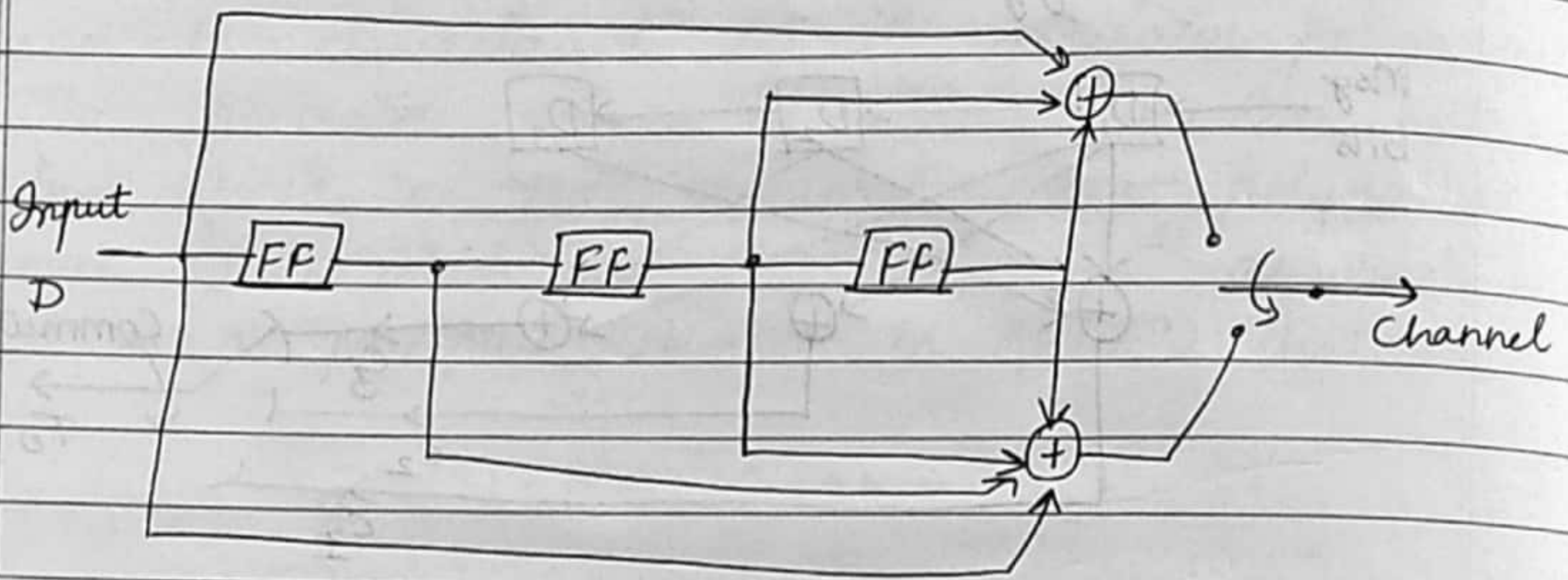
Let us take the message as 10110 and analyze the circuit

0	$T_b$	$2T_b$	$3T_b$	$4T_b$	$5T_b$	$6T_b$	$7T_b$
1	0	1	1	0	0	0	
$\boxed{100}$	$\boxed{010}$	$\boxed{101}$	$\boxed{110}$	$\boxed{011}$	$\boxed{011}$	$\boxed{000}$	
111	101	011	010	001	100	000	

$\leftarrow$  o/p

## Time Domain Approach

Let us consider  $(n, k, m) \rightarrow (2, 1, 3)$  convolutional encoder as shown in figure below



$\Rightarrow$  defined by  $n \rightarrow$  impulse response

Rate efficiency is defined by  $k/n$

Length of code =  $(L+m)$

$L \rightarrow$  no. of bits in the message

$\left. \begin{matrix} C^{(1)} \\ C^{(2)} \end{matrix} \right\}$  impulse responses  
coded of the address'

$$C^{(1)} = [d] * g^{(1)}$$

$$C^{(2)} = [d] * g^{(2)}$$

$$C_b^{(i)} = \sum_{j=0}^m d_{l-i} g_{i+1}^{(j)}$$

Let message signal be  $d_1 d_2 d_3 d_4 d_5 = 10111$



for  $j=1$

$$C_l^{(1)} = \sum_{i=0}^3 d_{l-i} g_{i+1}^{(1)} \quad \text{where } d_{l-i} = 0 \text{ for } \forall l \leq i$$

$$C_l^{(1)} = d_l g_1^{(1)} + d_{l-1} g_2^{(1)} + d_{l-2} g_3^{(1)} + d_{l-3} g_4^{(1)}$$

$$g^{(1)} = g_1^{(1)} g_2^{(1)} g_3^{(1)} g_4^{(1)} \Rightarrow 1011 \rightarrow \text{Top address}$$

$$1111 \rightarrow \text{Bottom address}$$

$$l \rightarrow 1 \text{ to } (L+M) = 1 \text{ to } 8$$

$$l=1, C_1^{(1)} = d_1 g_1^{(1)} + 0 + 0 + 0 \Rightarrow (1)(1) = 1$$

$$l=2, C_2^{(1)} = d_2 g_1^{(1)} + d_1 g_2^{(1)} + 0 + 0 \Rightarrow (0.1) + 1.0 = 0$$

$$l=3, C_3^{(1)} = d_3 g_1^{(1)} + d_2 g_2^{(1)} + d_1 g_3^{(1)} + 0 \Rightarrow 1.1 + 0.0 + 1.1 = 0$$

$$l=4, C_4^{(1)} = d_4 g_1^{(1)} + d_3 g_2^{(1)} + d_2 g_3^{(1)} + d_1 g_4^{(1)} \\ = 1.1 + 1.0 + 0.1 + 1.1 = 1 + 0 + 0 + 1 = 0$$

$$l=5, C_5^{(1)} = d_5 g_1^{(1)} + d_4 g_2^{(1)} + d_3 g_3^{(1)} + d_2 g_4^{(1)} \\ = (1.0) + (1.0) + (0.1) + (0.1) \\ = 1 + 0 + 1 + 0 = 0$$

$$l=6, C_6^{(1)} = d_6 g_1^{(1)} + d_5 g_2^{(1)} + d_4 g_3^{(1)} + d_3 g_4^{(1)} \\ = (0.1) + (1.0) + (1.1) + (1.1) = 1 + 1 = 0$$

$$l=7, C_7^{(1)} = d_7 g_1^{(1)} + d_6 g_2^{(1)} + d_5 g_3^{(1)} + d_4 g_4^{(1)} \\ = (0.1) + (0.0) + (1.1) + (1.1) \\ = 0$$

$$l=8, C_8^{(1)} = d_8 g_1^{(1)} + d_7 g_2^{(1)} + d_6 g_3^{(1)} + d_5 g_4^{(1)} \\ = (0.1) + (0.0) + (0.1) + (1.1) \\ = 1$$

$$g^{(1)} = g_1^{(1)} g_2^{(1)} g_3^{(1)} g_4^{(1)} = 1011$$

$$g^{(2)} = g_1^{(2)} g_2^{(2)} g_3^{(2)} g_4^{(2)} = 1111$$

$$d = 10111$$

$l$  varies from 1 to  $(L+M)$   
 1 to  $(5+3) = 1$  to 8

$$l=1 \quad C_l^{(1)} = d_l g_1^{(1)} + d_{l-1} g_1^{(2)} + d_{l-2} g_1^{(3)} + d_{l-3} g_1^{(4)}$$

$$d_{l-i} = 0, \forall l \leq i \quad C_1^{(1)} = d_1 g_1^{(1)} + 0 + 0 + 0$$

$$= (1)(1) = 1$$

$$C_2^{(1)} = d_2 g_1^{(1)} + d_1 g_2^{(1)} + 0 + 0 = 0(1) + (1)(0) = 0$$

$$C_3^{(1)} = d_3 g_1^{(1)} + d_2 g_2^{(1)} + d_1 g_3^{(1)} + 0 = (1)(1) + (0)(0) + (1)(1) = 0$$

$$C_4^{(1)} = 0, \quad C_5^{(1)} = 0, \quad C_6^{(1)} = 0, \quad C_7^{(1)} = 0, \quad C_8^{(1)} = 1$$

$$C^{(1)} = 10000001$$

$$C_1^{(2)} = 1$$

$$C_2^{(2)} = 1$$

$$C_1^{(2)} = 11011101$$

$$C = [C_1^{(1)} C_1^{(2)}, C_2^{(1)} C_2^{(2)}, \dots, C_{L+M}^{(1)} C_{L+M}^{(2)}]$$

$$C = [11, 01, 00, 01, 01, 01, 00, 11]$$

Matrix Method

$$L \times n(L+m)$$

$L \rightarrow$  no. of bits in msg sequence

$$L = 5$$

$n \rightarrow$  no. of adders

$$n = 2$$

$m \rightarrow$  no. of shift registers

$$m = 3 \quad (5 \times 16)$$

$g_{m+1} = g_4 = 8 \text{ col.}$   
other 8 col = 0

top address      bottom address  
 ↑                    ↑

$$[G] = \begin{bmatrix} g_1^{(1)} & g_2^{(2)} & g_2^{(1)} & g_2^{(2)} & g_3^{(1)} & g_3^{(2)} & \dots & g_{m+1}^{(1)} & g_{m+1}^{(2)} & 00 \dots 00 \\ 0 & 0 & g_1^{(1)} & g_1^{(2)} & g_2^{(1)} & g_2^{(2)} & \dots & g_{m+1}^{(1)} & g_{m+1}^{(2)} & \dots 00 \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & \dots & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & g_1^{(1)} & g_1^{(2)} & \dots & g_{m+1}^{(1)} & g_{m+1}^{(2)} \end{bmatrix}$$

2<sup>nd</sup> row start with 0's equal to no. of address and shift the 1 row towards right by 2

$g^{(1)} = 1011$        $g^{(2)} = 1111$

$$[G] = \begin{bmatrix} 11 & 01 & 11 & 11 & 00 & 00 & 00 & 00 & 00 \\ 00 & 11 & 01 & 11 & 11 & 00 & 00 & 00 & 00 \\ 00 & 00 & 11 & 01 & 11 & 11 & 00 & 00 & 00 \\ 00 & 00 & 00 & 11 & 01 & 11 & 11 & 00 & 00 \\ 00 & 00 & 00 & 00 & 11 & 01 & 11 & 11 & 11 \end{bmatrix}$$

$C = [D][G]$   
 $= [10111]_{1 \times 5} \begin{bmatrix} 11 & 01 & 11 & 11 & 00 & 00 & 00 & 00 \\ 00 & 11 & 01 & 11 & 11 & 00 & 00 & 00 \\ 00 & 00 & 11 & 01 & 11 & 11 & 00 & 00 \\ 00 & 00 & 00 & 11 & 01 & 11 & 11 & 00 \\ 00 & 00 & 00 & 00 & 11 & 01 & 11 & 11 \end{bmatrix}_{5 \times 16}$

$= [1101000101010011]$

$= [11, 01, 00, 01, 01, 01, 00, 11]$

# Transform Domain Approach

The generator impulse response is represented as

$$g^j(x) = g_1^{(j)} + g_2^{(j)}x + g_3^{(j)}x^2 + g_4^{(j)}x^3 + \dots + g_{m+1}^{(j)}x^m \quad \text{--- (1)}$$

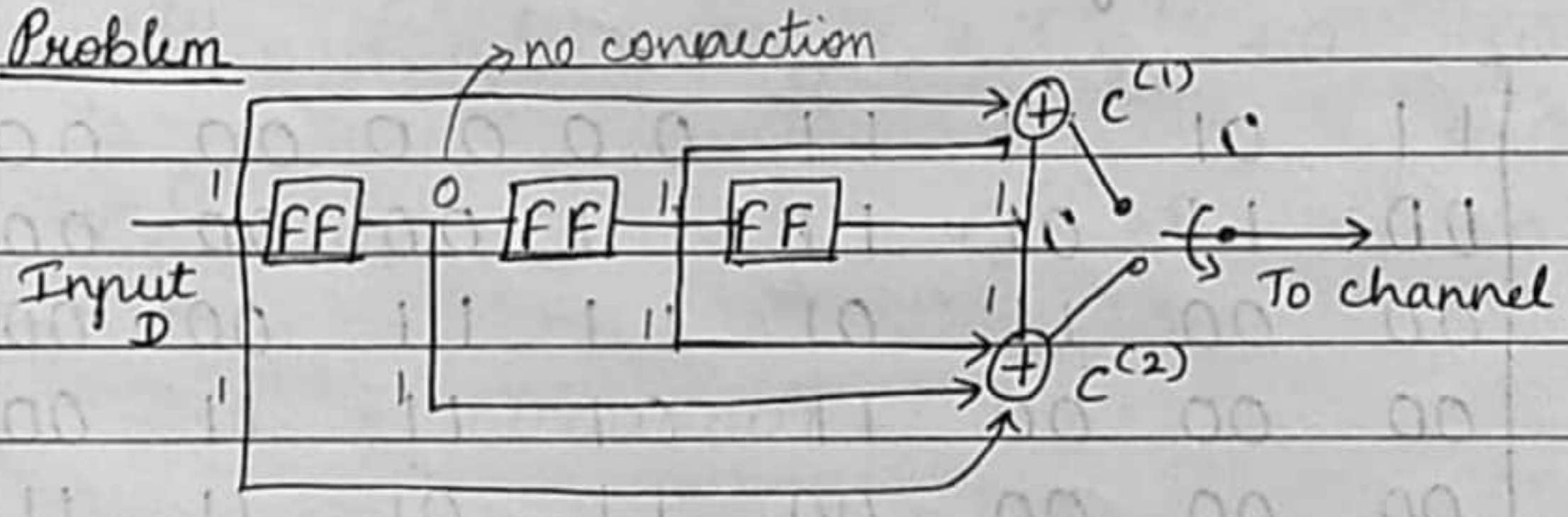
$j$  varies from 1 to  $n$   
 $n \rightarrow$  no. of address

$$C^{(j)}x = d(x)g^{(j)}(x) \quad \text{--- (2)} \quad j \text{ varies from 1 to } n$$

$$C(x) = C^{(1)}(x^n) + xC^{(2)}(x^n) + x^2C^{(3)}(x^n) + \dots + x^{n-1}C^{(n)}(x^n)$$

## Problem

(Q)



$$g^{(1)} = 1011 \quad g^{(2)} = 1111$$

$$g^{(1)}(x) = 1 + 0 + x^2 + x^3 = 1 + x^2 + x^3$$

$$g^{(2)}(x) = 1 + x + x^2 + x^3$$

$$d = 10111 \quad d(x) = 1 + x^2 + x^3 + x^4$$

$$\begin{aligned} C^{(1)}(x) &= d(x)g^{(1)}(x) = (1 + x^2 + x^3 + x^4)(1 + x^2 + x^3) \\ &= 1 + x^2 + x^3 + x^2 + x^4 + x^5 + x^3 + x^5 + x^6 + x^4 + x^6 + x^7 \\ &= 1 + x^7 \end{aligned}$$

$$C^{(2)}(x) = d(x)g^{(2)}(x) = (1+x^2+x^3+x^4)(1+x^2+x+x^3)$$

$$= 1+x^2+x+x^3+x^2+x^4+x^3+x^5+x^3+x^5+x^4+x^6+x^4+x^6+x^5+x^7$$

$$= 1+x+x^3+x^4+x^5+x^7$$

$$C(x) = C^{(1)}(x^2) + xC^{(2)}(x^2)$$

$$= (1+x^{14})x^2 + x^3(1+x+x^3+x^4+x^5+x^7)$$

$$= x^2+x^9+x^3+x^4+x^6+x^7+x^8+x^{10}$$

$$C(x) = x^2+x^3+x^4+x^6+x^7+x^8+x^9+x^{10}$$

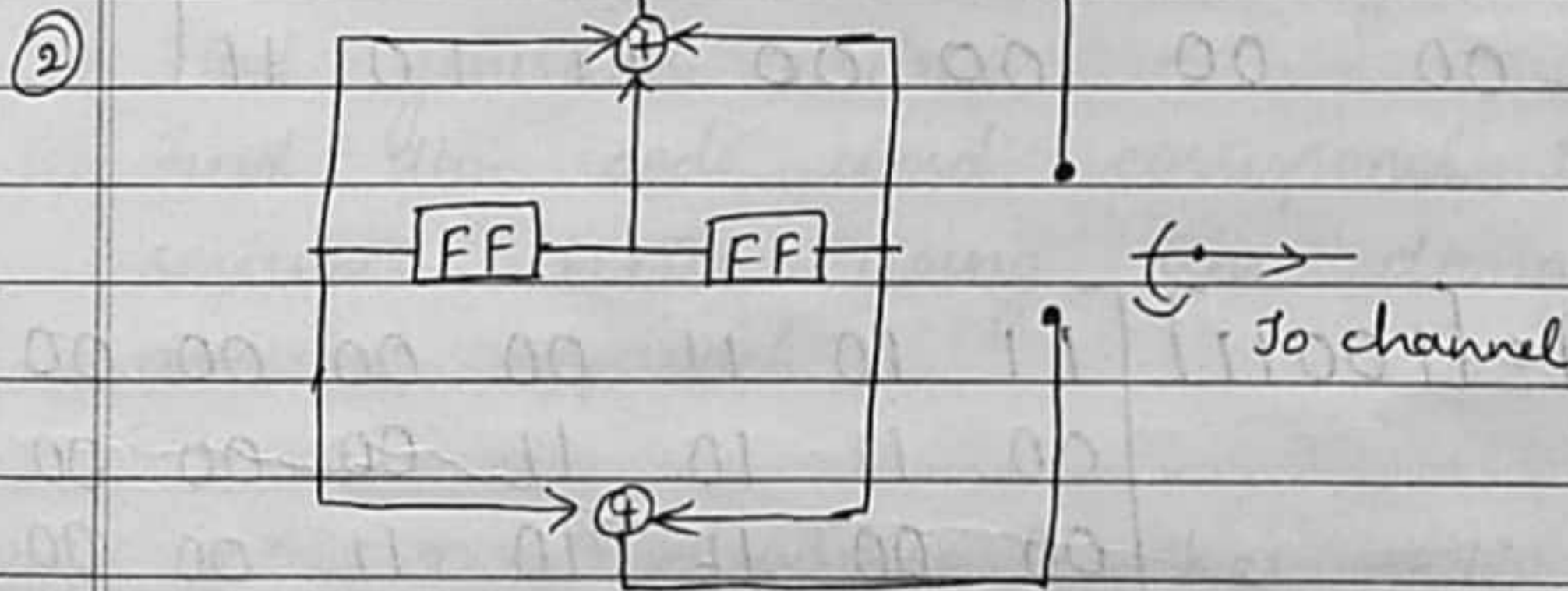
$$C(x) = C^{(1)}(x^2) + xC^{(2)}(x^2)$$

$$C^{(1)}(x^2) = -1+x^{14} \quad C^{(2)}(x^2) = 1+x^2+x^6+x^8+x^{10}+x^{14}$$

$$C(x) = 1+x^{14} + x+x^3+x^7+x^9+x^{11}+x^{15}$$

$$C(x) = 1+x+x^3+x^7+x^9+x^{11}+x^{14}+x^{15}$$

$$[C] = [11, 01, 00, 01, 01, 01, 00, 11]$$



The information sequence is 10011. Find the output sequence using

- a) time domain approach      b) transform domain approach

Sol. a)  $g^{(1)}(x) = 111 = g^{(1)}$   
 $g^{(2)}(x) = 101 = g^{(2)}$

$d = 10011$

$L \times n(m+L)$

$L = 5$

$n = 2$

$m = 2$

$5 \times 14$

$$[G] = \begin{bmatrix} g_1^{(1)} & g_1^{(2)} & g_2^{(1)} & g_2^{(2)} & \dots & g_{m+1}^{(1)} & g_{m+1}^{(2)} & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & g_1^{(1)} & g_1^{(2)} & & & & & & & & 0 & 0 \\ 0 & 0 & 0 & 0 & g_1^{(1)} & g_1^{(2)} & & & & & & & \\ 0 & 0 & 0 & 0 & 0 & 0 & & & & & & & \\ 0 & 0 & 0 & 0 & 0 & 0 & g_1^{(1)} & g_1^{(2)} & \dots & \dots & g_{m+1}^{(1)} & g_{m+1}^{(2)} & \dots & g_{m+1}^{(2)} \end{bmatrix}$$

$$[G] = \begin{bmatrix} 11 & 10 & 11 & 00 & 00 & 00 & 00 \\ 00 & 11 & 10 & 11 & 00 & 00 & 00 \\ 00 & 00 & 11 & 10 & 11 & 00 & 00 \\ 00 & 00 & 00 & 11 & 10 & 11 & 00 \\ 00 & 00 & 00 & 00 & 11 & 10 & 11 \end{bmatrix}$$

$$c = [d][G] = [10011] \begin{bmatrix} 11 & 10 & 11 & 00 & 00 & 00 & 00 \\ 00 & 11 & 10 & 11 & 00 & 00 & 00 \\ 00 & 00 & 11 & 10 & 11 & 00 & 00 \\ 00 & 00 & 00 & 11 & 10 & 11 & 00 \\ 00 & 00 & 00 & 00 & 11 & 10 & 11 \end{bmatrix}$$

$= [11, 10, 11, 11, 01, 01, 11]$

b)  $g^{(1)} = 111$        $g^{(2)} = 101$   
 $g^{(1)}(x) = 1+x+x^2$        $g^{(2)}(x) = 1+x^2$        $c^{(j)}(x) = d(x)g^{(j)}(x)$   
 $d = 10011$        $d(x) = 1+x^3+x^4$

$$c^{(1)}(x) = d(x)g^{(1)}(x) = (1+x^3+x^4)(1+x+x^2)$$

$$= 1+x^3+x^4+x+x^4+x^5+x^2+x^5+x^6$$

$$= 1+x+x^2+x^3+x^6$$

$$c^{(2)}(x) = d(x)g^{(2)}(x) = (1+x^3+x^4)(1+x^2) = 1+x^5+x^6+x^2+x^3+x^4$$

$$= 1+x^2+x^3+x^4+x^5+x^6$$

$$c(x) = c^{(1)}(x^2) + x c^{(2)}(x^2)$$

$$= 1+x^2+x^4+x^6+x^{12} + x[1+x^4+x^5+x^6+x^{10}+x^{12}]$$

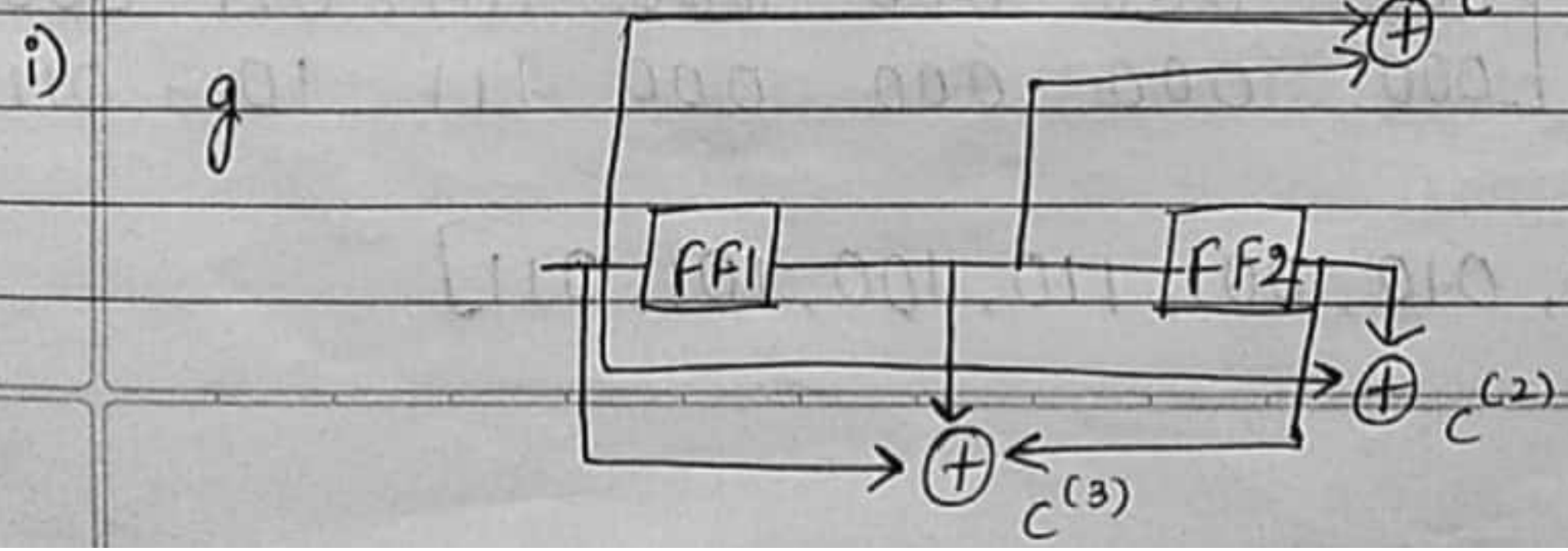
$$= 1+x^2+x^4+x^6+x^{12} + x+x^5+x^7+x^9+x^{11}+x^{13}$$

$$= 1+x+x^2+x^4+x^6+x^7+x^9+x^5+x^{11}+x^{12}+x^{13} = 1+x+x^2+x^4+x^5+x^6+x^7+x^9+x^{11}+x^{12}+x^{13}$$

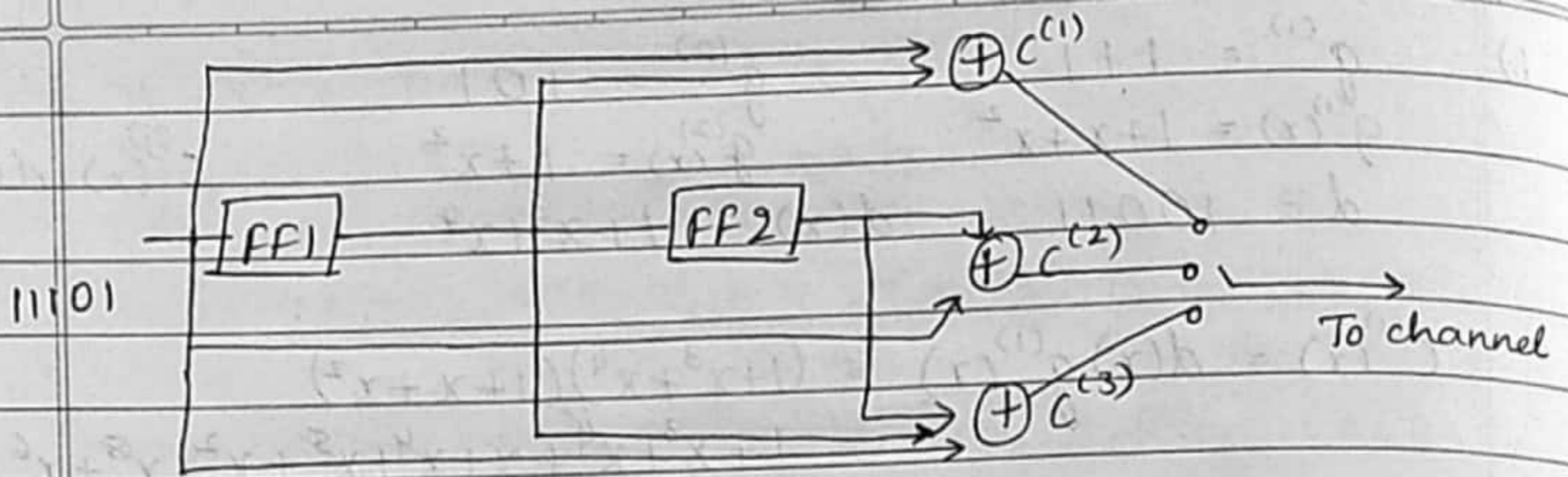
$$C = [11, 10, 11, 11, 01, 01, 11]$$

- ③ Consider (3,2) convolutional code with  $g^{(1)} = 110$   
 $g^{(2)} = 101$        $g^{(3)} = 111$
- Draw the encoder block diagram
  - Find generator matrix.
  - Find the code word correspond to the information sequence 11101 using time domain and transfer domain approach.

Sol  $g$        $n = 3 \Rightarrow$  address       $k = 1 \Rightarrow$  No. of bits at a time  
 $m = 2 \Rightarrow$  No. of FF



OR



ii)  $g^{(1)} = 110$      $g^{(2)} = 101$      $g^{(3)} = 111$

$L \times n(L+M)$   
 $L = 5 \Rightarrow$  Length of msg sequence  
 $5 \times 3(5+2) \Rightarrow 5 \times 21$

$[G] =$

1	1	1	0	1	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	1	1	1	0	1	0	1	1	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	1	1	1	1	0	1	0	1	0	1	1	0	0	0
0	0	0	0	0	0	0	0	0	1	1	1	1	0	1	0	1	0	1	0
0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1	0	1	0	1

iii) Time domain approach

$$C = [D][G]$$

$$= [11101] \begin{bmatrix} 111 & 101 & 011 & 000 & 000 & 000 & 000 \\ 000 & 111 & 101 & 011 & 000 & 000 & 000 \\ 000 & 000 & 111 & 101 & 011 & 000 & 000 \\ 000 & 000 & 000 & 000 & 101 & 011 & 000 \\ 000 & 000 & 000 & 000 & 111 & 101 & 011 \end{bmatrix}$$

$$= [111, 010, 001, 110, 100, 101, 011]$$



# Transfer domain approach

$$g^{(1)} = 110 \quad g^{(2)} = 101 \quad g^{(3)} = 111$$
$$g^{(1)}(x) = 1+x \quad g^{(2)}(x) = 1+x^2 \quad g^{(3)}(x) = 1+x+x^2$$

$$d = 11101 \quad d(x) = 1+x+x^2+x^4$$

$$c^{(1)}(x) = d(x)g^{(1)}(x) = (1+x+x^2+x^4)(1+x)$$
$$= 1+x+x+x^2+x^2+x^3+x^4+x^5$$
$$= 1+x^3+x^4+x^5$$

$$c^{(2)}(x) = d(x)g^{(2)}(x) = (1+x+x^2+x^4)(1+x^2)$$
$$= 1+x^2+x+x^3+x^2+x^4+x^4+x^6$$
$$= 1+x+x^3+x^6$$

$$c^{(3)}(x) = d(x)g^{(3)}(x) = (1+x+x^2+x^4)(1+x+x^2)$$
$$= 1+x+x^2+x+x^2+x^3+x^2+x^3+x^4+x^4+x^5+x^6$$
$$= 1+x^2+x^5+x^6$$

$$C(x) = c^{(1)}(x^3) + x c^{(2)}(x^3) + x^2 c^{(3)}(x^3)$$

$$c^{(1)}(x^3) = 1+x^9+x^{12}+x^{15}$$

$$c^{(2)}(x^3) = 1+x^3+x^9+x^{18}$$

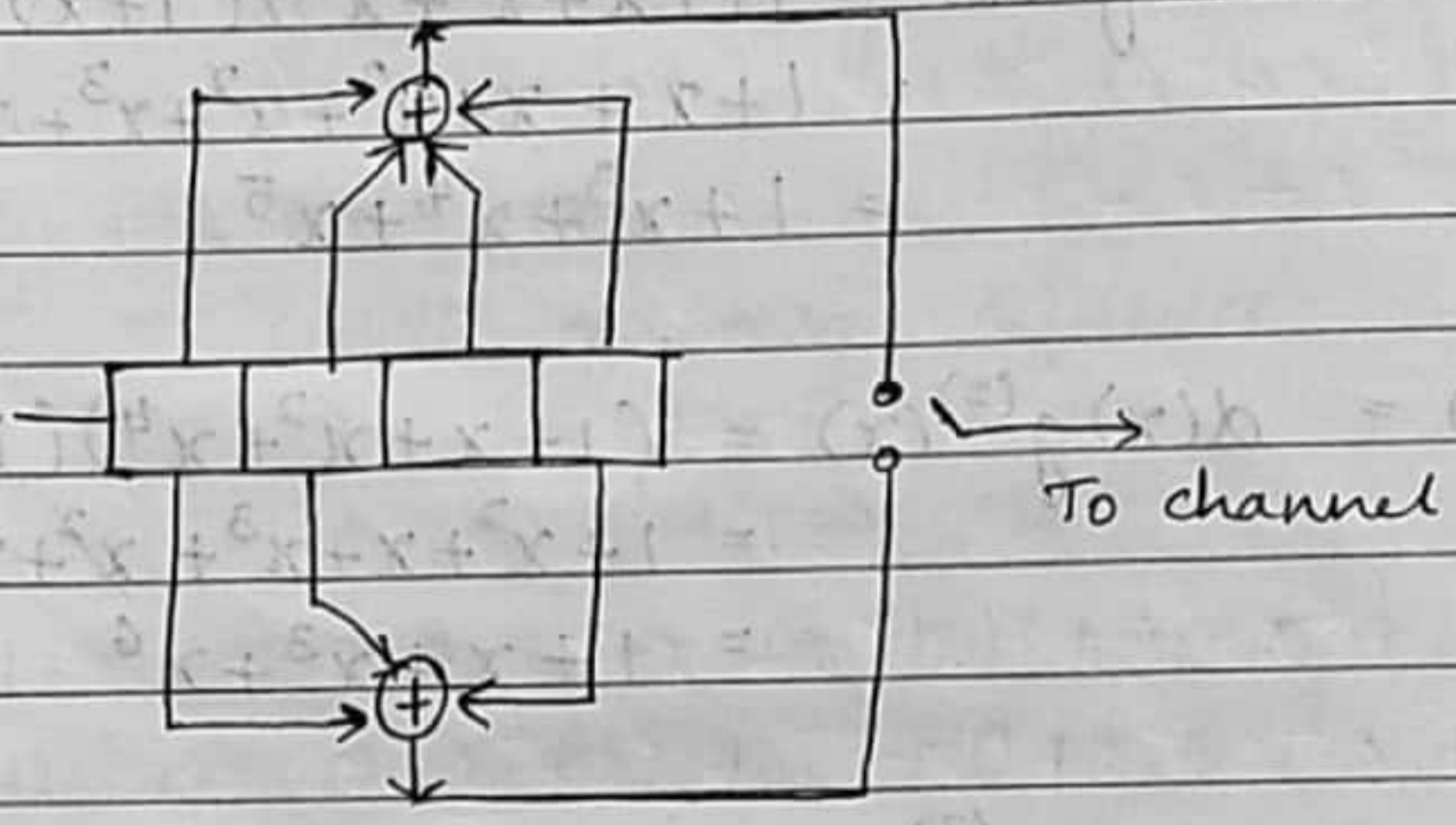
$$c^{(3)}(x^3) = 1+x^6+x^{15}+x^{18}$$

$$C(x) = 1+x^9+x^{12}+x^{15}+x+x^4+x^{10}+x^{19}+x^2+x^8+x^{17}+x^{20}$$
$$= 1+x+x^2+x^4+x^8+x^9+x^{10}+x^{12}+x^{15}+x^{17}+x^{19}+x^{20}$$

$$C = [1111, 010, 001, 110, 100, 101, 011]$$

④ For the convolutional encoder shown in figure below

- i) Find the impulse response and hence calculate the output produced by info. sequence 10111.
- ii) Write the generator polynomials of the encoder and recompute the output for input of 10111 and compare it with that of (i).



Sol<sup>n</sup>: When impulse response is mentioned then use time domain 1<sup>st</sup> approach.

For ii) part → use transfer domain approach → polynomials

i)  $g^{(1)} = 011110$        $g^{(2)} = 011010$        $d = 10111$   
 $L = 5$

$$C_l^{(j)} = \sum_{i=1}^m [d]_{l-i} g_{i+1}^{(j)}$$

$M = 4$   
 $l \rightarrow 1 \text{ to } (L+M) = 1 \text{ to } 9$

$j=1$

$$C_1^{(1)} = d_1 g_1^{(1)} * d_2 + 0 + 0 = 0$$

$$C_2^{(1)} = d_2 g_1^{(1)} + d_1 g_2^{(1)} = 0(0) + 1(1) = 1$$

$$C_3^{(1)} = d_3 g_1^{(1)} + d_2 g_2^{(1)} + d_1 g_3^{(1)} = 0(0) + 0(1) + 1(1) = 01$$

$$C_4^{(1)} = d_4 g_1^{(1)} + d_3 g_2^{(1)} + d_2 g_3^{(1)} + d_1 g_4^{(1)} = 1(0) + 1(1) + 0(0) + 1(0) = 110$$

$= 0$

$$C_5^{(1)} = d_5 g_1^{(1)} + d_4 g_2^{(1)} + d_3 g_3^{(1)} + d_2 g_4^{(1)} + d_1 g_5^{(1)}$$

$$= 1(0) + 1(1) + 1(1) + 0(1) + 1(0) = 1$$

$$C_6^{(1)} = d_6 g_1^{(1)} + d_5 g_2^{(1)} + d_4 g_3^{(1)} + d_3 g_4^{(1)} + d_2 g_5^{(1)}$$

$$= 0(0) + 1(1) + 1(1) + 1(1) + 0(1) = 1$$

$$C_7^{(1)} = d_7 g_1^{(1)} + d_6 g_2^{(1)} + d_5 g_3^{(1)} + d_4 g_4^{(1)} + d_3 g_5^{(1)}$$

$$= 0(0) + 0(1) + 1(1) + 1(1) + 0(1) = 1$$

$$C_8^{(1)} = d_8 g_1^{(1)} + d_7 g_2^{(1)} + d_6 g_3^{(1)} + d_5 g_4^{(1)} + d_4 g_5^{(1)}$$

$$= 0(0) + 0(1) + 0(1) + 1(1) + 1(1) = 0$$

$$C_9^{(1)} = d_8 g_1^{(1)} + d_8 g_2^{(1)} + d_7 g_3^{(1)} + d_6 g_4^{(1)} + d_5 g_5^{(1)} = 1$$

$j=2$

$$C_1^{(2)} = d_1 g_1^{(2)} = 1(0) = 0$$

$$C_2^{(2)} = d_2 g_1^{(2)} + d_1 g_2^{(2)} = 0(0) + 1(1) = 1$$

$$C_3^{(2)} = d_3 g_1^{(2)} + d_2 g_2^{(2)} + d_1 g_3^{(2)} = 1(0) + 0(1) + 1(1) = 1$$

$$C_4^{(2)} = d_4 g_1^{(2)} + d_3 g_2^{(2)} + d_2 g_3^{(2)} + d_1 g_4^{(2)}$$

$$= 1(0) + 1(1) + 0(1) + 1(0) = 1$$

$$C_5^{(2)} = d_5 g_1^{(2)} + d_4 g_2^{(2)} + d_3 g_3^{(2)} + d_2 g_4^{(2)} + d_1 g_5^{(2)}$$

$$= 1(0) + 1(1) + 1(1) + 0(0) + 1(1) = 1$$

$$C_6^{(2)} = d_6 g_1^{(2)} + d_5 g_2^{(2)} + d_4 g_3^{(2)} + d_3 g_4^{(2)} + d_2 g_5^{(2)}$$

$$= 0(0) + 1(1) + 1(1) + 1(0) + 0(1) = 0$$

$$C_7^{(2)} = d_7 g_1^{(2)} + d_6 g_2^{(2)} + d_5 g_3^{(2)} + d_4 g_4^{(2)} + d_3 g_5^{(2)}$$

$$= 0(0) + 0(1) + 1(1) + 1(0) + 1(1) = 0$$

$$C_8^{(2)} = d_8 g_1^{(2)} + d_7 g_2^{(2)} + d_6 g_3^{(2)} + d_5 g_4^{(2)} + d_4 g_5^{(2)}$$

$$= 0(0) + 0(1) + 0(1) + 1(0) + 1(1) = 1$$

$$C_9^{(2)} = d_9 g_1^{(2)} + d_8 g_2^{(2)} + d_7 g_3^{(2)} + d_6 g_4^{(2)} + d_5 g_5^{(2)}$$

$$= 0(0) + 0(1) + 0(1) + 0(0) + 1(1) = 1$$

$$C = [00, 11, 11, 01, 10, 10, 01, 11]$$

ii)

$$g^{(1)} = 01111 \quad g^{(2)} = 01101$$

$$g^{(1)}(x) = x + x^2 + x^3 + x^4 \quad g^{(2)}(x) = x + x^2 + x^4$$

$$d(x) = g_1^{(j)} + g_2^{(j)}x + g_3^{(j)}x^2 + g_4^{(j)}x^3 + \dots + g_{m+1}^{(j)}x^m$$

$$d(x) = 1 + x^2 + x^3 + x^4$$

$$c^{(1)}(x) = d(x)g^{(1)}(x)$$

$$= (1 + x^2 + x^3 + x^4)(x + x^2 + x^3 + x^4)$$

$$= x + x^2 + x^3 + x^4 + x^3 + x^4 + x^6 + x^5 + x^4 + x^5 + x^6 + x^7 + x^5 + x^6 + x^7 + x^8$$

$$= x + x^2 + x^4 + x^5 + x^6 + x^8$$

$$c^{(2)}(x) = d(x)g^{(2)}(x)$$

$$= (1 + x^2 + x^3 + x^4)(x + x^2 + x^4)$$

$$= x + x^2 + x^4 + x^3 + x^4 + x^6 + x^4 + x^5 + x^7 + x^5 + x^6 + x^8$$

$$= x + x^2 + x^7 + x^8 + x^3 + x^4$$

$$C(x) = c^{(1)}(x^2) + x c^{(2)}(x^2)$$

$$c^{(1)}(x^2) = x^2 + x^4 + x^8 + x^{10} + x^{12} + x^{16}$$

$$c^{(2)}(x^2) = x^2 + x^4 + x^6 + x^8 + x^{14} + x^{16}$$

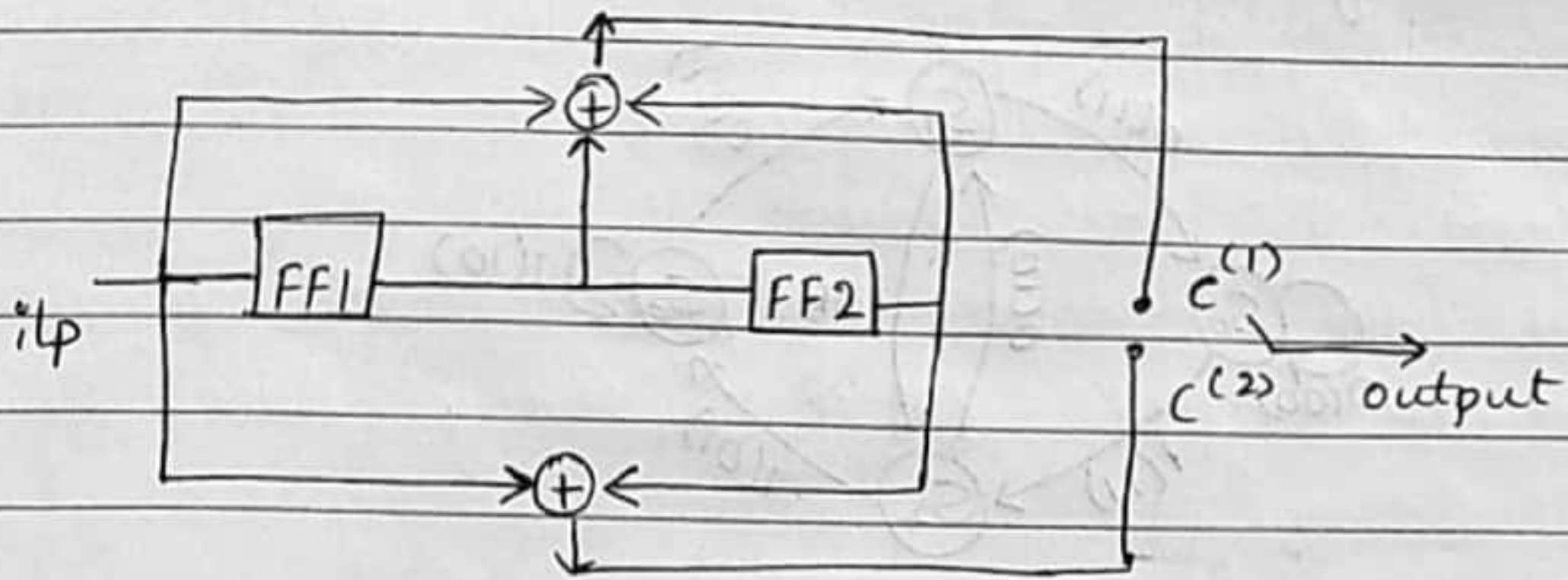
$$C(x) = x^2 + x^4 + x^8 + x^{10} + x^{12} + x^{16} + x^2 + x^4 + x^6 + x^8 + x^{14} + x^{16}$$

$$C(x) = x^2 + x^4 + x^8 + x^{10} + x^{12} + x^{16} + x^3 + x^5 + x^7 + x^9 + x^{15} + x^{17}$$

$$= x^2 + x^3 + x^4 + x^5 + x^7 + x^8 + x^9 + x^{10} + x^{12} + x^{15} + x^{16} + x^{17}$$

$$C = [00, 11, 11, 01, 11, 10, 10, 01, 11]$$

# State diagrams and code tree



$(n, k, m)$

$(2, 1, 2)$

$$c^{(1)} = d_t + d_{t-1} + d_{t-2}$$

$$c^{(2)} = d_t + d_{t-2}$$

Each FF can store 1 bit

There are 2 FF and 2 bits can be stored.

So there will be  $2^2$  states

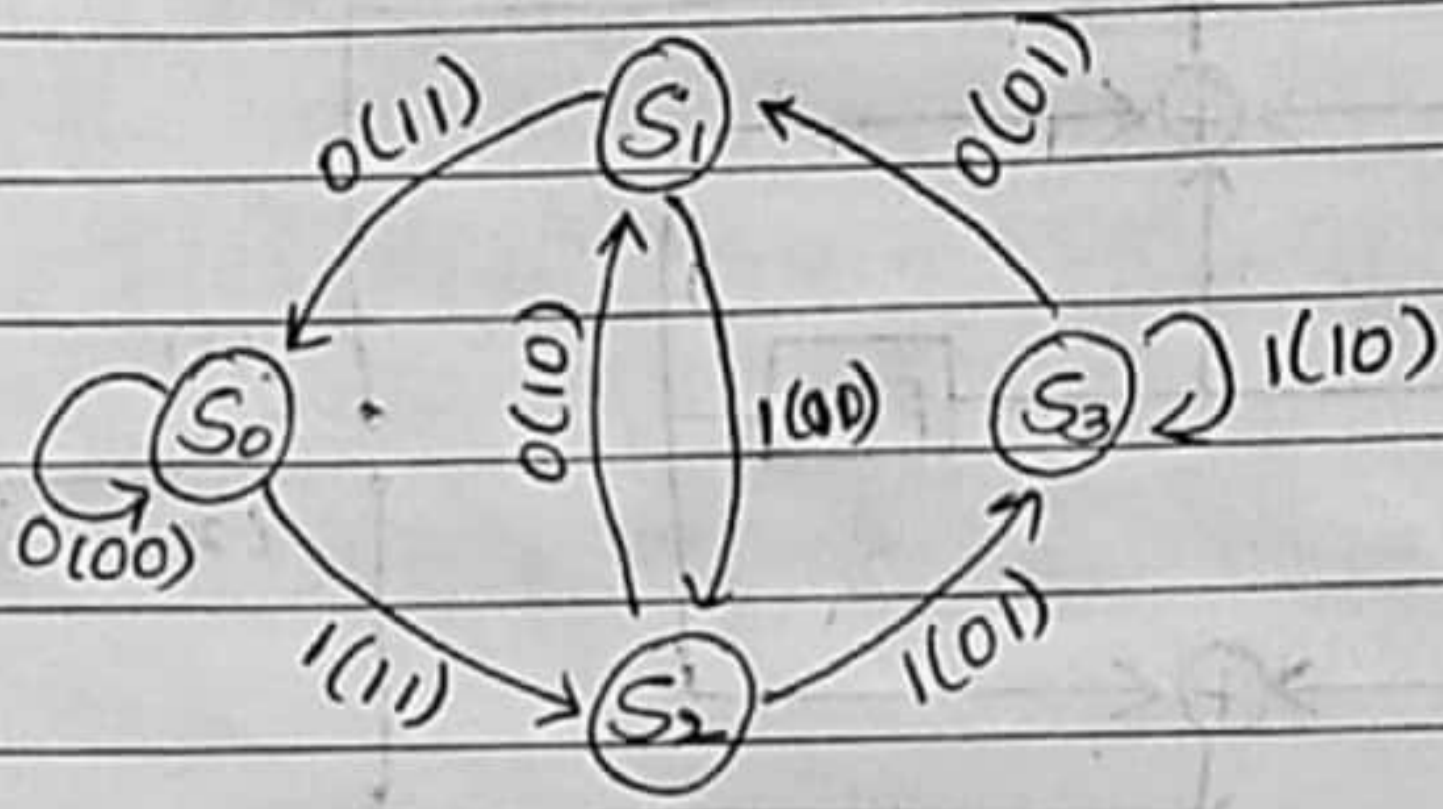
State	Binary description
$S_0$	00
$S_1$	01
$S_2$	10
$S_3$	11

State table

State transition table.

Present state	Binary description	Input	Next state	Binary description	$d_t$	$d_{t-1}$	$d_{t-2}$	output $c^{(1)}$	$c^{(2)}$
$S_0$	00	0	$S_0$	00	0	0	0	0	0
		1	$S_2$	010	1	0	0	1	1
$S_1$	01	0	$S_0$	00	0	0	1	1	1
		1	$S_2$	10	1	0	1	0	0
$S_2$	10	0	$S_1$	01	0	1	0	1	0
		1	$S_3$	11	1	1	0	0	1
$S_3$	11	0	$S_1$	01	0	1	1	0	1
		1	$S_3$	11	1	1	1	1	0

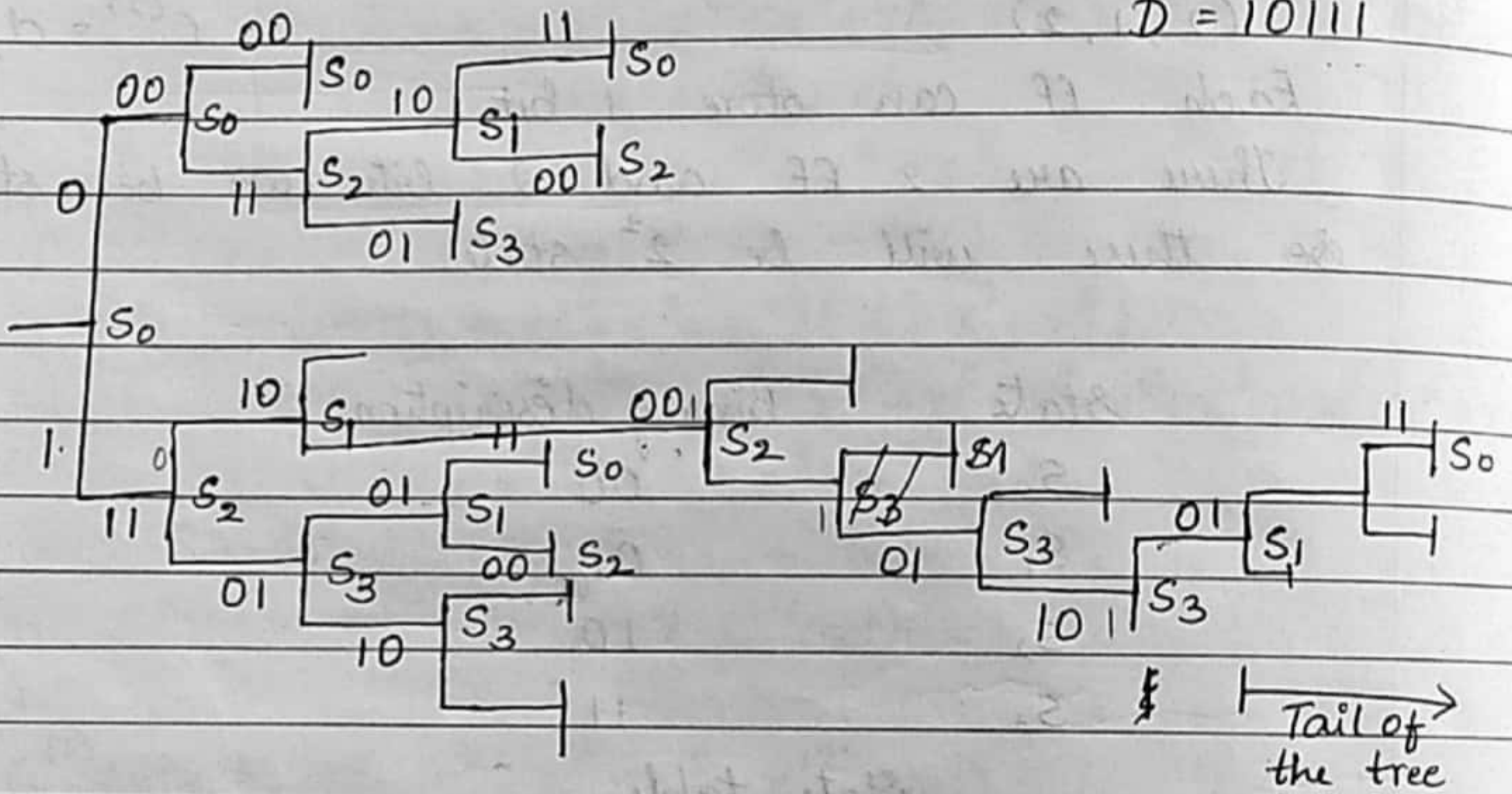
# State diagram



# Code tree

Consider

$D = 10111$



FF1 FF2

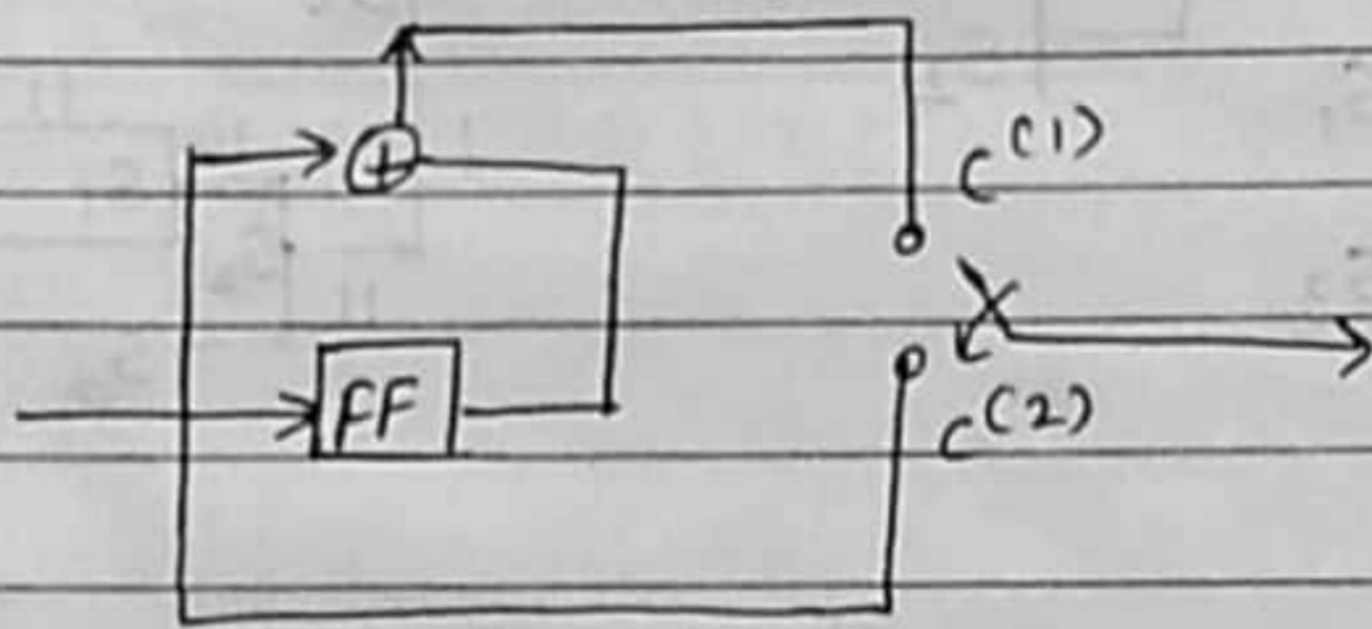
0 0  
 1 → 1 0  
 0 → 0 1  
 1 → 1 0  
 1 → 1 1  
1 → 1 1  
 0 → 0 1  
 0 → 0 0

$C = [11, 10, 00, 01, 10, 01, 11]$

We have 2 FF so apply 2 clk pulse to take input bit outside the ckt

If we have n FF then apply n clk pulses.

- Q. Consider the convolutional encoder shown in figure. The code is systematic.
- Draw the state diagram
  - Draw the code tree
  - Find the encoder output produced using sequence 10111 verify using time domain approach

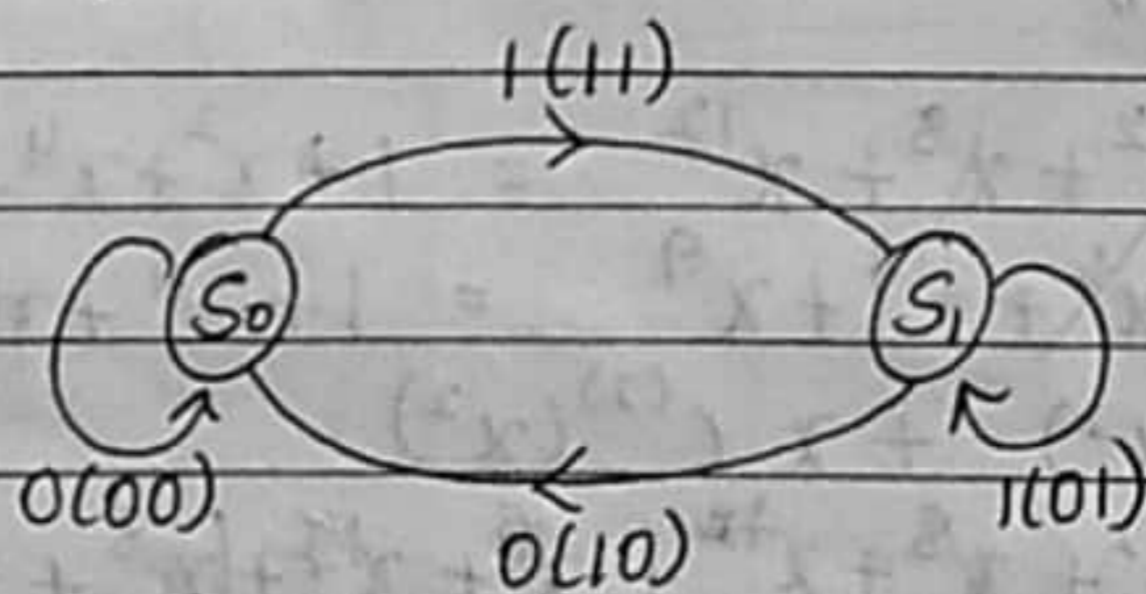


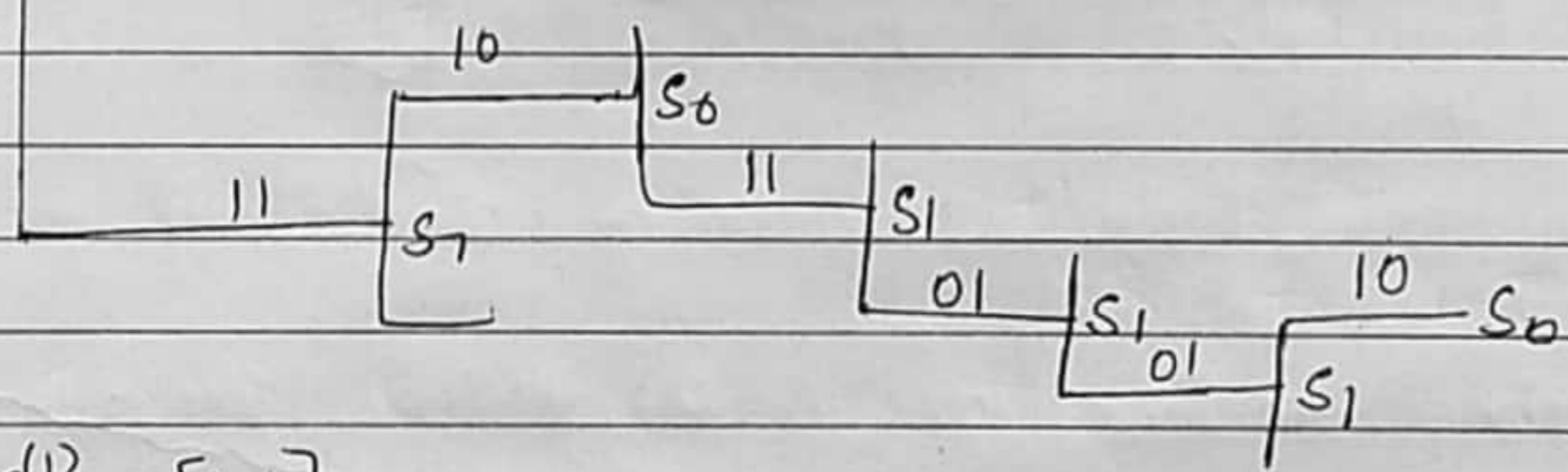
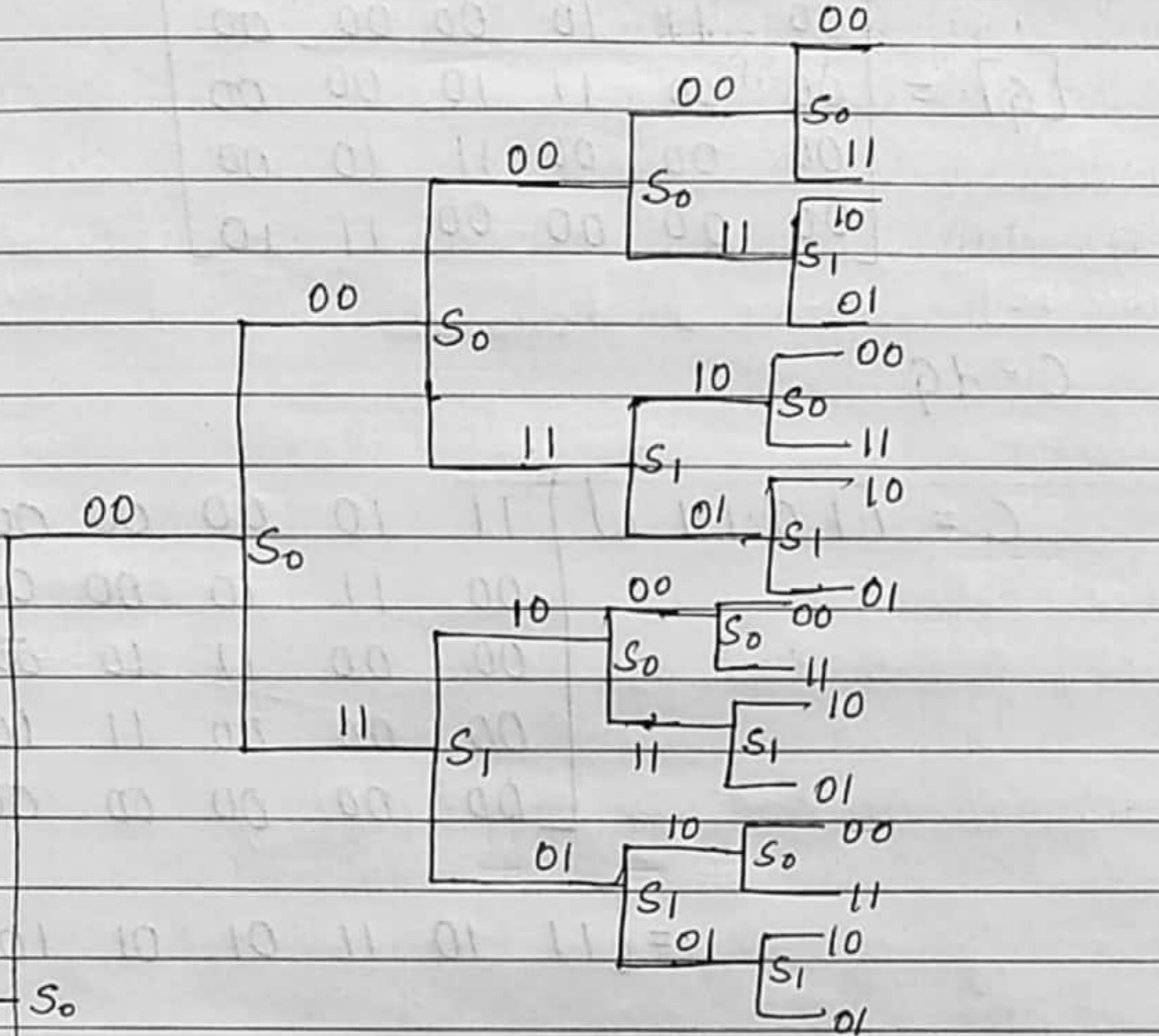
$$c^{(1)} = d_x + d_{x-1}$$

$$c^{(2)} = d_x$$

Present state	Binary description	Input	Next state	Binary description	$d_x$	$d_{x-1}$	Output $c^{(1)}$	$c^{(2)}$
$S_0$	0	0	$S_0$	0	0	0	0	0
	1	1	$S_1$	1	1	0	1	1
$S_1$	1	0	$S_0$	0	0	1	1	0
	1	1	$S_1$	1	1	1	0	1

i) State Diagram





$$g^{(1)} = [1, 1]$$

$$g^{(2)} = [1, 0]$$

$$n(L+m) = 2(5+1) = 12 \text{ columns}$$

$$C = [11, 10, 11, 01, 01, 10]$$



$$[G] = \begin{bmatrix} 11 & 10 & 00 & 00 & 00 & 00 \\ 00 & 11 & 10 & 00 & 00 & 00 \\ 00 & 00 & 11 & 10 & 00 & 00 \\ 00 & 00 & 00 & 11 & 10 & 00 \\ 00 & 00 & 00 & 00 & 11 & 10 \end{bmatrix}$$

$$C = dG$$

$$C = [10111] \begin{bmatrix} 11 & 10 & 00 & 00 & 00 & 00 \\ 00 & 11 & 10 & 00 & 00 & 00 \\ 00 & 00 & 11 & 10 & 00 & 00 \\ 00 & 00 & 00 & 11 & 10 & 00 \\ 00 & 00 & 00 & 00 & 11 & 10 \end{bmatrix}$$

$$= [11 \ 10 \ 11 \ 01 \ 01 \ 10]$$